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# Towards Understanding Amyloid Fibril Formation and Self-replication

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# Baltymų amiloidinių fibrilių susidarymo ir savireplikacijos savybių tyrimas

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### Abstract

### Towards Understanding Amyloid Fibril Formation and Self-replication

Millions of people around the world suffer from amyloid-related disorders. Alzheimer's and Parkinson's diseases alone afflict more than 50 million patients worldwide. Despite continuous efforts, there are still no disease modifying drugs available for the majority of amyloid-related disorders and the overall failure rate in clinical trials is very high. One of the main reasons for this is a lack of fundamental knowledge of amyloid fibril formation process.

In the present work, new mechanistic insights into the processes of amyloid fibril formation and self-replication are provided. In particular, herein it is demonstrated that monomer-oligomer equilibrium is the fundamental factor governing the formation of distinct insulin fibril strains. Moreover, evidence of the possible direct role of off-pathway oligomeric insulin forms in the amyloid fibril formation mechanism is provided. Also, in this work it is shown that environmental conditions define the inhibitory efficiency of anti-amyloid compounds in a very sensitive manner. Furthermore, new mechanistic insights into the processes of prion self-replication are presented. In particular, the fundamental role of secondary nucleation in the "strain switching" is demonstrated, and a new phenomenon, which emerges during self-propagation reaction of distinct prion strains under different environmental conditions, is described. Finally, herein it is demonstrated that high-resolution atomic force microscopy combined with microfluidic sample deposition platform enables the possibility to image all molecular species present during the aggregation time-course, and allows to acquire single-molecule quantitative data.

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# **List of Abbreviations**

α-syn	α-synuclein
Aβ	Amyloid beta
AD	Alzheimer's disease
AFM	Atomic force microscopy
CJD	Creutzfeldt-Jakob disease
CR	Congo red
Cryo-EM	Cryo-electron microscopy
DMSO	Dimethyl sulfoxide
EM	Electron microscopy
FTIR	Fourier-transform infrared spectroscopy
Htt	Huntingtin
IAPP	Islet amyloid polypeptide
iCJD	Iatrogenic Creutzfeldt-Jakob disease
IDP	Intrinsically disordered peptide or protein
NFT	Neurofibrillary tangles
PD	Parkinson's disease
PrP <sup>Sc</sup>	Scrapie prion protein
PrP <sup>C</sup>	Cellular prion protein
SOD1	Superoxide dismutase 1
ThT	Thioflavin-T
TSE	Transmissible spongiform encephalopathy
TTR	Transthyretin
vCJD	Variant Creutzfeldt-Jakob disease

### Chapter 1

# Introduction

The onset and progression of more than 50 human disorders (Table 2.1), including Alzheimer's disease (AD) [1], Parkinson's disease (PD) [2], type II diabetes [3], and prion diseases [4], are associated with the failure of a specific peptide or protein to adopt or remain in its native functional conformational state, and their subsequent conversion into insoluble fibrillar aggregates, termed amyloids. In recent years, the process of amyloid formation has emerged as a subject of fundamental importance as it was recognised that many disorders associated with amyloid formation are no longer rare and are rapidly becoming some of the most common medical conditions in the ageing society [5]. Millions of people around the world suffer from amyloid-related diseases, AD and PD alone afflict more than 50 million patients worldwide [1, 2, 6]. Despite significant and sustained efforts, however, the molecular and mechanistic links between protein aggregation and toxicity remain challenging to characterise. In addition, there are still no effective disease modifying drugs or treatment modalities available for amyloid-related disorders [7, 8]. The main reasons for this are the complex nature of the peptide and protein aggregation and self-replication, and relatively poor understanding of this process [8, 9]. The complete elucidation of amyloid fibril formation process is possible only if all conformational states, and oligomeric structures adopted by the polypeptide chain during the process, as well as the thermodynamics and kinetics of all conformational changes are known [10].

The formation of amyloid fibrils is a complex process, which involves several microscopic events, including primary and secondary nucleation, elongation, and fragmentation [5, 11–13]. The alterations in environmental conditions can modulate these events resulting in the emergence of different pathways of amyloid fibril formation, leading to the formation of structurally distinct amyloid aggregates, termed strains [5, 9, 11, 14–27]. Such conformational variability, also referred to as polymorphism phenomena, is thought to be the generic property of amyloid proteins [11, 28, 29]. Conformational variability of amyloid fibrils can be viewed as another major reason for failure of anti-amyloid treatment modalities, as compounds that are effective against one fibril strain, which causes a particular phenotype of amyloid-related disorder, may be ineffective against others [9]. Thus, studies of amyloid fibril polymorphism phenomena are fundamental for development of effective anti-amyloid treatment modalities.

### The goal of the study

To study amyloid protein fibril formation and self-replication, and to obtain new insights about these processes.

### Objectives

- To study the effects of different factors on insulin fibril formation.
- To study self-replication of different prion protein amyloid strains.
- To study time-course of amyloid beta 42 aggregation via highresolution atomic force microscopy by applying microfluidic spray device for sample deposition.

### Scientific novelty

In this study, new mechanistic insights into the process of distinct insulin strain formation are provided. In particular, investigation of the effects of distinct factors on insulin aggregation enabled to determine that monomer-oligomer equilibrium is the fundamental factor governing the formation of distinct insulin strains. Moreover, for the first time, evidence of possible direct role of oligomeric insulin forms in the amyloid formation pathway is provided. The results add one more piece to the global picture of the insulin aggregation mechanism, and brings us one step closer to the complete elucidation of this pathway. Multiple studies have screened numerous compounds in search of effective anti-amyloid compounds, however, no anti-amyloid drugs are present to date. In this study, a new insights into the process of assessment of effects of anti-amyloid compounds are provided. In particular, herein it is demonstrated that the inhibitory efficiency of compounds depends on the environmental conditions, under which amyloid aggregation reaction is performed, as well as the methods used for assessment of effects.

Furthermore, new mechanistic insights into the process of prion selfreplication are provided in this study. In particular, for the first time, it is demonstrated that polymorphism of amyloid fibrils can be defined by the concentration of seeds. Moreover, a new phenomenon, which emerges during self-propagation reaction of distinct prion strains under different environmental conditions, is described. The results suggest that abnormalities in the kinetics of seed-induced aggregation reactions may be related to artefacts / anomalies of the secondary nucleation process.

Finally, the application of novel microfluidic spray device for deposition of heterogeneous amyloid samples for analysis via atomic force microscopy is demonstrated. In particular, application of such approach enabled the possibility to image all molecular species present during amyloid beta 42 (A $\beta$ 42) aggregation time-course via high-resolution AFM, and allowed for the first time to acquire single-molecule quantitative data that is fundamental for complete elucidation of amyloid aggregation mechanisms.

### **Defending statements**

- Monomer-oligomer equilibrium is one of the main factors governing the formation of distinct insulin strains.
- Insulin amyloid aggregation may be inhibited by insulin oligomers.
- Environment is an important factor in determining the antiamyloid efficacy of epigallocatechin-3-gallate (EGCG).
- Self-replication of amyloid fibril conformational template can proceed only via fibril elongation.

- The abnormalities in ThT signal during seed-induced aggregation reactions can be explained by the events related to secondary nucleation process.
- High-resolution atomic force microscopy in combination with microfluidic sample deposition technique enables the possibility to image all molecular species present during A $\beta$ 42 aggregation time-course, and allows to acquire single-molecule quantitative data.

### **Chapter 2**

# Literature overview

### 2.1 Amyloids and amyloid-related disorders

### 2.1.1 Brief history of amyloids

The term amyloid was introduced by German scientist Rudolph Virchow in 1854 to denote macroscopic tissue abnormalities found in the human brain [30]. Using the most advanced methodology and medical knowledge of that time, Virchow stained cerebral corpora amy*lacea* (meaning "starch-like bodies" in Latin), which had an abnormal macroscopic appearance, with iodine. He found that the macroscopic brain tissue abnormality exhibited a positive iodine staining reaction: it stained pale blue on treatment with iodine, and violet upon subsequent addition of sulfuric acid. Based on these results, Virchow concluded that the fundamental component of the evident macroscopic abnormality was starch and gave it the name amyloid (derived from *amylum* and *amylon*, the Latin and Greek words for starch, respectively) [30, 31]. Just 5 years after this finding, Friedreich and Kekule demonstrated that amyloid deposits are predominantly proteinaceous particles, with carbohydrates, in particular glycosaminoglycans, being associated Despite proteinaceous nature, the term amyloid has ubiquitously. remained [30, 31].

Congo red (CR) staining was introduced in 1922 by Bennhold as an alternative histopathological method for detection of amyloid plaques [32, 33]. Bennhold intravenously injected CR solutions into 21 healthy subjects and 21 patients with different diseases. The *post-mortem* examination of tissue samples of a patient who was diagnosed with amyloidosis, revealed that liver and spleen appeared to be stained by CR. Since

its introduction, the CR staining method has undergone several modifications in order to increase the specificity and reduce the number of false-positives [32]. It was demonstrated in multiple studies that CRstained amyloid deposits have orange-red appearance when examined under light microscopy, and exhibit positive apple-green birefringence when examined under cross-polarised light [30, 32]. The unique tinctorial properties of amyloid plaques in the presence of CR suggested that amyloid deposits are composed of highly organised protein units in the form of fibrils [31]. The identification of unique staining properties of amyloid deposits provided a basis upon which amyloid fibrils could be isolated from tissues [30]. Congophilia with apple-green birefringence became the first criterion used for identification of amyloid plaques.

The subsequent studies of amyloid deposits of diverse origin in humans and animals using electron microscopy confirmed that all forms of amyloids exhibit a comparable submicroscopic fibillar ultrastructure [30, 34]. This structure became the second criterion.

Possibility to isolate amyloid deposits of distinct origin allowed to determine amino acid sequence of fibrils isolated from tissue of patients. This enabled to discover biochemical heterogeneity of amyloids, and identify Amyloid A [35], antibody light chains [36], and transthyretin (TTR) [37, 38] as the fundamental protein components of fibrils in amyloid plaques of distinct origin. These findings suggested that an individual peptide or protein precursor is associated with a particular amyloid-related disorder [31, 39]. To date, 37 peptides and proteins have been found to form extracellular and/or intracellular nanoamyloid deposits in human diseases [8].

X-ray diffraction studies of amyloid fibrils revealed that all fibrils possess ordered secondary structure, containing a specific diffraction pattern known as cross- $\beta$  pattern, which is thought to arise from arrays of  $\beta$ -sheets running parallel to the long axis of the fibril, while  $\beta$ -strands in an individual sheet are arranged perpendicularly. [29, 40, 41]. The universal cross- $\beta$  structure became the third criterion.

The amyloid fibrils possess specific properties such as proteolytic resistance, flexibility and intrinsic Young's modulus in the order of giga-Pascals, making them an attractive biomaterial in medicine and nanotechnology [7, 13, 42–48]. Indeed, despite their pathological roles, amyloid fibrils have been found to be involved in many physiologically beneficial roles, including adhesives, catalytic scaffolds, bacterial coatings, and structures for peptide hormone storage [13, 49–52]. Such fibrils are termed functional amyloids. Such type of structures have no link to protein deposition diseases, however, fibrillar aggregates formed *in vitro* exhibit similar morphological, structural and tinctorial properties, enabling them to be classified as amyloid fibrils [10].

### 2.1.2 Amyloid-related disorders

The formation and accumulation of extracellular amyloid deposits or intracellular inclusions is associated with a range of debilitating medical disorders (Table 2.1), including Alzheimer's, Parkinson's and prion diseases [8]. Most of these disorders are sporadic or arise from specific mutations and are hereditary. In rare cases, however, they can be transmitted from one host to another, the best-studied example being transmissible spongiform encephalopathies [8, 10, 26, 53].

The principal component of extracellular amyloid deposits is a specific peptide or protein that forms the core. Non-fibrillar constituents of amyloid deposits, including metal ions, glycosaminoglycans, the serum amyloid P component, apolipoprotein E, collagen, and many others, also play an important role in fibrilogenesis [54, 55]. Amyloid fibrils, similar to the ones isolated form patients, can also be produced *in vitro* by altering environmental conditions (*e.g.* using mildly denaturing conditions) [10]. Interestingly, the peptides and proteins found as intractable aggregates in patients with a particular amyloid-related disorder, do not share any obvious amino acid sequence identity, structural homology, or function to each other.

Despite the fact that the appearance of amyloid deposits is closely associated with the onset of amyloid-related disorders, the mechanisms and events underlying amyloid formation and toxicity remain challenging to characterise. In case of systemic or localised amyloidoses, the impairment and disruption of tissue architecture in vital organs are mainly associated with the accumulation of large quantities of amyloid deposits

[5, 8, 10], whereas in case of neurodegenerative disorders, including Alzheimer's and Parkinson's disease, the on-pathway oligomers and protofibrils are thought to be the primary pathogenic and most toxic species [5, 8, 56–58]. The exposure of hydrophobic groups, that under normal physiological conditions would be inaccessible within the cellular environment, on the oligomer surface appears to be a major determinant of oligomer-mediated toxicity [5, 8]. Although considered less toxic, the mature amyloid fibrils are equally important pathogenic species, as they can interfere with protein homeostasis network, serve as a reservoir of protein oligomers that can be released, act as catalytic surface for the generation of toxic oligomers through secondary nucleation, and spread within organs of the host and even between individuals [5, 8, 28, 53, 59–63]. Prevention and treatment of a given disease generally require a deep understanding of its underlying causes, thus elucidation of the mechanisms of amyloid aggregate formation, indication of all species present during this process and understanding the cause of neurotoxic effects of these species is fundamental to the development of therapeutic approaches.

Disease	Aggregating peptide or protein
Alzheimer's disease	A $\beta$ 40 and/or A $\beta$ 42 peptide
Transmissible spongiform encephalopathies	Prion protein or its fragments
Parkinson's disease	α-Synuclein
Dementia with Lewy bodies	α-Synuclein
Frontotemporal dementia with Parkinsonism	Tau
Pick disease	Tau
Huntington's disease	Huntingtin with polyQ expan-
	sion
Senile systemic amyloidosis	Transthyretin
Dialysis-related amyloidosis	$\beta_2$ -microglobulin
AL amyloidosis	Immunoglobulin light chains or
	fragments
AA amyloidosis	Fragments of serum amyloid A
	protein
Lysozyme amyloidosis	Mutants of lysozyme
Type II diabetes	Islet amyloid polypeptide
Injection-localised amyloidosis	Insulin
Prostate cancer	Proteins 100A8/A9

TABLE 2.1: Some of amyloid-related human disorders. Adapted from [8].

### Alzheimer's disease

Alzheimer's disease is a neurodegenerative disorder first described over 100 years ago by Alois Alzheimer. To date AD is most prevalent form of dementia, which affects approximately 40 million patients worldwide and this number is projected to rise steadily to afflict 135 million people by 2050 [1, 6]. AD is a complex neurodegenerative disorder characterised clinically by a progressive loss of memory and cognitive functions. The two pathological hallmarks of AD are the extracellular amyloid deposits and intracellular neurofibrillary tangles (NFTs), which are composed of  $(A\beta)$  peptide and the microtubuleassociated protein tau, respectively [1, 6, 57, 64]. AD is an age-related disorder and in most cases it occurs late in life, with the incidence increasing from 1 in 10 of those over 65 years old to 1 in 2 of individuals over 85 years old [64]. The principle causative agent of AD is thought to be A $\beta$  aggregates, in particular oligometric forms of the peptide, which cause neuronal toxicity and triggers downstream signaling events that result in hyperphosphorylation of tau protein and subsequent development of NFTs [6, 57, 64]. A $\beta$  is a ~4 kDa intrinsically disordered peptide, produced by proteolytic cleavage of amyloid  $\beta$ -protein precursor (A $\beta$ PP) first by  $\beta$ -secretase and subsequently by  $\gamma$ -secretase. In humans, there are two predominant cleavage products:  $A\beta 40$  and A $\beta$ 42, which are 40 or 42 amino acids long, respectively [57, 64, 65]. A $\beta$ 40 is the most abundant form (constitutes to ~90% of the secreted A $\beta$ ), whereas A $\beta$ 42 is less abundant (comprises ~10% of the secreted A $\beta$ ), however, the latter is more aggregation prone and forms fibrils more rapidly. Although the biological role of  $A\beta$  is unclear, it is thought that soluble A $\beta$  plays an important role in the facilitation of neuronal growth, cellular survival, modulation of synaptic function and defence against oxidative stress [65]. Age and gene mutations, in particular in gene encoding apolipoprotein E, genes for A $\beta$ PP and presenilin 1 and 2, are the main risk factors of AD. Additional factors, including oxidative stress or ischemia, may also cause upregulation of  $A\beta PP$ processing, hence resulting in an increase in A $\beta$  levels in the brain [57, 64]. Although still under debate, the oligomeric assemblies of A $\beta$  are considered as primary, whereas fibrils are the secondary toxic species [1, 6, 57, 64]. Thus aggregated rather than monomeric A $\beta$  are the pathogenic forms. However, the molecular and mechanistic links between A $\beta$  aggregation and toxicity are still unclear.

### **Prion diseases**

Prion diseases also known as transmissible spongiform encephalopathies (TSEs) is a group of neurodegenerative disorders affecting humans and other mammals (Table 2.2). TSEs can be sporadic, heritable or acquired by infectious route. Although in humans infectious forms of TSEs occur less frequently than heritable or sporadic forms (accounting for approximately 5, 10 and 85% of all cases, respectively) they are most well known for the general public [4, 20]. Unlike in humans, TSEs in animals mainly occur as infectious disorders [20]. The agent that causes these diseases was termed "prion" by Stanley B. Prusiner and is defined as "proteinaceous infectious particle" [53, 66]. According to the proteinonly hypothesis, the infectious disease-causing agent is the misfolded, aggregated form PrPSc of the cellular prion protein PrPC. PrPSc can self-replicate by recruiting  $PrP^{C}$  as a substrate. Even though  $PrP^{C}$  and PrP<sup>Sc</sup> share the same amino acid sequence, the secondary structures of these conformers are distinct.  $PrP^{C}$  consists of three  $\alpha$ -helices and two short antiparallel  $\beta$ -strands, whereas PrP<sup>Sc</sup> has a  $\beta$ -sheet-rich structure, which consists of  $\beta$ -strands and relatively short turns and/or loops, with no native  $\alpha$ -helices present [20, 67]. Physiological roles of PrP<sup>C</sup> are unclear, however, several potential functions, including regulation of synaptic transmission and plasticity, sleep homeostasis and continuity, cellular transport and localisation of its binding partners, modulation of the functionality of ion channels and ionotropic glutamate receptors, transmembrane signaling, and scavenging for amyloid aggregates of A $\beta$ , have been suggested [68].

One of the most interesting aspects of prion diseases is the existence of multiple "strains", which leads to different disease phenotypes that are distinguished by distinct clinical signs, incubation time and neuropathology [4, 17, 20]. To date there is still no clear understanding

Disease	Host	Route of transmission or disease- induction mechanism
Sporadic CJD	Humans	Unknown
Iatrogenic CJD	Humans	Accidental medical exposure to CID-contaminated tissues, hor-
		mones or blood derivatives
Iatrogenic CJD	Humans	Genetic (germline PRNP mutations)
Variant CJD	Humans	Genetic (germline PRNP mutations)
Kuru	Humans	Ritualistic cannibalism
Fatal familial insomnia	Humans	Genetic (germline PRNP mutations)
Sporadic fatal insomnia	Humans	Unknown
Gerstmann-Sträussler-Scheinker	Humans	Unknown
syndrome		
Scrapie	Sheep, goat and mouflon	Horizontal and possibly vertical
Atypical scrapie	Sheep and goat	Unknown
Chronic wasting disease	Mule deer, white-tailed deer, Rocky	Horizontal and possibly vertical
	Mountain elk and moose	
Bovine spongiform encephalopathy (BSE)	Cattle	Ingestion of BSE-contaminated food
Atypical BSE	Cattle	Unknown
Feline spongiform encephalopathy	Zoological and domestic felids	Ingestion of BSE-contaminated food
Transmissible mink spongiform en-	Farmed mink	Ingestion of BSE-contaminated food
cephalopathy		
Spongiform encephalopathy of zoo	Zoological ungulates and bovids	Ingestion of BSE-contaminated food
animals		

# TABLE 2.2: Prion diseases. Adapted from [4].

what leads to formation of a wide variety of strains, or how many different strains can be formed. Understanding this phenomena is of fundamental importance for development of therapeutic treatment modalities, since approaches effective against one particular phenotype of disease, may be ineffective against other.

### 2.1.3 Insulin as a model protein to study amyloid aggregation

Insulin is a 5.8 kDa peptide hormone involved in regulation of carbohydrate, fat and protein metabolism [69–72]. Insulin consists of two polypeptide chains (A and B) linked by two disulfide bonds. The A chain consists of 21 amino acids with an additional disulfide bond between residues 6 and 11, whereas the B chain is longer and consists of 30 amino acids. The secondary structure of insulin is predominantly  $\alpha$ -helical [73]. Under native conditions the dominant form of insulin is a zinc-coordinated hexamer. Over the pH 2-8 range the predominant form of zinc-free insulin can vary from dimer (insulin concentration < 1.5 mg/ml) to tetramer (insulin concentration > 1.5 mg/ml). Monomer is the dominant form of insulin in 20% acetic acid solution [74].

Biosynthetic human insulin is generally used as a protein-based medicine in the treatment of diabetes [74–76]. Despite its main application in medicine, recombinant human insulin is also extensively used as a model protein to study mechanisms and properties of amyloid fibril formation *in vitro* [69, 74, 76–88]. Under specific conditions insulin is prone to aggregate and can form amyloid aggregates that share common structural features with those that are found in neurodegenerative pathologies such as AD, PD, TSEs and other. Studies of insulin aggregation provided new fundamental insights into the process of amyloid formation and the polymorphism phenomena [74, 81–89]. Fibrillation of insulin itself is associated with the clinical syndrome injection-localised amyloidosis, which was observed in diabetes patients after continuous subcutaneous injections of insulin [71, 77, 90], however, such incidents are relatively rare [72].

### 2.1.4 The mechanisms of amyloid fibril formation

Peptides and proteins are very important biomolecules that are involved in almost every biological process. In order to function, these biomolecules have to acquire their native structural conformation [8, 29]. Protein molecules can adopt multiple distinct conformational states within a living system, starting from its synthesis in the ribosome and ending with degradation through proteolysis (Fig. 2.1) [8, 29]. Most newly synthesised peptides or proteins adopt a folded conformational state through the formation of one or more partially folded states (Fig. 2.1). Some peptides and proteins, including  $\alpha$ -synuclein ( $\alpha$ -syn), tau, islet amyloid polypeptide (IAPP) and A $\beta$  are largely unstructured in solution and are often described as intrinsically disordered peptides and proteins (IDPs) [8, 91]. Although it was demonstrated that some IDPs can adopt more well-defined structures upon binding to specific partners [91, 92]. Under certain circumstances, however, peptides and proteins can fail to adopt, or remain, in their native functional conformation, and can acquire misfolded conformations that are susceptible to form nonfunctional and potentially harmful aggregates (Fig. 2.1) [8, 29]. Both globular proteins and IDPs have been found to be involved in protein aggregation linked to disease [8, 93–99].

The development and application of robust experimental and mathematical techniques that allow deciphering the mechanistic details of the protein aggregation process, in combination with fundamental biophysical techniques, used to study protein aggregation and amyloid formation, enabled to acquire mechanistic insight into the amyloid aggregation process [12, 13, 61]. When the quantity of fibrils formed during protein aggregation process is measured as a function of time by monitoring thioflavin-T fluorescence (ThT) intensity or light scattering, sigmoidal kinetics, reflecting three distinct phases, generally described as a lag, growth and plateau phase, are frequently observed (Fig. 2.2) [8, 29].

The process of amyloid fibril formation can be imagined as a nucleation dependent polymerisation reaction, where an initial nucleation step (*i.e.* primary nucleation) is followed by a rapid growth



FIGURE 2.1: Schematic illustration of possible pathways of amyloid fibril formation. The brown, blue and green arrows represent three possible mechanisms of nuclei formation: nucleated polymerisation, nucleated conformational conversion and native-like aggregation, respectively. Adapted from [8].



FIGURE 2.2: Schematic representation of the amyloid aggregation process monitored by measuring ThT fluorescence intensity. The lag phase corresponds to the assembly of peptides or proteins into the nuclei, which can grow into larger oligomeric species. In the growth phase nuclei and oligomers continue to grow and proliferate, assembling into prefibrillar structures that rapidly elongate to form ordered fibrillar structures known as protofibrils. The plateau phase corresponds to the assembly of protofilaments into mature amyloid fibrils. Adapted from [29].

through association and elongation and, in certain cases, through a secondary pathway (*e.g.* fibril-surface catalysed nucleation, termed secondary nucleation [61], and fibril fragmentation) [8, 100] (Fig. 2.3). Partially or completely disordered peptide and protein monomers can spontaneously convert into growth-competent structures, termed nuclei, through a thermodynamically unfavourable process, which takes place in the initial stages, also referred to as the lag phase, of aggregation process (Fig. 2.2). The nuclei can be considered as the smallest structures that are able to grow further through the addition of monomers to form intermediate species and amyloid fibrils [8, 100]. The aggregation process of a wide range of systems including A $\beta$  [101],  $\alpha$ -syn [97], prion proteins [102], insulin [98] and others [8], have been described using the nucleated polymerisation model.

In specific cases, however, monomers have been found to convert into misfolded aggregated species, which lack the structural characteristics necessary to grow into well organised amyloid fibrils (Fig. 2.1) [8, 103]. These growth-incompetent species, however, can undergo structural reorganisation to generate nuclei on which other disorganised oligomeric species acquire the amyloid conformation through templating, leading eventually to the formation of intermediate and fibrillar amyloid species (Fig. 2.1) [8, 103]. Aforementioned type of aggregation mechanism, termed nucleated conformational conversion model, has been used to describe mechanism of amyloid formation of  $A\beta_{40}$  [94],  $\alpha$ -syn [93], huntingtin (Htt) exon 1 [99] and others [8].

Most of aggregation prone regions in globular proteins are normally buried within the core of the protein, which means that in order to aggregate, fully folded proteins must first rearrange into partially unstructured states that are prone to aggregate. Latter structures can selfassemble into intermediate species and through one of the aforementioned mechanisms proceed to fibrillization stage (Fig. 2.1) [11, 104]. It was demonstrated that natively folded globular proteins possess small but significant tendency to rearrange into the amyloid state without crossing a major energy barrier for unfolding, by generating native-like conformations as a consequence of ligand release, local unfolding, or thermal fluctuations. Aggregation prone segments that are normally buried or highly structured in the fully folded state gain flexibility or become exposed to the solvent , triggering the formation of native-like aggregates that then rearrange into amyloid-like oligomers and fibrils (Fig. 2.1) [5, 8, 10, 104].

The molecular-level events, which contribute to the overall formation of amyloid aggregates can be divided into two general categories: events that lead to an increase in aggregate mass and events that modify the total number of aggregates [8, 13, 61, 62] (Fig. 2.3). Fibril elongation (addition of individual monomers to nuclei/fibril ends) is the main event that contributes to the growth of aggregate mass (Fig. 2.3). Typically, fibril elongation is much faster than their dissociation into monomers, thus the latter event is often neglected in descriptions of amyloid formation kinetics [13, 105]. The events involved in formation


FIGURE 2.3: The molecular-level events involved in the amyloid aggregation process.

of new fibrils are primary nucleation, fragmentation and secondary nucleation (Fig. 2.3) [13, 88]. In the absence of preformed aggregates, primary (*i.e.* spontaneous) nucleation is always the first event in the protein aggregation process [13, 88]. Fibril fragmentation and secondary nucleation are fibril formation events that depend on the population of existing aggregates and are referred to as secondary processes to distinguish them from primary pathways that depend only on the concentration of monomers (*e.g.* primary nucleation) [13, 88]. Fragmentation generates new growth-competent fibril ends through breakage of existing fibrils, whereas secondary nucleation refers the formation of aggregates takes place specifically on the surface of existing fibril, thus the amount of catalytic surface is not constant and it will alter with the amount of aggregates present [13, 88].

#### 2.1.5 Structure of amyloid fibrils

Amyloid fibrils, formed by different peptides or proteins, seem to be remarkably similar at the angstrom length scale [5, 8, 29]. X-ray diffraction studies of distinct amyloid aggregates revealed a common  $\beta$ -sheet-rich structure, termed "cross- $\beta$ ", in which  $\beta$ -sheets run parallel to the long



FIGURE 2.4: Cross- $\beta$  pattern of amyloid fibrils. X ray diffraction pattern of amyloid fibrils (left) show a meridional reflection at 4.7-4.8 Å and an equatorial reflection at 10-12 Å. This diffraction pattern originates from cross- $\beta$  structure, in which  $\beta$ -sheets run parallel to the long axis of the fibrils, while  $\beta$ -strands are arranged perpendicularly (right). Adapted from [108].

axis of the fibrils, while  $\beta$ -strands are arranged perpendicular to the fibril axis (Fig. 2.4) [29, 41]. The separation between  $\beta$ -sheets is 10-12 Å, while the distance between  $\beta$ -strands is 4.7-4.8 Å [29, 41, 106, 107].

Whether extracted from organisms or generated in vitro amyloid fibrils typically appear as unbranched, elongated, thread-like structures 6-13 nm in height and up to few micrometres in length, as observed by electron microscopy (EM) or atomic force microscopy (AFM) techniques [7, 8, 29]. Mature amyloid fibrils are typically composed from 2-6 protofilaments, that often twist around each other or associate laterally through specific side chain interactions [7, 8, 29, 44, 109]. Distinct three-dimensional arrangement of protofilaments, termed morphological polymorphism, has been observed by EM and AFM (Fig. 2.5) [29]. Unlike conformational polymorphism, which is caused by the different conformations of protofilaments themselves, morphological polymorphism is the different packing of protofilaments into amyloid fibrils. These morphological polymorphs can be divided into four types: crystals, twisted ribbons, helical ribbons and nanotubes (Fig. 2.5) [29, 44, 110, 111]. Crystals are tape-like aggregates, which possess no macroscopic chirality. Twisted ribbons are defined by undergoing pure torsion around the fibril axis, leading to the saddle-like curvature, whereas helical ribbons are characterised by winding around a hypothetical cylinder of a finite radius, both polymorphs can be either right-handed or left-handed twisted. Finally, nanotubes are observed as elongated structures with no evident cross-sectional height periodicity along fibril axis [29, 44, 110, 111].



FIGURE 2.5: Morphological polymorphism of amyloid fibrils and order-order transitions among distinct polymorphs. Amyloid fibrils, possessing twisted ribbon structure can evolve into crystal or helical ribbon structure through untwisting or lateral aggregation, respectively. Amyloid fibrils, possessing helical ribbon structure can evolve into nanotubes through closure of the edges at constant mean curvature. Adapted from [29].

Order-order transitions have been observed among aforementioned polymorphs [29]. For instance, morphological transition sequence from

twisted ribbon to helical ribbon and finally nanotube was observed during detailed study of the heptapeptide aggregation process using highresolution time-lapse AFM [111]. Transition from twisted ribbon to crystal fibrils was also observed [110]. The latter process is thought to proceed through progressive untwisting of twisted ribbon fibrils, whereas transition from twisted to helical ribbon fibril proceeds through progressive growth in width trough the lateral addition of protofilaments. The transition from helical ribbon to nanotube-like fibrils is thought to proceed through progressive closure of the helical ribbons, which enables to reduce the line tension associated with the external protofilaments while preserving identical curvature and hence bending energy [29].

The application of solid state nuclear magnetic resonance (ssNMR) spectroscopy, cryo-electron microscopy (cryo-EM), and X-ray crystallography to study structures of amyloid fibrils enabled to determine detailed three-dimensional structures of amyloid fibrils formed by distinct peptides and proteins, and has generated a dramatic increase in our knowledge of quaternary structure of fibrillar aggregates [8]. For instance, structural models of A $\beta$ 40 protofilaments formed under quiescent or agitated conditions, revealed not only differences between these polymorphs but also overall similarities (Fig. 2.6A, B) [112, 113]. Under agitated conditions A $\beta$ 40 forms protofilaments, which are 6 nm in width and associate laterally to form striated ribbons [112], whereas protofilamets formed under quiescent conditions are 7 nm in width and do not associate laterally [113]. Both models revealed that each A $\beta$ 40 peptide chain has a flexible N-terminal segment and a pair of  $\beta$ -strands at residues 10-22 and 30-40 [8, 112, 113]. In protofilaments, both  $\beta$ strands are incorporated into stacked  $\beta$ -sheets, running parallel to the long axis of the protofilaments. All  $\beta$ -strands are arranged in a parallel, in-register fashion, and have multiple intermolecular side chain contacts [8]. However, the protofilaments formed under agitated conditions consist of four  $\beta$ -sheets and has a two-fold symmetry (Fig. 2.6A), whereas the ones formed under quiescent conditions consist of six  $\beta$ sheets arranged in thee-fold symmetry (Fig. 2.6B). Another interesting example is fibril structure determined for the prion domain of HET-s from the filamentous fungus Podospora anserina (Fig. 2.6C) [114, 115].

HET forms fibrils consisting of a left-handed or right-handed  $\beta$ -solenoid structure in which each molecule contributes to two windings of the  $\beta$ -solenoid and eight  $\beta$ -strands [114, 115].



FIGURE 2.6: Three-dimensional structures of amyloid fibrils. Structural models of A $\beta$ 40 protofilaments formed under agitated (A) and quiescent (B) conditions. (C) Left-handed  $\beta$ -solenoid structure of the fibril of the HET-s prion domain. Adapted from [112] (A), [113] (B, Copyright (2008) National Academy of Sciences), [114] (C).

#### 2.1.6 Polymorphism of amyloid aggregates

One of the most fascinating properties common among amyloidogenic proteins is their ability to form conformationally diverse fibrils, termed "strains", the best-studied example being prions [8, 10, 20, 21, 28, 29, 53, 116]. The prion strains are defined as conformationally different infectious aggregates that, when transmitted to identical hosts, exhibit distinct prion-disease phenotype, which is characterised by diverse patterns of protein aggregate deposition, incubation times, histopathological lesion profiles, and specific neuronal target areas [4, 9, 20, 28, 53]. Most of these traits are relatively stable across serial passage, however, formation of new distinct strains upon transmission of prions into a different host or into the same host expressing different polymorphisms of the prion gene, has also been observed [9, 53, 117, 118]. Latter phenomena is sometimes referred to as a "strain mutation" or "conformational switching".

"Strain mutation" or "conformational switching" is the ability of prions to adapt to specific environment through a Darwinian evolution: the most "aggressive" strains that self-replicate fastest generally dominate [17, 19] (Fig. 2.7). This phenomena is frequently observed when prions are transmitted to different host species [119]. Although the origin of this phenomena is not clear, two hypotheses have been suggested. First, "cloud" hypothesis, which suggest that pools of infectious form of prion protein ( $PrP^{Sc}$ ) within individual isolates are intrinsically heterogeneous (Fig. 2.7A). Upon the alteration in the environment, the strains that fit the best to replicate in the new environment gain selective advantages, which results in transformation of the strain population. Alternative to the "cloud" hypothesis is the deformed templating model, which suggests that alterations in the environment result in generation of new  $PrP^{Sc}$  variants and hence strains, which are the best fit for selfreplication in a new environment [17–19] (Fig. 2.7B).



FIGURE 2.7: Schematic illustration of "cloud" (A) and deformed templating (B) hypotheses describing the origin of prion mutation phenomena. Adapted from [18].

The conformational diversity in prions initially was noted in goats [120]. Healthy goats inoculated with scrapie isolates (*i.e.* strains) from goats with scratching or nervous syndrome developed scrapie disease with similar symptoms to scratching or nervous syndrome, respectively. Later, two biologically distinct strains, termed "hyper" and "drowsy",

of transmissible mink encephalopathy agent has been isolated by serial passage in outbread Syrian golden hamsters [121]. Studies in mice have also demonstrated the existence of diverse prion strains, which produce characteristic phenotype in inoculated recipients [20, 53, 122, 123]. Subsequently, numerous prion strains have been identified in human and other mammal prion diseases [4, 9, 20, 53]. Biochemical analysis of prion aggregates, obtained from infected mammals, enabled to determine structural variations, including differences in glycosylation patterns, extent of protease resistance, electrophoretic mobility of proteolytic fragments and conformational stability, that make up different strains [26].

De novo formation of prion strains under distinct environmental conditions in vitro was demonstrated in multiple studies [17, 20-27]. The possibility to in vitro generate prion strains, which behave similar to the ones in live organisms, is of fundamental importance to elucidation of prion aggregation process. For instance, distinct prion strains, possessing different conformational stabilities, were generated from mouse recPrP by altering environmental conditions, including pH, temperature, and urea concentration. Subsequently, these strains were inoculated into transgenic mice (Tg9949). The animals inoculated with the most stable prion strains exhibited the longest incubation periods, while mice inoculated with the less stable aggregates developed prion disease sooner (Fig. 2.8) [124]. Interestingly, the opposite correlation was observed in case of hamster prions. Syrian golden hamsters inoculated with more stable strains exhibited shorter incubation periods, while hamsters inoculated with less stable strains developed prion disease later [125]. Even though conflicting, both results suggest that conformational stability of the aggregates and the duration of incubation time after inoculation in susceptible animals are directly correlated. This concept has been proven to be of fundamental importance for developing therapeutic strategies to fight prion diseases and their transmission. Despite persistent and sustained efforts there are no effective antiprion drugs, and it seems that the effects of tested potential inhibitors is closely related to particular prion strains, as no universal inhibitor for all strains exists [17, 126]. It is worth to notice that even though most of the artificial prion strains can self-replicate *in vitro*, only some of them are infectious and capable to self-propagate *in vivo* [17, 26, 27, 124]. Thus, the mechanisms of prion infectivity remain to be established.



FIGURE 2.8: Schematic illustration of correlation between conformational stability of the aggregates and the length of incubation time after inoculation in susceptible animals. Mice inoculated with less stable strains develop prion disease faster when compared to the ones inoculated with the more stable aggregates. Adapted from [26].

Prion-like conformational variability has also been observed in fibrils formed by other amyloid proteins [8, 10, 28]. Multiple studies have shown that  $A\beta$  fibrils are highly polymorphic, with molecular structures that depend on aggregation conditions [127-131]. For instance, under quiescent and agitated conditions  $A\beta_{40}$  forms twisted fibrils and striated ribbons, respectively. Both of these strains could self-propagate even under distinct environmental conditions. In addition, these strains display significantly different toxicities in neuronal cell cultures [127]. Several discrete sub-populations of brain A $\beta$ 42 conformers, that have distinct conformational characteristics, different toxicity and propagation rates in AD, have been identified in brain samples of patients diagnosed with rapidly progressive sporadic AD [132, 133]. Similarly, A $\beta$ 40 fibrils, extracted from two patients with distinct clinical histories of AD, were structurally different from one another [129]. These findings suggest that AD exhibits a wide spectrum of A $\beta$  conformers, with distinct structural characteristics, that may play an important role in the onset and progression of distinct AD phenotypes. It was demonstrated that  $\alpha$ syn can form strains with different structures, levels of toxicity, *in vitro* and *in vivo* self-propagation properties, which cause distinct synucleinopathies [134–138]. Similarly, tau fibril strains, which target different brain regions and propagate pathology at unique rates, are thought to be responsible for the heterogeneity of human tauopathies [139–141]. Insulin was also found to form structurally different amyloid fibrils *in vitro* [74, 81–84, 86, 142]. Moreover, distinct strains of SOD1 aggregates with different structural architectures, molecular properties, distribution, end-stage aggregate levels, and histopathology were also reported by multiple studies [143, 144].

It is evident that structural polymorphism is common among amyloidogenic proteins. Studies of this phenomena are highly important for development of effective anti-prion therapeutic strategies.

Conformational polymorphism can be encountered at all levels of aggregation, and can be seen to originate due to rugged energy landscape that underlies misfolding and aggregation (Fig. 2.9) [29]. Peptide and protein folding into native conformation state can be described by an energy landscape that represents the energy of the amino acid sequence as a function of all possible conformations, and it can be seen as funnel-like landscape (Fig. 2.9) [29]. The newly synthesised polypeptide chain needs to run through several folding intermediates in order to acquire the native folded state. The energy landscape towards the thermodynamically favourable native conformational state is often rugged, meaning that the molecules have to cross substantial kinetic energy barriers [29, 145]. In the case of folding routes leading towards aggregate formation, the configuration of the energy landscape is similar to that of leading to the formation of native conformational state as far as funnellike shape is concerned, however, due to the appearance of intermolecular interactions and their competition with intramolecular interactions, the ruggedness of the aggregation landscape is much larger [29, 146]. Newly synthesised polypeptide chains have a high degree of entropy and free energy. During the folding process the conformational states, and hence the entropy and free energy, decrease towards the state occupying the lowest absolute energy minimum, which represents the

conformation of natively folded proteins [28, 29, 146-148]. In case of folding routes leading towards aggregate formation, the amyloid fibrils have been postulated to be the most stable thermodynamic state occupying the lowest absolute energy minimum in the energy landscape [29, 149, 150]. The energy landscape of polypeptide chain folding into native state resembles a funnel with a global minimum that corresponds to the native state, whereas the energy landscape of amyloid fibrils can be characterised with numerous local minima peaks corresponding to different strains of fibrils [28, 29, 150] (Fig. 2.9). Theoretically, multiple strains could emerge in one fibrillization event, but the exact environmental conditions may favour the generation and propagation of one strain over the others [28]. In order to prevent formation of misfolded and non-functional conformational states of peptides and proteins, evolution has provided living systems with strategies to maintain peptides and proteins in their soluble states. Molecular chaperones are fundamental biomolecules which assist the folding of individual polypeptide chains into their native state by lowering the energy barriers separating folding intermediates. Chaperones also prevent intermolecular interactions between misfolded molecules, which otherwise could lead to the aggregate formation [8, 29].



FIGURE 2.9: The energy landscape of polypeptide chain folding into native state (green) and the amyloid fibrils (red). The energy landscape of amyloid fibrils can have numerous local minima peaks corresponding to different strains of fibrils. Adapted from [29, 59].

#### 2.1.7 Self-propagation and spreading of amyloid aggregates

A key pathogenic event in TSEs is conformational conversion of native, protease-sensitive, cell-surface localised prion protein PrP<sup>C</sup> into a misfolded, aggregation-prone and protease-resistant pathogenic conformer, PrP<sup>Sc</sup>. One of the most fascinating and also frightening features of TSEs is that they can be transmitted among individuals of the same and other species [9, 20, 28, 53, 151–153]. In humans, infectious forms of prion diseases include kuru, iatrogenic (iCJD) and variant Creutzfeldt-Jakob disease (vCJD). Kuru was transmitted among the Fore people of Papua New Guinea by ritualistic cannibalism during which they ate the brains of their relatives in an attempt to immortalise them [20, 154, 155]. iCJD was caused by prion-contaminated human growth hormone and gonadotropin, dura mater grafts, and transplants of corneas obtained from people who died of Creutzfeldt-Jakob disease (CJD) [20, 156, 157]. vCJD caused death of more than 200 people, mostly in United Kingdom. vCJD resulted from prions being transmitted from cattle with bovine spongiform encephalopathy (BSE) to humans through consumption of prion-tainted beef products [20, 158-160].

It is believed that stable, aggregation-prone nuclei (oligomers) or fibrillar aggregates (*i.e.*  $PrP^{Sc}$ ), formed from misfolded  $PrP^{C}$ , can be transmitted between cells and even organisms where then they act as a "seed" that induces conformational change of PrP<sup>C</sup>, located in host organism, into PrP<sup>Sc</sup>, which eventually results in onset and progression of disease [4, 9, 20, 28, 151, 152, 161]. As an infectious agent, PrP<sup>Sc</sup> can replicate itself by imprinting its pathogenic conformation on host PrP<sup>C</sup> molecules. Although the molecular mechanisms underlying the in vivo PrP<sup>C</sup>-to-PrP<sup>Sc</sup> conversion are poorly understood, it is thought that it proceeds through one of the previously mentioned mechanisms, likely by nucleated-polymerisation reaction (Section 2.1.4) (Fig. 2.3 and Fig. 2.10) [9, 20, 161]. Briefly, the spontaneous formation of stable aggregation-prone oligomeric nucleus is thermodinamically unfavourable, however, once the nucleus has formed it acts as a template that induces conformational change of PrP<sup>C</sup> into PrP<sup>Sc</sup>. Thus the rate-limiting step is not the conformational conversion itself, but the nucleation step [9, 161]. It is unlikely that a stable aggregation-prone nucleus will ever form in healthy individuals, who do not have gene mutations, which could result in the onset of prion disease, and whose biological clearance mechanisms function correctly. However, the nucleation step can be bypassed by exposure to exogenous "seed" (*i.e.* aggregation-prone nuclei (oligomers) or fibrillar aggregates) (Fig. 2.10) through ingestion (oral exposure), blood transfusion, corneal and dura mater transplantations from diseased cadaveric donors, through the use of prion-contaminated electroencephalography electrodes and neurosurgical instruments, and through the intramuscular administration of contaminated pituitary-derived hormones [4, 161]. The continuous fragmentation of existing fibrils and secondary nucleation are considered as one of the key processes that facilitate propagation of prion and also other amyloid aggregates [8, 161]. For instance, it was demonstrated that these secondary pathways play an important role in proliferation of SUP35 [162–164], IAPP [165], tau [166], Aβ [62, 63],  $\alpha$ -syn [167] aggregates.

Recent collection of studies has provided convincing evidence that "prion-like" self-propagation may be a key phenomenon in all forms of amyloid, including A $\beta$ ,  $\alpha$ -syn, tau, Htt, TTR, superoxide dismutase 1 (SOD1) and TDP-43. And it is most likely to be the mechanism by which amyloid deposits spread [151, 168–178].

Several mechanisms of cell-to-cell transmission have been proposed (Fig. 2.11) [28, 153]. Intracellular aggregates can be released from the host cell into extracellular space in the "naked" form via exocytosis [28, 153, 179, 180], or via membrane-bound vesicles such as exosomes [28, 181, 182] (Fig. 2.11 a, b, respectively). Aggregates free-floating in extracellular space may directly penetrate the plasma membrane of the recipient cells [179, 183] (Fig. 2.11 c), or enter by fluid phase endocytosis (Fig. 2.11 d) [177, 184–186] or receptor-mediated endocytosis (Fig. 2.11 e) [187, 188]. Exosomes containing aggregates can fuse with the membrane of recipient cell, and release aggregates into cytoplasm [181, 182, 189, 190]. Intercellular seeds may also be transferred via tunneling nanotubes [191, 192] (Fig. 2.11 f), that directly connect the cytoplasm of two cells. Internalised seeds then nucleate the fibrillisation of native



FIGURE 2.10: Schematic representation of PrP<sup>C</sup> aggregation process induced by exposure to exogenous infectious agent. Spontaneous formation of aggregation-prone nuclei is a very slow process, which is unlikely to happen in healthy individuals. However, this step is bypassed upon exposure to exogenous "seed". Exogeneous PrP<sup>Sc</sup> self-propagate by inducing conformational conversion of PrP<sup>C</sup> into PrP<sup>Sc</sup>. The continuous fragmentation of existing aggregates and secondary nucleation results in generation of new infectious agents. The overall process of self-replication can be imagined as a "chain-reaction" which is continuous until all monomeric species are depleted.

monomers in the cytoplasm of the recipient cell. It is evident that multiple pathways may be involved in cell-to-cell spreading of amyloid aggregates.



FIGURE 2.11: Schematic illustration of potential pathways of cell-to-cell spreading of amyloid aggregates. Cellular amyloid aggregates can be released from cell in "naked" form (a) or inside exosomes (b). Free-floating aggregates can enter recipient cell by direct penetration of the plasma membrane (c), fluid phase endocytosis (d), or receptor-mediated endocytosis (e). Exosomes containing aggregates may fuse with the membrane of recipient cell (f) and release aggregates into cytoplasm of recipient cell. Intracellular aggregate transfer may also occur via nanotubes (g), which directly connects the cytoplasm of two cells. Adapted from [28].

# 2.2 Biophysical techniques used to study amyloid aggregation.

#### 2.2.1 Thioflavin-T assay

The benzothiazole dye Thioflavin-T (ThT) was first introduced as a fluorescent marker for amyloid fibrils in 1965 by Vassar and Culling. Upon binding to amyloid fibrils ThT displays a dramatic shift of excitation maximum (from 385 nm to 450 nm) and the emission maximum (from 445 nm to 482 nm), and exhibits a strong increase in fluorescence emission. It is thought that ThT behaves as a molecular rotor [193]. The ThT molecule consists of benzylamine and benzothiazole rings connected through a C-C bond. In solution, a low energy barrier enables these rings to rotate freely about their shared C-C bond, which quenches excited states generated by photon excitation, causing low fluorescence emission for free ThT. Upon binding to amyloid fibris, however, the rotation about C-C is restricted, resulting in a high quantum yield of fluorescence [193, 194].

Because of simplicity, ThT fluorescence assay has become a standard technique to monitor amyloid fibril formation *in vitro*. When the quantity of fibrils formed during amyloid aggregation process is measured as a function of time by monitoring ThT fluorescence emission intensity, sigmoidal kinetics are frequently observed (Fig. 2.12) [8, 29]. The phenomenological parameters describing aggregation process are: reaction half-time ( $t_{50}$ ); maximal growth rate ( $k_{app}$ ); and the lag time ( $t_{lag} = t_{50} - 2/k_{app}$ ). These parameters can be extracted by fitting data with the following sigmoidal equation:

$$Y = y_i + m_i t + \frac{y_f + m_f t}{1 + e^{-((t - t_{50}) \times k_{app})}}$$
(2.1)

where Y is ThT fluorescence emission intensity, t is time and  $t_{50}$  is the time point when 50% of maximum ThT fluorescence intensity is reached. The initial baseline is described by  $y_i + m_i t$  and the final baseline is described by  $y_f + m_f t$ .



FIGURE 2.12: Schematic illustration of the sigmoidal increase in ThT fluorescence intensity upon amyloid fibril formation.

### 2.2.2 Chemical kinetics

Development and application of robust experimental and mathematical techniques to analyse the kinetics of amyloid fibril formation enabled to relate the commonly available bulk experimental measurements to the microscopic steps in the mechanism of aggregation and has brought new mechanistic insights into amyloid formation process [12, 13, 61, 195]. Distinct elementary molecular-level events, including primary nucleation, elongation, secondary nucleation and fragmentation, contribute to the overall formation of amyloid aggregates. One of the main goals in molecular-self assembly of amyloids is to determine importance and contribution of these microscopic steps to the overall reaction [61]. The investigation of the amyloid fibril formation mechanisms starts from the formulation of a differential rate law (i.e. master equation) for the aggregation kinetics. A full kinetic description of amyloid aggregation in terms of a master equation is complex, involving infinitely many non-linear coupled differential equations describing time evolution of the concentrations of each species (i.e. monomers, oligomers and fibrils). However, these equations can be simplified by considering only the total number and mass concentration of aggregates of any size instead of taking into account the concentrations of all aggregates sizes individually [12, 13]. In this

description, three quantities: the monomer concentration, the fibril number concentration, and the fibril mass concentration, define the state of aggregating system [12, 13]. For instance, aggregating system, in which all previously mentioned microscopic steps are present, can be described by following equations

$$\frac{dP}{dt} = k_n m(t)^{n_c} + k_- M(t) + k_2 M(t) \frac{m(t)^{n_2}}{(1 + m(t)/K_M)^{n_2}}$$
(2.2)

$$\frac{dM}{dt} = 2k_{+}P(t)\frac{m(t)}{1+m(t)/K_{E}}$$
(2.3)

where m(t) is the monomer concentration; P(t) is the fibril number concentration; M(t) is the fibril mass concentration;  $n_c$  and  $n_2$  are the reaction order for primary and secondary nucleation, respectively;  $k_n$ ,  $k_+$ ,  $k_-$  and  $k_2$  are the primary nucleation, elongation, fragmentation and secondary nucleation rate constants, respectively;  $K_M$  is Michaelis constant for secondary nucleation.  $K_M$  has units of concentration<sup>n2</sup>, and  $K_M^{1/n2}$  gives monomer concentration at which secondary nucleation is half saturated.  $K_E$  is Michaelis constant for elongation.  $K_E$  has units of concentration is half saturated.

Because of the complexity of the aggregation process the selection of a suitable models for global fitting to the experimental data is difficult, however, the number of model choices can be narrowed down by applying constraints on possible mechanisms [12, 13]. In particular, the curvature of double logarithmic plots of the half-time versus monomer concentrations, and their slopes can provide insights into which aggregation mechanisms are dominant. For instance, linear plots suggest that the dominant mechanism does not change at different monomer concentrations, whereas positive curvature points towards the presence of saturation effects in the dominant mechanism and negative curvature indicates that competition of processes in parallel is present (Fig. 2.13). The scaling exponent  $\gamma$ , defined by the relationship  $t_{50} \propto m(0)^{\gamma}$  can also provide insights into the underlying mechanism of amyloid formation. The  $\gamma$  expected for different dominant mechanisms of aggregation are summarised at Table 2.3.



FIGURE 2.13: Schematic illustration of double logarithmic plots with different curvatures. Adapted from [12].

Application of this approach to study protein fibrillization has brought new mechanistic insights into aggregation process of distinct amyloid proteins, including A $\beta$ 40, A $\beta$ 42 and insulin [62, 88], and tremendously increased our knowledge of this complex process. Moreover, this approach has proven to be extremely useful in drugdiscovery, as it enables to determine mechanisms of action of potential therapeutic molecules [42, 196–199].

 TABLE 2.3: The scaling exponents expected for different dominant mechanisms of aggregation. Adapted from [12].

Dominant pathway	Approximate $\gamma$
Primary nucleation only	$-\frac{n_c}{2}$
Fragmentation	$-\frac{1}{2}$
Secondary nucleation	$-\frac{1+n_2}{2}$
Dominant pathway	Change in $\gamma$
Saturating secondary nucleation	$+\frac{n_2}{2}$
Saturating elongation	$+\frac{1}{2}$
Competing secondary processes	$-\frac{n_2}{2}$
Competing primary and secondary process	$-\frac{n_2+1-n_c}{2}$

#### 2.2.3 Fourier-transform infrared spectroscopy (FTIR)

Infrared (IR) spectroscopy is one of the most important and well established analytical techniques for secondary structure determination of polypeptides, proteins and their aggregates [200–204]. The working principle of IR spectroscopy is based on the measurements of absorbed infrared radiation at a particular energy by a sample under investigation. The IR spectra of polypeptides display absorption bands associated with their characteristic amide group. There are nine such bands, termed amide A, amide B and amides I-VII. The amide I and II bands are the two most prominent vibrational bands of the protein backbone and therefore are frequently used to study structural properties of polypeptides.

The amide II band (1575-1480 cm<sup>-1</sup>) derives mainly from in-plane N-H bending (60% of the potential energy) and from C-N stretching vibration (40% of the potential energy). Although the amide II band of deuterated polypeptide overlaps with the H-O-D bending vibration making it difficult to obtain information about the conformation of this band, the remainder of this band at 1550 cm<sup>-1</sup> can provide information about the accessibility of solvent to the polypeptide backbone [200, 201, 203].

The amide I band (1700-1600 cm<sup>-1</sup>) is the most useful infrared band for the analysis of secondary structure of polypeptides in aqueous environment. The amide I band derives mainly form C=O stretching vibration of the amide group coupled to the in plane N-H bending and C-N stretching modes. The exact frequency (*i.e.* wavenumber) of this vibration depends on the nature of hydrogen bonding involving the C=O and N-H groups, which is determined by the secondary structure of protein under investigation (Table 2.4) [200, 201, 203]. Since proteins can have a variety of domains containing polypeptide fragments in different conformations, the observed amide I band is typically a complex composite consisting of a number of overlapping component bands representing  $\alpha$ helices,  $\beta$ -sheets, turns and random structures [200, 201, 203]. To avoid overlapping of protein amide I and water bands, D<sub>2</sub>O is used as solvent

Secondary structure	Band position in $H_2O$	Band position in D <sub>2</sub> O	
α-helix	$1657-1648 \text{ cm}^{-1}$	$1660-1642 \text{ cm}^{-1}$	
'3-turn' helix	$1666-1659 \text{ cm}^{-1}$	$1643-1639 \text{ cm}^{-1}$	
$\beta$ -sheet (characteristic for native $\beta$ -sheet proteins )	$1641-1623 \text{ cm}^{-1}$	$1660-1642 \text{ cm}^{-1}$	
$\beta$ -sheet (characteristic for amyloid fibrils)	$1630-1610 \text{ cm}^{-1}$		
Antiparallel $\beta$ -sheet	$1695-1674 \text{ cm}^{-1}$	$1694-1672 \text{ cm}^{-1}$	
$\beta$ -turn	$1686-1662 \text{ cm}^{-1}$	$1694-1672 \text{ cm}^{-1}$	
Random coil	$1657-1642 \text{ cm}^{-1}$	$1654-1639 \text{ cm}^{-1}$	

TABLE 2.4: Assignment of amide I band positions to secondary structure of polypeptide chain. Adapted from [42, 200, 203, 207].

in FTIR measurements. At equal concentrations of D<sup>+</sup> and H<sup>+</sup>, respectively, the pH-meter reading with a glass electrode is 0.4 pH units lower in D<sub>2</sub>O than in H<sub>2</sub>O [205]. However, isotopes affect the pKa of protein ionizable groups, and for solutions of globular proteins the  $\Delta$ pKa was found to be 0.4 pH units in the acidic range, thus the isotope effect on the glass electrode and the ionization constant cancel each other, so that an identical pH-meter reading (in the acidic range) refers to an identical ionization state of the biopolymer in D<sub>2</sub>O and H<sub>2</sub>O solutions [206].

FTIR has been extensively employed in the amyloid aggregation studies to monitor conformational transition from monomers to  $\beta$ -sheet rich fibrilar structures, as well as to determine structural properties of these aggregates [42, 81–86, 142, 204, 207].

#### 2.2.4 Atomic force microscopy

Since introduction in 1986 by Binnig, Quate and Gerber, atomic force microscopy has emerged as one of the most powerful and versatile single-molecule techniques used for imaging and characterisation of biomolecules [7, 42, 208, 209]. AFM is a high precision technique which enables to acquire three-dimensional image of a sample, deposited on an atomically flat surface. Substrates, typically used for sample

deposition, are atomically flat mica, highly ordered pyrolytic graphite, glass, and gold [7, 42, 208]. The sample morphology is reconstructed by probing distance-dependent tip-sample interaction forces. The AFM probe is a microfabricated sharp tip, attached to a flexible cantilever at its free end (Fig. 2.14). Typically probes are made of silicon or silicon nitride and can be pyramidal or conical shaped, with tip radius of curvature between 1 and 50 nm. Conventional cantilevers are triangular or rectangular levers typically 10-200  $\mu$ m in length [7, 42, 208, 209]. The sample can be moved in respect to the probe, horizontally (X, Y) and vertically (Z) using piezoelectric scanners (Fig. 2.14). The tip probes sample surface in a raster way, moving sequentially along parallel lines. All lines are divided in a fixed number of pixels, each of which stores a value of recorded tip-sample interaction force. Once the scan is completed the data acquired is used to reconstruct a three-dimensional representation of the sample surface, which is typically represented as a two-dimensional (XY) image associated with a height scale (Z) of the morphology of the sample [7, 42, 44, 208, 210].

Most of conventional AFMs can operate in two general modes: static or dynamic mode (DM) [7, 42, 208, 209]. In the static mode, also known as contact mode, the probe is brought into close contact with the sample and scanned across its surface. The elastic deformation (i.e. deflection) of the cantilever, caused by tip-sample repulsion forces, can be directly measured. Typically, optical lever method is used. A laser beam is focused on the back of the cantilever and the position of the reflected beam is detected by a position-sensitive four-quadrant photodiode (PSPD) (Fig. 2.14)[7, 42, 208, 209]. Three-dimensional images of the sample surface are obtained by maintaining a constant deflection of the cantilever, and hence tip-sample interaction force, during the scanning. The deflection  $\Delta z$  of the cantilever is proportional to the tip-sample interaction force *F*, as described by the Hooke's law  $F = k \times \Delta z$ , where *k* is the cantilever's spring constant [7, 42, 208, 209]. When the position of the laser spot on the PSPD changes, the feedback system including a proportional, integral, and differential (PID) controller (Fig. 2.14), reacts by extending or retracting the piezo actuator along the Z axis to compensate deviation of the cantilever deflection from the chosen set point value. The vertical displacement  $\Delta Z$  of the scanner is registered for each pixel and the obtained  $\Delta Z$  map corresponds to the topograpy of the sample surface. In most of conventional AFMs the measured deflection of the cantilever ranges typically between 0.1 nm to a few micrometres, which enables to routinely measure forces ranging from  $10^{-13}$  N to  $10^{-5}$  N [7, 42, 208, 209].

Although static (i.e. contact) mode AFM is widely used to characterise solid substrates, its application to soft biological samples was found to be limited by a large lateral tip-sample frictional forces that are present during imaging, and which may cause sample damage and introduce artefacts in the measurements of soft biological samples [7, 42, 208, 209]. In order to avoid this issue, the dynamic mode of AFM was introduced [211]. In this mode the cantilever is driven into oscillation at a fixed excitation frequency, and scanned over the surface of the sample. Depending on the mechanism of how the cantilever is driven into oscillation, two modes of DM-AFM can be considered: amplitudemodulation (AM) mode and frequency-modulation (FM) mode [208]. In AM mode the cantilever is driven into oscillation using an external frequency generator (Fig. 2.14), whereas in FM mode a self-driven oscillator, which uses the signal of cantilever deflection as drive signal (Fig. 2.14), is used. Same as in static mode, the deflection of the cantilever in dynamic mode is typically measured using an optical lever method. In AM-AFM mode, the system is typically operating in tapping mode where the tip is intermittently brought in contact with the sample [7, 42, 208, 209]. However, if more complex feedback mechanisms are used, AM-AFM can also work in a non-contact mode, both in air and in liquid [7, 42]. The oscillation amplitude of the cantilever and its phase shift are used as a feedback parameters to measure the topography of the sample surface. When the distance between the tip and sample changes, the oscillation amplitude of the cantilever is modified, the feedback loop will adjust the tip-sample distance ( $\Delta Z$ ) by extending or retracting the piezo actuator along the Z axis in order to maintain amplitude constant [7, 42, 208, 209].  $\Delta Z$  value is recorded for each pixel and is subsequently used to retrieve the topography of the sample surface. Although FM-AFM has been implemented to reach atomic resolution



FIGURE 2.14: Simplified schematic depiction of an atomic force microscope operated in dynamic mode where the driving of the cantilever can be switched between amplitude modulation (solid lines) and frequency modulation (dashed lines) modes. In the AM-AFM mode the cantilever is driven into oscillation using an external frequency generator, while in the FM-AFM a feedback loop consisting of a time ("phase") shifter and amplifier is used to drive the oscillations of the cantilever. The cantilever oscillates between the nearest tip-sample position D and D+2A, where the equilibrium position of the tip is denoted as d. Adapted from [208].

in ultra-high vacuum environment, the more complex feedback mechanisms, and the low thermal stability of resonant cantilever frequency in air have limited its spreading for common applications [7, 42, 208, 209]. For all the reasons mentioned above, AM-AFM is the most commonly used modality of AFM to study biological specimen in liquid and air, and has been widely applied to investigate structures from the single protein to the cellular scale [7, 212, 213].

The AFM is often employed to study amyloid aggregation process [7, 42, 44, 214–217]. In particular, AFM enables to visualise and statistically characterise morphological properties (*e.g.* height, width, length) of the polymorphic and heterogeneous species, including monomers, oligomers, protofibrillar structures and mature amyloid fibrils, present during the process of amyloid aggregation [7, 44, 214–223]. This possibility has been essential for the elucidation of mature fibril structure and mechanisms of formation. For instance, based on statistical analysis of fibrillar species dimensions, including cross-sectional height, persistence length and periodicity, several studies have demonstrated that mature amyloid fibrils are formed by the hierarchical self-assembly of protofilaments twisting together through specific side chain interactions [41, 217, 224–228]. Moreover, studies of A $\beta$  aggregation process using high-resolution AFM brought new mechanistic insights into the process of fibril formation and the molecular basis for the different structural transitions in the amyloid pathway [128, 131, 229–234] and for the first time provided visual evidence for secondary-nucleation sites on the surfaces of A $\beta$ 42 fibrils [131]. Imaging amyloid assembly process using AFM enables not only to monitor fibril formation but also allows to assess the effects of various internal and external factors on the aggregation process and the morphology of the final fibrillar products [235-239].

# **Chapter 3**

# **Materials and Methods**

# Materials and methods of section 4.1.1

#### Formation of insulin aggregates

Recombinant human insulin was purchased from Sigma Aldrich (#91077C). Insulin amyloid fibrils were formed by incubating fresh 1 mM insulin solution in 100 mM phosphate buffer (PB), at different pH (in  $H_2O$ ) and pH<sup>\*</sup> (in  $D_2O$ , where pH<sup>\*</sup> is the pH-meter readout uncorrected for isotopic effects [206]) values at 60 °C for 24 hours with 300 RPM agitation (using a MHR 23 thermomixer, Ditabis, Germany).

For seeding experiments 1 ml of insulin fibril solution was sonicated for 10 minutes using a Bandelin Sonopuls 3100 ultrasonic homogeniser equipped with a MS73 tip (using 50% of the power, cycles of 30 s/30 s sonication/rest, total energy applied to the sample per cycle, 0.56 kJ). The sample was kept on ice during the sonication procedure. Right after the treatment, one part of the fibrils was mixed with 9 parts of the fresh 1 mM insulin solution in the appropriate buffer and incubated at 37 °C for 24 hours without agitation. The secondary structures and morphological signatures of the aggregates obtained were determined using FTIR spectroscopy and AFM.

#### Measurements of seed-induced aggregation reaction kinetics

Samples were prepared as described above, with addition of 50  $\mu$ M ThT. Right after mixing the fresh insulin solution with seeds, samples were divided into 200  $\mu$ l aliquots, in 96-well plates. The plates were sealed using clear polyolefin sealing tape. The aggregation kinetics were followed under quiescent conditions at 37 °C using a Biotek Synergy H4 plate reader. Formation of insulin fibrils was monitored by measuring

ThT fluorescence intensity through the bottom of the plate every 2 min (with excitation filter 440 nm and emission filter 482 nm), simultaneously formation of fibrils was monitored by measuring absorbance at 600 nm, which changes together with the increasing size of aggregates due to light scattering.

Initial kinetic parameters of aggregation were obtained as described by [74], using Equation 2.1.

#### Fourier-Transform Infrared Spectroscopy

To avoid overlapping of protein amide I and water bands, 1 ml of insulin fibrils prepared in H<sub>2</sub>O were separated from water by centrifugation at 20 000  $\times$  g for 30 min and subsequently resuspended in 1 ml of D<sub>2</sub>O, the procedure was repeated three times.

Fibrils originally prepared in  $D_2O$ , or resuspended in  $D_2O$ , were sonicated for 1 minute using a Bandelin Sonopuls 3100 ultrasonic homogeniser equipped with a MS73 tip. The FTIR spectra were recorded using a Nicolet 5700 spectrometer from Thermo Scientific equipped with a liquid-nitrogen-cooled mercury-cadmium-telluride detector. For all measurements, CaF<sub>2</sub> transmission windows and 0.05 mm Mylar spacers were used. Spectra were recorded at room temperature. For each spectrum, 256 interferograms of 2 cm<sup>-1</sup> resolution were co-added. A corresponding buffer spectrum was subtracted from each sample spectrum. All the spectra were baseline-corrected and normalised before further data processing. All data processing was performed using GRAMS software.

#### Atomic force microscopy

For AFM experiments, 1 mM insulin was diluted 100 times with deionized water, 30  $\mu$ l of the sample were deposited on freshly cleaved mica and left to adsorb for 1 min, the sample was rinsed with 1 ml of water and dried gently using airflow. AFM images were recorded in the Tapping-in-Air mode at a drive frequency of approximately 300 kHz, using a MultiMode SPM microscope equipped with a NanoScope IIIa controller. PointProbe NCHR aluminium-coated silicon tips from

Nanosensors were used as a probe. AFM images were flattened using SPIP (Image Metrology) software.

# Materials and methods of section 4.1.2

#### Formation of insulin aggregates

Recombinant human insulin was purchased from Sigma Aldrich (#91077C). Insulin amyloid-like fibrils were prepared by incubation of fresh insulin solution in 100 mM phosphate buffer pH 2.4 (with and without NaCl) at 60 °C for 24 hours in quiescent conditions. To follow aggregation kinetics, (0.5–5.0 mg/ml) samples were prepared as described above, with addition of 100  $\mu$ M Thioflavin T (ThT). Aggregation kinetics were recorded at constant 60 °C temperature using QIAGEN Rotor-Gene Q real-time analyser. Increase of ThT fluorescence intensity upon fibril formation was observed using green channel (excitation 470 nm; emission 510 nm).

#### **Determination of kinetic parmetes**

Initial kinetic parameters of aggregation were obtained as described by [74], using Equation 2.1.

ThT maximum intensities showed linear increase upon rising protein concentration. Fibril concentration was estimated by matching maximum ThT fluorescence intensity to the respective initial insulin concentration for each experimental curve (assuming that aggregation efficiency is 100%) using the following equation:

Fibril concentration = 
$$\frac{(y_x - y_{min}) \times c}{y_{max} - y_{min}}$$
 (3.1)

where  $y_x$  is ThT fluorescence intensity at time x,  $y_{min}$  and  $y_{max}$  are the minimal and maximal fluorescence intensities, respectively, and c is initial insulin concentration.

#### Data analysis

Insulin aggregation models and experimental data fitting were done

using rModeler (Ubicalc Software). Fitting was performed using "Classic", "Saturated elongation", "Classic + Tetramers" and "Classic + Capping" models (Fig. 3.1) on experimental results from insulin aggregation with and without NaCl. Steps of amyloid aggregation were described using the following equations:

Primary nucleation, a process in which native protein molecules (M) change their secondary structure and become aggregation centers (A) with rate constant  $k_n$ :

$$\frac{d[A]}{dt} = k_n [M]^2 \tag{3.2}$$

Elongation (3.3) or saturated elongation (3.4), responsible for fibril growth (*F*), during which amyloidogenic proteins are added to aggregation centers (*A*) with rate constant  $k_+$ , where K<sub>M</sub> determines the monomer concentration at which this process saturates:

$$\frac{d[F]}{dt} = k_{+}[M][A]$$
(3.3)

$$\frac{d[F]}{dt} = \frac{k_+[M][A]}{1 + \frac{[M]}{K_M}}$$
(3.4)

Secondary nucleation, the process of aggregation center (A) formation on the surface of fibrils (F) with rate constant  $k_2$ , using the surface as a catalyst:

$$\frac{d[A]}{dt} = k_2[M]^2[F]$$
(3.5)

Fibril fragmentation, resulting in the creation of new aggregation centers (*A*) due to breaks in the fibrils (*F*) with rate constant  $k_{-}$ :

$$\frac{d[A]}{dt} = k_{-}[F] \tag{3.6}$$

Equilibrium between monomers (*M*) and tetramers (*T*), where  $k_t$  and  $k_m$  are the tetramer and monomer formation rate constants:

$$\frac{d[T]}{dt} = k_t [M]^4 \tag{3.7}$$

$$\frac{d[M]}{dt} = -k_m[A][T] \tag{3.8}$$

"Capping" of aggregation centers (*A*) by tetramers (*T*) with rate constant  $k_c$ :

$$\frac{d[A]}{dt} = -k_c[A][T] \tag{3.9}$$

	1° Nucleation	Elongation	Saturated elongation	2° Nucleation	Fragmentation	Monomer-Tetramer Equilibrium	"Capping"
	▲ + ▲	▲ + 🧲	$\blacktriangle$ + $\swarrow \stackrel{k_f}{\longleftrightarrow} \checkmark$	▲+▲			+
	$k_n$	$k_+$	$k_{+}$	<i>k</i> <sub>2</sub>	k_	$K_E$	k <sub>c</sub>
	Ŕ	Ŕ	Ŕ	Ŕ			
Increase in [A]	$k_n M(t)^2$	-	-	$k_2 M(t)^2 F(t)$	k_F(t)	-	$-k_c A(t)T(t)$
Increase in [F]	negligible	$k_+M(t)A(t)$	$\frac{k_+M(t)A(t)}{1+\frac{M(t)}{K_M}}$	negligible	-	-	-
"Classic" model	+	+		+	+		
"Saturated elongation"	+		+	+	+		
"Classic + Tetramers"	+	+		+	+	+	
"Classic + Capping"	+	+		+	+	+	+

FIGURE 3.1: A schematic representation of microscopic events involved amyloid fibril formation process described by four different models. The rate constants are  $k_n$  (primary nucleation),  $k_+$  (elongation),  $k_2$  (secondary nucleation),  $k_-$  (fragmentation), and  $k_f$  and  $k_r$  (intermediate association and dissociation) as well as two additional steps, which include  $K_E$  (monomer-tetramer equilibrium) and  $k_c$  (aggregation center "capping").

#### **Dynamic light scattering (DLS)**

For DLS experiments, freshly prepared 0.5-5.0 mg/ml insulin solutions at different NaCl concentrations were filtered through 0.45  $\mu$ m syringe filters. The size measurements were performed at 60 °C using Malvern Zetasizer  $\mu$ V. For each sample 3 repeats of 10 scans were recorded.

#### Circular dichroism (CD)

For CD experiments, 5 mg/ml insulin samples, with and without 100 mM NaCl, were filtered through 0.45  $\mu$ m syringe filters. The samples were incubated at 60 °C and CD spectra were measured every 15

minutes in the 190-280 nm wavelength region using a Jasco J-815 Spectropolarimeter with a 0.1 mm path length cuvette. Each measurement was repeated 3 times.

#### Atomic force microscopy

For AFM experiments 30  $\mu$ l of the 5.0 mg/ml (diluted 10 times) insulin fibril solutions, containing 0, 25, 50, 75 and 100 mM NaCl, were deposited on freshly cleaved mica and left to adsorb for 1 min, the samples were gently rinsed with water and dried using airflow. AFM images were recorded in the Tapping-in-Air mode at a drive frequency of approximately 300 kHz, using Bruker Dimension Icon scanning probe microscope system and aluminium-coated silicon tips RTESPA-300 as a probe. AFM images were flattened using SPIP (Image Metrology) software.

#### Fourier-transform infrared spectrometry

To prepare monomer samples, 5.0 mg of insulin were dissolved in 100 mM phosphate buffer pD 2.4 (in D<sub>2</sub>O) with and without 100 mM NaCl. To prepare fibril samples, insulin fibrils were separated from buffer solution by centrifugation at 20 000  $\times$  g for 30 min and later resuspended in  $D_2O$ , the procedure was repeated three times. All samples were sonicated for 1 min using Bandelin Sonopuls 3100 ultrasonic homogeniser equipped with MS73 tip (using 50% of the power, total energy applied to the sample - 1.12 kJ). The FTIR spectra were recorded using Bruker Vertex 80v IR spectrometer equipped with mercury cadmium telluride (MCT) detector. For all measurements, CaF<sub>2</sub> transmission windows and 0.05 mm Teflon spacers were used. Spectra were recorded at room temperature under vacuum conditions ( $\approx$ 2 mBar). For each spectrum, 256 interferograms of 2  $cm^{-1}$  resolution were coadded. A corresponding buffer spectrum was subtracted from each sample spectrum. All the spectra were normalised to the same area of amide I/I' band (1700-1595  $\text{cm}^{-1}$ ). All data processing was performed using GRAMS software.

# Materials and methods of section 4.1.3

#### **Preparation of initial solutions**

Initial solutions of insulin (Sigma Aldrich #91077C) were prepared by dissolving 2 mg of dry insulin powder in 0.5 ml of 100 mM sodium phosphate buffer pH 2.4, supplemented with 100 mM NaCl (PB) or 20% acetic acid, supplemented with 100 mM NaCl (AC). Concentration of insulin (M.W. - 5808 Da,  $\varepsilon_{280}$  - 6335 M<sup>-1</sup> cm<sup>-1</sup>) was determined by measuring UV-absorption at 280 nm using NanoDrop 2000 (Thermo Fisher Scientific). Subsequently, insulin solutions were diluted to a final concentration of 2 mg/ml (344  $\mu$ M) using PB or AC and supplemented with 200  $\mu$ M of Thioflavin-T (ThT; Sigma Aldrich #T3516) from 10 mM ThT stock solution (in MilliQ water).

Fresh solutions of 344  $\mu$ M of EGCG (Sigma Aldrich #989-51-5) were prepared by dissolving EGCG in 100 mM sodium phosphate buffer pH 2.4, supplemented with 100 mM NaCl or in 20% acetic acid, supplemented with 100 mM NaCl, just before the experiment.

 $EGCG_{ox}$  was prepared by dissolving 10 mM of EGCG in 10 mM phosphate buffer solution, pH 7.4 and incubating for 8 hours at 60 °C in a thermomixer (Ditabis). EGCG oxidation was followed by UV-Vis spectroscopy (Supplementary Fig. A.1). Subsequently, it was diluted to a final concentration of 344  $\mu$ M using PB or AC.

#### **Measurements of Aggregation Kinetics**

For the inhibition experiments, 344  $\mu$ M solutions of EGCG or EGCG<sub>ox</sub> were mixed with 344  $\mu$ M insulin solutions in a 1:1 ratio. 3 replicates of each solution were then pipetted into a nonbinding surface plate (NBS; Corning #3881). Low binding plates are recommended [240] and extensively used for amyloid formation kinetic studies [241–244]. The plate was sealed using sealing tape (Nunc #232701). Kinetics of insulin aggregation was monitored at 60 °C without and with continuous shaking (960 rpm) by measuring ThT fluorescence emission intensity (excitation - 440 nm, emission - 480 nm) through the bottom of the plate using Synergy H4 Hybrid Multi-Mode (Biotek)

microplate reader for 15 hours (readouts were taken every 5 min under quiescent conditions and every 2 min under agitated conditions). 3 independent measurements were performed for each sample.

The highest ThT fluorescence emission value within each curve was assumed as  $I_{max}$ . Half-times ( $t_{50}$ ) of aggregation process were obtained as described by Nielsen *et al.* [74]. Briefly, experimental data was fitted using Equation 2.1.

# Evaluation of EGCG and EGCG<sub>ox</sub> effects on insulin aggregation process

The effects of EGCG and EGCG<sub>ox</sub> on insulin aggregation process were determined by comparing experimental values of  $t_{50}$  or  $I_{max}$  of control samples with the ones determined in the presence of EGCG or EGCG<sub>ox</sub> using one-way ANOVA. P < 0.01 was accepted as statistically significant.

#### **Atomic Force Microscopy**

Right after kinetic measurements the samples were collected and 20  $\mu$ L of each sample, was deposited on freshly cleaved mica and incubated for 1 min. Subsequently, samples were rinsed with 1 ml of MilliQ water and dried under gentle airflow. Three-dimensional AFM maps were acquired using a Dimension Icon (Bruker) atomic force microscope operating in tapping mode and equipped with a silicon cantilever Tap300AI-G (40 N m<sup>-1</sup>, Budget Sensors) with a typical tip radius of curvature of 8 nm. High-resolution (1024 × 1024 pixels) images were acquired. The scan rate was 0.5 Hz. AFM images were flattened using SPIP (Image Metrology) or NanoScope Analysis (Bruker) software.

#### Fourier-Transform Infrared Spectroscopy

Insulin fibrils were separated from buffer solution by centrifugation at 10000  $\times$  g for 30 min and subsequently resuspended in 1 ml of D<sub>2</sub>O, the procedure was repeated three times. Finally, fibrils were resuspended in 0.3 ml of D<sub>2</sub>O and sonicated for 1 min using Sonopuls 3100 (Bandelin) ultrasonic homogeniser equipped with MS73 tip (using 50% of the power, total energy applied to the sample - 1.12 kJ). Samples were deposited between two CaF<sub>2</sub> transmission windows separated by 0.05 mm teflon spacers. The FTIR spectra were recorded using Vertex 80v (Bruker) IR spectrometer equipped with a mercury cadmium telluride detector, at room temperature under vacuum ( $\approx$ 2 mBar) conditions. 256 interferograms of 2 cm<sup>-1</sup> resolution were averaged for each spectrum. Spectrum of D<sub>2</sub>O was subtracted from the spectrum of each sample. All spectra were normalised to the same area of amide I/I' band (1700-1595 cm<sup>-1</sup>). All data processing was performed using GRAMS software.

# Materials and methods of section 4.2.1

#### Materials

Protein grade guanidine hydrochloride (GuHCl) was purchased from Carl Roth GmbH, guanidine thiocyanate (GuSCN) and other chemicals were purchased from Fisher Scientific UK.

#### **Protein purification**

The expression vector (plasmid pRSETB) harbouring nucleic acid sequence encoding N-terminally truncated mouse prion protein (rMoPrP89-230) fused to an N-terminal linker containing  $6 \times$ His tail and a thrombin cleavage site, was a generous gift of Prof. Witold K. Surewicz.

The expression vector for rMoPrP89-230 was transformed into Ca<sup>2+</sup>competent *E. coli* BL-21 Star<sup>TM</sup> (DE3) (Invitrogen) cells by heat shock, spread on LB agar plates containing ampicillin (100  $\mu$ g/ml), and incubated for 16 h at 37 °C. 100 ml of LB medium supplemented with ampicillin (100  $\mu$ g/ml) was inoculated with a single colony and grown overnight at 37 °C and 220 RPM shaking. Subsequently, 200 ml of LB medium containing ampicillin (100  $\mu$ g/ml) was inoculated with 5 ml of overnight culture and incubated at 37 °C and 220 RPM shaking until an OD<sub>600</sub> of 0.5-0.6 was reached. Protein expression was then induced by adding IPTG to a final concentration of 1 mM, and the incubation was continued for additional 18 h. The cell suspension was centrifuged at 15000 × g at 4 °C for 15 min. The cell pellet was resuspended in 100 ml

of buffer A (6 M GuHCl, 10 mM Tris, 100 mM potassium phosphate, 10 mM reduced glutathione, pH 8.0), homogenised with Potter-Elvehjem homogeniser and sonicated for 20 min on ice using Sonopuls 3100 (Bandelin) ultrasonic homogeniser equipped with VS70T tip (60s/60s horn, 70% duty cycle). The cell pellet was centrifuged at 20000  $\times$  g at 4 °C for 30 min. After centrifugation, the soluble protein fraction was added to 30 ml of Ni Sepharose<sup>TM</sup> 6 (GE Healthcare) resin and stirred (80 RPM) for 30 min at 4 °C. The resin was poured into a XK26/20 (GE Healthcare) column and washed with 100 ml of buffer A at 3 ml/min flow rate. Subsequently, refolding of rMoPrP89-230 protein was performed by applying a 200 ml (1 ml/min) gradient of buffer A to buffer B (10 mM Tris, 100 mM potassium phosphate, pH 8.0). Protein impurities devoid of histidine tails were removed from the sepharose resin with 75 ml (3 ml/min) of 50 mM imidazole in buffer B. The rMoPrP89-230 was eluted with buffer C (10 mM Tris, 100 mM potassium phosphate, 500 mM imidazole, pH 6.4) at 3 ml/min flow rate. His tail-fused rMoPrP89-230 was dialysed 3 times against 4 l of buffer D (10 mM potassium phosphate, pH 6.4) at 4 °C. The His tail was cleaved with 5 units thrombin/mg protein. The cleaving reaction was carried out in buffer D at 4 °C overnight. A GSDP fusion at the N-terminus remained after thrombin cleavage of the linker. Subsequently, ion exchange chromatography was performed on a CM Sepharose Fast Flow column (20 ml) (GE Healthcare) using a linear 0-500 mM (3 ml/min) gradient of NaCl in buffer D. Then rMoPrP89-230 was dialysed 3 times against 41 of buffer E (10 mM acetate, pH 4) at 4 °C, concentrated to the final concentration of  $\approx 3 \text{ mg/ml}$  and frozen at - 80 °C. Protein concentration was determined using the molar extinction coefficient  $\epsilon = 27515 M^{-1} cm^{-1}$ . The purity of the final product was determined by SDS-polyacrylamide gel electrophoresis. The identity of the protein was further confirmed by mass spectrometry (performed Dr. Vytautas Smirnovas). Formation of the disulfide bond was verified by the lack of free thiol groups in the folded protein.

#### De novo formation of distinct prion strains

To prepare different fibril strains, monomeric protein from a stock

solution was diluted to a concentration of 0.5 mg/ml in 50 mM phosphate buffer (pH 6) containing 2 M or 4 M GuHCl, and incubated for one week at 37 °C with 220 RPM shaking (in shaker incubator IKA KS 4000i).

#### Seeding experiments

For seeding experiments rPrP-A<sup>4M</sup> fibrils were treated for 10 min using Bandelin Sonopuls 3100 ultrasonic homogeniser equipped with MS72 tip (using 20% power, cycles of 30 s/30 s sonication/rest, total energy applied to the sample per cycle — 0.36 kJ). The sample was kept on ice during the sonication. Right after the treatment, fibrils were mixed with 0.5 mg/ml of mouse prion solution in 2 M GuHCl in 50 mM phosphate buffer, pH 6, containing 50  $\mu$ M ThT. Elongation kinetics at 60 °C temperature was monitored by ThT fluorescence assay (excitation at 470 nm, emission at 510 nm) using Qiagen Rotor-Gene Q real-time analyser [245]. ThT fluorescence curves were normalised by dividing each point by the maximum intensity of the curve.

#### **Depolymerisation assay**

For depolymerisation assays, amyloid fibrils were resuspended to a final concentration of 25  $\mu$ M in 50 mM phosphate buffer, pH 6, containing 0.5 M GuSCN and homogenised by sonication (same way as in preparation of seeds). These solutions were diluted 1:4 in a buffer containing varying concentrations of GuSCN, and incubated for 60 min at 25 °C in Maximum RecoveryTM microtubes (Axygen Scientific, Inc., Union City, California, USA). 150  $\mu$ l of samples were mixed with 850  $\mu$ l of 100 mM phosphate buffer, pH 7, containing ThT (final concentration after dilution was 50  $\mu$ M), then each mixture was sonicated for 15 s (same conditions as described above). Fluorescence was measured at 480 nm using the excitation wavelength of 440 nm. Depolymerisation curves were normalised by dividing each point by the average intensity of the points in the plateau region. Fractional loss of signal at increasing denaturant concentrations corresponds to the fraction of rMoPrP dissociated from amyloid fibrils.

#### Atomic force microscopy

For AFM experiments, 30  $\mu$ L of the sample were deposited on freshly cleaved mica and left to adsorb for 1 min, the sample was rinsed with several ml of water and dried gently using airflow. AFM images were recorded in the Tapping-in-Air mode at a drive frequency of approximately 300 kHz, using a Dimension Icon (Bruker, Santa Barbara, California, USA) scanning probe microscope system. Aluminium-coated silicon tips (RTESPA-300) from Bruker were used as a probe. AFM images were flattened using SPIP (Image Metrology).

#### Fourier-Transform Infrared Spectroscopy

To prepare samples for the FTIR measurements, rMoPrP aggregates were separated from the buffer by centrifugation (30 min, 15.000 g), and resuspended in D<sub>2</sub>O, sedimentation and resuspension was repeated three times to minimise the amount of GuHCl and H<sub>2</sub>O. After resuspension samples were homogenized by 1 min sonication (same conditions as described above). The FTIR spectra were recorded using Bruker Alpha spectrometer equipped with deuterium triglycine sulfate (DTGS) detector. For all measurements, CaF<sub>2</sub> transmission windows and 0.1 mm Teflon spacers were used. Spectra were recorded at room temperature. For each spectrum, 256 interferograms of 2 cm<sup>-1</sup> resolution were co-added. A corresponding buffer spectrum was subtracted from each sample spectrum. All the spectra were normalised to the same area of amide I/I' band. All data processing was performed using GRAMS software.

# Materials and methods of section 4.2.2

Protein grade GuHCl was purchased from Carl Roth GmbH, GuSCN and other chemicals were purchased from Fisher Scientific UK.

rMoPrP89-230 purification was performed as described in previous section "Materials and methods of section 4.2.1".

#### Kinetics of spontaneous rMoPrP aggregation

Monomeric rMoPrP89-230 from a stock solution was diluted to a
concentration of 0.5 mg/ml in 50 mM phosphate buffer (pH 6.0) containing 2 M or 4 M GuHCl, and incubated at 37 °C with 220 RPM shaking (in shaker incubator IKA KS 4000i), or with 10 RPM rotation (in Fisherbrand Mini Tube Rotator). Formation of amyloid fibrils was monitored by periodically collecting 10  $\mu$ l of sample, mixing it with 90  $\mu$ l of 50 mM phosphate buffer (pH 6.0) containing 2 M or 4 M GuHCl, and 55.55  $\mu$ M of ThT, and measuring ThT fluorescence emission (ex. 440 nm, em. 480 nm) intensity using Cary Eclipse (Varian) fluorimeter.

# Kinetics of seed-induced aggregation reaction

For seeding experiments rMoPrP fibrils were treated for 10 min using Bandelin Sonopuls 3100 ultrasonic homogeniser equipped with MS72 tip (using 20% power, cycles of 30 s/30 s sonication/rest, total energy applied to the sample per cycle — 0.36 kJ). The sample was kept on ice during the sonication. Right after the treatment, fibrils were mixed with 0.5 mg/ml of mouse prion solution containing varying concentrations of GuHCl in 50 mM phosphate buffer, pH 6, supplemented with 50  $\mu$ M ThT.

Elongation kinetics at 40-65 °C temperature range were monitored by ThT fluorescence assay using Qiagen Rotor-Gene Q real-time analyser (excitation at 470 nm, emission at 510 nm) [245] or Cary Eclipse fluorimeter (excitation at 440 nm, emission at 480 nm), or by measuring light scattering (600 nm) via Cary Eclipse fluorimeter. For light scattering measurements samples were prepared as described previously, but without ThT. ThT fluorescence and light scattering curves were normalised by dividing each point by the maximum intensity of the curve.

# **Atomic Force Microscopy**

For AFM measurements, 20  $\mu$ L of each sample, was deposited on freshly cleaved mica and incubated for 1 min. Subsequently, samples were rinsed with 1 ml of MilliQ water and dried under gentle airflow. Three-dimensional AFM maps were acquired using a Dimension Icon (Bruker) atomic force microscope operating in tapping mode and equipped with a silicon cantilever Tap300AI-G (40 N m<sup>-1</sup>, Budget Sensors) with a typical tip radius of curvature of 8 nm. High-resolution  $(1024 \times 1024 \text{ pixels})$  images were acquired. The scan rate was 0.5 Hz. AFM images were flattened using SPIP (Image Metrology) software.

### Fourier-Transform Infrared Spectroscopy

To prepare samples for the FTIR measurements, rMoPrP aggregates were separated from the buffer by centrifugation (30 min, 15000 g), and resuspended in 1 ml D<sub>2</sub>O, sedimentation and resuspension was repeated four times to minimise the amount of GuHCl and H<sub>2</sub>O (last resuspension was performed in 0.2 ml). After resuspension samples were homogenized by 1 min sonication (same conditions as described above). The FTIR spectra were recorded using Vertex 80v (Bruker) IR spectrometer equipped with a mercury cadmium telluride detector, at room temperature under vacuum ( $\approx$ 2 mBar) conditions. 256 interferograms of 2 cm<sup>-1</sup> resolution were averaged for each spectrum. Spectrum of D<sub>2</sub>O was subtracted from the spectrum of each sample. All spectra were normalised to the same area of amide I/I' band (1700-1595 cm<sup>-1</sup>). All data processing was performed using GRAMS software.

### Depolymerisation assay

For depolymerisation assays, amyloid fibrils were resuspended to a final concentration of 25  $\mu$ M in 50 mM phosphate buffer, pH 6, containing 0.5 M GuSCN and homogenised by sonication (same way as in preparation of seeds). These solutions were diluted 1:4 in a buffer containing varying concentrations of GuSCN, and incubated for 60 min at 25 °C in Maximum RecoveryTM microtubes (Axygen Scientific, Inc., Union City, California, USA). 150  $\mu$ l of samples were mixed with 850  $\mu$ l of 100 mM phosphate buffer, pH 7, containing ThT (final concentration after dilution was 50  $\mu$ M), then each mixture was sonicated for 15 s (same conditions as described above). Fluorescence was measured at 480 nm using the excitation wavelength of 440 nm. Depolymerisation curves were normalised by dividing each point by the average intensity of the points in the plateau region. Fractional loss of signal at increasing denaturant concentrations corresponds to the fraction of rMoPrP dissociated from amyloid fibrils.

# Materials and methods of section 4.3

# Preparation of monomeric A $\beta$ 42 solution

The recombinant A $\beta$ 42 peptide was synthesised in Escherichia coli BL21 Gold (DE3) strain (Stratagene, CA, USA) and purified as described previously [246]. Briefly, cells were resuspended and sonicated in 8 M urea. Then ion exchange chromatography in batch mode was performed on a diethylaminoethyl (DEAE) cellulose resin, fractions containing A $\beta$ 42 peptide were collected and lyophilized. The lyophilized fractions were then purified using a Superdex 75 26/60 column (GE Healthcare, IL, USA), fractions containing recombinant A $\beta$ 42 were collected and lyophilized.

Solutions of monomeric A $\beta$ 42 peptide were prepared by dissolving lyophilised peptide in 6 M guanidinium hydrochloride (GuHCl). Monomeric A $\beta$ 42 peptide was purified from the presence of potential oligomeric species and GuHCl by gelfiltration in 20 mM sodium phosphate buffer, pH 8.0 containing 200  $\mu$ M EDTA and 0.02 % NaN<sub>3</sub> using a Superdex 75 10/300 GL column (GE Healthcare) at a flow rate of 0.5 ml/min.

# Aggregation of A $\beta$ 42

Solution of monomeric A $\beta$ 42 was diluted to the final concentration of 5  $\mu$ M in 20 mM sodium phosphate buffer, pH 8.0, 200  $\mu$ M EDTA and 0.02% NaN<sub>3</sub> in low-bind Eppendorf tubes. Two solutions: the first one containing monomeric A $\beta$ 42 with addition of 20  $\mu$ M ThT as fluorescent tracer to monitor the kinetics of peptide aggregation over time, and the second, without ThT to follow the process of peptide aggregation via AFM, were prepared. 80  $\mu$ L of each sample were pipetted into multiple wells of 96-well, half-area plate of black polystyrene with clear bottom and nonbinding surface (Corning 3881). The plate was sealed with a sealing tape to minimise the evaporation of the sample over the course of aggregation. Aggregation experiments were performed at 37 °C under quiescent conditions, ThT fluorescence was monitored using bottom optics in a Fluostar Omega (Fluostar Optima from BMG Labtech, Aylesbury, UK) plate reader with 440 nm excitation and 480 nm emission filters. Samples without ThT were collected into low-bind Eppendorf tubes on ice after 0, 15, 30, 60 and 120 min and were deposited on bare mica using microfluidic spray deposition technique [217].

## Microfluidic sample deposition

Samples collected after 0, 15, 30, 60 and 120 mins were deposited on atomically flat mica surface using microfluidic spray deposition technique [217]. Briefly, the samples taken at a different time points were subjected to the microfluidic spray device at a flowrate of 100  $\mu$ L/h using syringe pump and sprayed for 30 s using a nitrogen gas pressure of 3.0 bar. The spray distance from the surface was 4 cm. Samples taken at 0, 15 and 30 min were diluted 5 times in 20 mM sodium phosphate buffer, pH 8.0, 200  $\mu$ M EDTA and 0.02% NaN<sub>3</sub> before the spray. Samples taken at 60 and 120 min were sprayed without dilution.

#### AFM measurements

Atomic force microscopy was performed on atomically flat mica. Three-dimensional AFM maps were acquired using NX10 (Park systems) atomic force microscope operating in non-contact mode and equipped with a silicon cantilevers PPP-NCHR (42 N/m) or SSS-NCHR (42 N/m) (Park Systems, South Korea) with a typical tip radius of curvature of < 10 nm and 2 nm respectively. PPP-NCHR cantilevers were used for large area ( $10 \times 10$  to  $25 \times 25 \mu$ m;  $2000 \times 2000$  to  $3000 \times 3000$  pixels) imaging, while SSS-NCHR were used for a high-resolution imaging ( $1 \times 1$  to  $3 \times 3 \mu$ m;  $1000 \times 1000$  to  $2000 \times 2000$  pixels), with the scan rates < 0.5 Hz.

In order to compare consistently the morphology of individual samples and avoid large imaging forces, which might induce uncertainties in measurements of sample dimensions, standardised experimental conditions were maintained by keeping a constant regime of phase change not exceeding  $\Delta 20^{\circ}$  [247]. The change in oscillation amplitude is related to the sample morphology, while the change in phase reflects the dissipated energy during the tip-sample interaction, thus constant tipsample interaction force can be maintained by keeping constant phase change [247].

Image flattening and single molecule statistical analysis were performed using SPIP (Image Metrology, Hørsholm, Denmark) software. In order to keep consistency processing of all images was performed using the same parameters. Aggregates were masked from the flattening procedure in order to avoid artefacts of analysis. First, images were flattened by 0 order plane fit. Subsequently, images were flattened by plane and then line by line 1<sup>st</sup> regression order fit. The latter step was repeated until the flat baseline in line profile of the image was reached. 2<sup>nd</sup> regression order fit was applied in case of very crowded images or images with exceptionally high surface features. Height and width of each individual aggregate were measured by determining the cross-sectional diameter perpendicular to the aggregate axis. Length (or diameter in case of spheroidal particles) was determined by tracing along the median axis of each aggregate.

# **Chapter 4**

# **Results and Discussions**

# 4.1 Studies of insulin aggregation

# 4.1.1 pH-driven polymorphism of insulin fibrils

The results discussed in this section were published in PlosOne [14] together with following authors: Domantas Darguzis, Akvile Botyriute, Martynas Grigaliunas, Roland Winter and Vytautas Smirnovas.

*I have performed part of FTIR measurements, performed AFM imaging and measurements of seeded aggregation kinetics, and analysed the data.* 

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Studies that explore effects of various organic molecules on amyloid aggregation often use dimethyl sulfoxide (DMSO) to dissolve these compounds [244, 248–253]. Typically, the effects of residual DMSO concentration on aggregation kinetics are considered. However, it is known that alterations in the environmental conditions can modulate protein aggregation pathways, and result in formation of structurally distinct amyloid aggregates. Recently, our laboratory has performed a large screening of potential inhibitors of insulin aggregation at pH 2 in the presence of 5% residual DMSO [252]. Therefore, we decided to examine in detail whether a small amount of DMSO affects insulin aggregation process.

In order to determine the effects of DMSO on insulin aggregation process, the FTIR spectra of fibrils spontaneously formed in  $D_2O$  in the presence and absence of 5% DMSO, were compared (Fig. 4.1). To reveal possible changes induced by the use of  $D_2O$  instead of  $H_2O$ , which was required to avoid overlapping of infrared modes of  $H_2O$  with the amide



FIGURE 4.1: Absorption and second derivative (inset) FTIR spectra of fibrils grown in the presence (A) and absence of 5% (B) DMSO. Adapted from [14].

I mode of proteins, and to determine whether subtle alterations in pH may affect the fibrilization propensity of insulin, fibrils were prepared in heavy water samples at  $pH^*$  1.6 and  $pH^*$  2 (where  $pH^*$  is the pH-meter readout uncorrected for isotopic effects [206]). pH\* 1.6 was chosen in order to mimic similar concentrations of  $H^+$  and  $D^+$  [205], whereas  $pH^*$ 2 was chosen to reach the same ionisation state of the protein in the two solvents (*i.e.* H<sub>2</sub>O and D<sub>2</sub>O) [206]. The FTIR spectra of insulin fibrils formed in the presence and absence of DMSO look similar (Fig. 4.1A, B). Interestingly, a rather small difference in pH\* resulted in formation of fibrils with distinct amide I' band contours (Fig. 4.1A, B). The second derivative FTIR spectra of insulin fibrils formed at pH\* 2 exhibit a major minimum at 1628  $\text{cm}^{-1}$  and a minor one at 1615  $\text{cm}^{-1}$ , whereas spectra of fibrils formed at pH\* 1.6 exhibit a major minimum at 1619  $cm^{-1}$  and a weaker one at 1631  $cm^{-1}$  (Fig. 4.1A, B (insets)), suggesting predominantly  $\beta$ -sheet structures, however, with a significantly distinct hydrogen-bonding patterns. Insulin fibrils formed at pH\* 1.6 exhibit an additional band outside the amide I' region at 1728  $cm^{-1}$ , which was assigned to the stretching vibrations of a deuterated carboxyl group (-COOD) according to Surmacz-Chwedoruk et al. [84]. Similar spectral characteristics were recently described as a hallmark of distinct insulin fibril strains [84, 86].

Fibrils formed at pH<sup>\*</sup> 1.6 in the presence of DMSO exhibit both straight and curved morphology (Fig. 4.2A), whereas fibrils formed in



FIGURE 4.2: AFM images of insulin fibrils formed in the presence of DMSO at pH\* 1.6 (A), and pH\* 2 (B), or in the absence of DMSO at pH\* 1.6 (C), and pH\* 2 (D). (E) cross-sectional height of single fibrils. \*\* - significantly different at P < 0.05. ns - no significant difference at P < 0.05. Adapted from [14].</li>

the absence of DMSO at pH<sup>\*</sup> 1.6 (Fig. 4.2C), or at pH<sup>\*</sup> 2 in presence (Fig. 4.2B) or absence (Fig. 4.2D) of DMSO, are typically straight. Analysis of fibril cross-sectional height revealed no significant differences between fibrils formed in the presence or absence of DMSO (Fig. 4.2E). The cross-sectional height of fibrils formed in the presence of DMSO was 9.2  $\pm$  3.2 nm or 3.1  $\pm$  1.2 nm at pH<sup>\*</sup> 2 and pH<sup>\*</sup> 1.6, respectively, whereas height of fibrils formed in the absence of DMSO was 9.9  $\pm$  3.0 nm or 4.9  $\pm$  2.4 nm at pH<sup>\*</sup> 2 and pH<sup>\*</sup> 1.6, respectively. The cross-sectional height of fibrils formed at pH<sup>\*</sup> 1.6, respectively. The cross-sectional height of fibrils formed at pH<sup>\*</sup> 1.6, respectively. The cross-sectional height of fibrils formed at pH<sup>\*</sup> 1.6 is significantly lower than the height of fibrils formed at pH<sup>\*</sup> 2 (Fig. 4.2E), suggesting formation of distinct fibril strains.

Insulin fibrils formed at pH<sup>\*</sup> 1.6 or pH<sup>\*</sup> 2 can self-propagate under both pH<sup>\*</sup> conditions at 37 °C (Fig. 4.3). Fibrils formed at pH<sup>\*</sup> 1.6 proliferate at a similar rate (the half-times ( $t_{50}$ ) of seeded insulin aggregation are 47 ± 2 min and 48 ± 2 min at pH<sup>\*</sup> 1.6 or pH<sup>\*</sup> 2, respectively) under both pH<sup>\*</sup> conditions, whereas fibrils formed at pH<sup>\*</sup> 2 self-replicate faster under original environmental conditions ( $t_{50}$  are 197 ± 4 min and 112 ± 3 min at pH<sup>\*</sup> 1.6 or pH<sup>\*</sup> 2, respectively). In general fibrils formed



FIGURE 4.3: Kinetics of seed-induced insulin aggregation monitored by measuring ThT fluorescence intensity (A) or light absorbance at 600 nm (B). Adapted from [14].

at pH\* 1.6 proliferate faster than the ones formed at pH\* 2. It is evident that ThT fluorescence intensity, which marks formation of fibrillar aggregates, is seed-dependent: when compared to pH\*2-seed-induced aggregates, pH\* 1.6-seed-induced aggregates result in an about two times higher maximum ThT intensity. Interestingly, the opposite effect is evident when the formation of insulin aggregates is monitored by measuring absorbance at 600 nm, which changes together with the increasing size of aggregates due to light scattering. The pH\* 2-type fibrils induce formation of insulin aggregates that strongly absorb visible light (600 nm), the absorbance being  $\approx$ 25% lower in the case of seeding in the pH\* 1.6 environment. The pH\* 1.6-type fibrils induce formation of aggregates, which absorb visible light about 5 times weaker than the pH\* 2-type fibrils, however, the absorbance is strongly increased at pH\* 2 environment.

The FTIR spectra of pH\*2-seed-induced insulin aggregates formed at pH\*2 and pH\*1.6 are almost identical (Fig. 4.4A). In case of pH\* 1.6seed-induced aggregates, the spectra of fibrils formed at pH\* 1.6 and pH\* 2 are similar, however, in pH\* 2 the intensity of the band at 1631 cm<sup>-1</sup> is slightly higher. These results confirm the ability of both types of insulin fibrils to self-propagate their conformation under environment distinct from the original one that governed the spontaneous formation



FIGURE 4.4: Absorption and second derivative (inset) FTIR spectra of seedinduced insulin aggregates (A) and insulin aggregates formed in H<sub>2</sub>O environment at distinct pH (B). Adapted from [14].

of these structures, and suggest the existence of two distinct insulin fibril strains.

Surprisingly, the FTIR spectra of insulin fibrils spontaneously formed in H<sub>2</sub>O at pH 1.6 and pH 2 look nearly identical (Fig. 4.4B). Second derivative spectra of fibrils formed at either pH\* exhibit a major minimum at 1628 cm<sup>-1</sup> and a minor one at 1641 cm<sup>-1</sup>, and an additional band outside of the amide I/I' region at  $\approx$ 1730 cm<sup>-1</sup> (Fig. 4.4B (inset)). The FTIR spectrum of fibrils spontaneously formed in H<sub>2</sub>O at pH 2.4 is slightly different from the previous two, the second derivative spectra has two similarly expressed minima at 1625 cm<sup>-1</sup> and 1636 cm<sup>-1</sup>, and also does not have an additional band at 1730 cm<sup>-1</sup> (Fig. 4.4B). The secondary structure elements constituting amyloid fibrils are highly protected from hydrogen/deuterium exchange, and hence the most of the amide group hydrogen molecules stay unchanged despite resuspension of the aggregates in D<sub>2</sub>O. This reflects in the blue-shift of the FTIR spectra, when compared to the spectra of insulin fibrils formed in D<sub>2</sub>O.

The formation of distinct types of insulin fibrils was first described by David F. Waugh *et al.* [254] more than 60 years ago, however no structural or cross-seeding data were presented. More recently, formation of structurally different insulin fibril strains were reported in the presence and absence of 20% ethanol (at pH\* 1.5-1.9) [81–83], and using slightly different insulin forms (bovine insulin (*BI*) and recombinant Lys<sup>*B*31</sup>-Arg<sup>*B*32</sup> human insulin analogue (*KR*) (at pH\* 1.9) [84, 86]. The spectral characteristics of latter insulin fibril strains are very similar to the ones observed in this study. The FTIR spectrum of insulin fibrils formed at pH\* 2 is similar to the spectrum of *BI* strain, whereas spectrum of fibrils formed at pH\* 1.6 looks very much alike the spectrum of *KR* strain. Thus the effect of two additional positively charged residues on the conformation of fibrillar structure is similar to the effect of  $\Delta$ pH by -0.4 units. Such alterations in pH has a minor effect on the net charge of protein molecule, also, taking into account that in H<sub>2</sub>O environment at pH 1.6 or pH 2 insulin forms same type fibrils, which display almost identical spectral characteristics, we may conclude ionisation state is not the factor governing formation of different strains. This rises the question: what could be this factor?

A possible answer to this question can be found by analysing results of recent studies [255-257], which at first glance seem to contradict our findings. In these studies, no differences in the FTIR spectra of insulin fibrils formed at different pH, ranging from 1.3 to 3.1, were observed, however, a noticeable change of the vibrational circural dichroism (VCD) spectra was seen between pH 2.1 and 2.4. This change in VCD spectra was explained by a different supramolecular chirality of the fibrillar structure [255, 256]. Moreover, it was demonstrated that small changes in pH can induce spontaneous inter-conversion of preformed fibril chirality, thus excluding the possibility of strains [257]. Even though the reported FTIR spectra lack a detailed description, the shape of the amide I band is very similar to the amide I' band observed in case of pH\* 2 fibrils [255–257]. The concentration of insulin used in aforementioned studies was 60 mg/ml (10 times higher than used in this study), which means that the equilibrium was strongly shifted towards a higher oligometric state of insulin in solution, as insulin tends to oligomerise even at much lower concentrations [258-260]. Therefore, the main factor that governs the formation of distinct insulin fibril strains could be the monomer-oligomer equilibrium.

The spectral features of the insulin fibrils formed in the presence of

20% ethanol [81–83] are similar to the ones observed in fibrils formed at pH\* 1.6 or *KR* strain [84, 86]. In all three cases the second derivative FTIR spectra of insulin fibrils exhibit two minima, a major one at 1619-1620 cm<sup>-1</sup> and a minor one at 1630-1631 cm<sup>-1</sup>, in the amide I' region and an additional band outside outside of amide I' region at 1728-1730 cm<sup>-1</sup>. Therefore it can be concluded that in all three cases formation of the same insulin fibril strain was observed. It is known that the presence of 20% ethanol induce the dissociation of native insulin dimers, resulting in predominantly monomeric insulin form at moderate concentrations [83, 87, 261]. The C-terminal part of the insulin B-chain is not amyloidogenic itself, however, it is involved in the formation on intramolecular  $\beta$ -sheet, which binds together native insulin dimers [86]. Thus it is possible that two additional charged amino acid residues could result in dissociation of dimers in case of KR insulin.

Taken all together the results obtained in this and all previously discussed studies suggest that formation of distinct insulin fibril strains could be governed by a shift of equilibrium between monomers and dimers (or higher oligomers). In particular, if the equilibrium is shifted towards oligomeric species, the insulin aggregation would result in the formation of pH\* 2-like strain, whereas if the equilibrium is shifted towards monomers, aggregation reaction would result in the formation of pH\* 1.6-like strain.

Several additional experiments were carried out to test this hypothesis. First, we checked if the equilibrium shift towards oligomeric species due to an increased insulin concentration could explain the differences observed between the pH\* 1.6 and pH\* 2 fibril strains. The FTIR spectra of 10 mM insulin fibrils, prepared at pH\* 1.6 environment, is slightly different form the other spectra. In particular, the blue shift of the amide I' maximum is evident, when compared to the spectra of pH\* 1.6 fibril strain (Fig. 4.5A). Moreover, an additional band at 1728 cm<sup>-1</sup> is absent. The results suggest that the increased insulin concentration leads to the formation of distinct fibril strains. However, the spectrum is also different from the pH\* 2 fibril strain (Fig. 4.5A). Thus these results do not add much to strengthen our hypothesis. It is worth noticing that unlike at lower concentrations, at high concentrations, insulin assembles into



FIGURE 4.5: Absorption and second derivative (inset) FTIR spectra of insulin aggregates formed in high insulin concentration (A) or in the presence of organic cosolvents (B). Adapted from [14].

aggregates that form a gel-like substance, which suggests a different aggregation mechanism, and hence could explain the difference in FTIR spectra.

Second, we have repeated previously described experiments of insulin aggregation in the presence of 20% ethanol, and also examined the effect of higher DMSO concentrations (Fig. 4.5B). The presence of 20% of either organic cosolvent during insulin aggregation in the pH\* 2 environment resulted in the formation of aggregates exhibiting spectral characteristics similar to the ones of pH\* 1.6 fibril strain (Fig. 4.5B). These results confirms that ethanol and, to a lower extent, DMSO shifts the equilibrium towards the formation of pH\* 1.6-like fibril strains.

Finally, we have determined the size distribution of insulin under various solution conditions using dynamic light scattering (DLS) (Fig. 4.6). The average size of insulin, dissolved in pH\* 1.6 is lower than that of in pH\* 2. The measured diameter of insulin in pH\* 1.6 is  $3.4 \pm 0.7$  nm, which is larger than that of insulin monomer, but smaller than that of a dimer [262]. At pH\* 2, the diameter of insulin is  $4.0 \pm 0.6$  nm, which is slightly larger than diameter of insulin dimer. Since DLS enables to determine only average properties of polydisperse sample, it does not allow the exact estimation of monomer oligomer content. However, the shift of the equilibrium towards dimeric/oligomeric



FIGURE 4.6: Size distribution of insulin in pH\* 1.6 and pH\* 2 solutions. Adapted from [14].

species at higher pH\* is evident, and hence supports our hypothesis.

In general, the data presented in this study shows that distinct factors can induce polymorphism of insulin fibrils, and suggest that the monomer-dimer (oligomer) equilibrium might be the key factor governing the formation of distinct insulin strains. Moreover, it seems that all presented cases can be reduced to the formation of two insulin fibril strains: pH\* 1.6-like and pH\* 2-like.

# 4.1.2 Self-inhibition of insulin amyloid-like aggregation

*The results discussed in this section were published in PCCP* [15] *together with following authors: Mantas Žiaunys and Vytautas Smirnovas.* 

I have conceived and designed the experiments and experimental models used for global fitting of data, performed AFM and FTIR measurements, performed part of DLS measurements, analysed the data, prepared figures, wrote the first version of the manuscript and reviewed its drafts.

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It is known that the alterations in environmental conditions can modulate protein aggregation pathways and result in formation of structurally distinct amyloid aggregates. Several sets of conditions, including presence of ethanol [81-83], different pH [14, 74], and using slightly different insulin forms (bovine insulin (BI) or recombinant Lys<sup>B31</sup>-Arg<sup>B32</sup> human insulin analogue (KR)) [84, 86], result in formation of distinct insulin fibril strains. The results discussed in a previous section suggest that all three cases lead to the formation of the same pair of insulin fibril strains. However, for a profound understanding of insulin fibril polymorphism, mechanistic studies of insulin aggregation at different environmental conditions are necessary. Recent collection of studies demonstrated the power of global fitting of kinetic models to elucidate molecular mechanisms of amyloid aggregation [12, 61–63, 88, 196, 263]. For instance, global fitting of insulin aggregation kinetics at pH 1.6 suggested a "classic" amyloid aggregation mechanism with a saturated elongation step [88]. Therefore, in order to reveal possible mechanistic differences leading towards the pH-induced polymorphism of insulin fibrils, we performed global fitting of kinetic models on the data of insulin aggregation at pH 2.4.

It is known that the presence of NaCl can affect aggregation kinetics, induce structural changes of proteins, or even modulate their aggregation pathways, resulting in the formation of distinct strains. Therefore, we monitored insulin aggregation process under several concentrations of NaCl.

## Characterisation of initial and aggregated forms of insulin

The FTIR spectra of 5.0 mg/ml insulin dissolved in D<sub>2</sub>O at pD 2.4 (pH-meter read out +0.4) [14, 205, 264], in the range from 0 to 100 mM NaCl, has one maxima in the amide I' region at 1653 cm<sup>-1</sup> and corresponding minima of the second derivative at 1656 cm<sup>-1</sup> (Fig. 4.7A), which can be interpreted as a predominantly  $\alpha$ -helical structure that is typical for native insulin [265]. Nearly identical spectral features in the presence and absence of NaCl suggest that the secondary structure is not affected by the salt in the examined range. Similarly, no obvious differences were observed in the circular dichroism (CD) spectra of insulin with and without salt (Fig. 4.7B).



FIGURE 4.7: Absorption and second derivative FTIR spectra (A) and CD spectra (B) of insulin initial solutions in the presence and absence of NaCl. Adapted from [15].

Recently, it was demonstrated that elevated NaCl and protein, in particular amyloid- $\beta$  and hen egg-white lysozyme, concentrations may induce formation of metastable oligomers [266, 267]. Analysis of insulin solutions using dynamic light scattering (DLS) revealed that the concentration of salt affects the average size of insulin particles (Fig. 4.8A). The maximum scattering intensity of the insulin sample in the absence of NaCl is at  $3.7 \pm 0.1$  nm, which is slightly lower than the diameter of insulin dimer ( $\approx$ 3.9 nm [262]). This value increases with rising salt concentration up to  $3.9 \pm 0.3$  nm at 50 mM NaCl and finally reaches  $4.3 \pm$ 

0.1 nm at 100 mM NaCl, which is slightly lower than the diameter of insulin tetramer ( $\approx$ 5 nm [262]). Such gradual increase in particle size suggests formation of larger oligomers as the ionic strength of the solution increase. In addition, no scattering signal of particles above 5 nm was observed at NaCl concentrations lower than 75 mM (Fig. 4.8A). Given the exponential signal-to-size dependence in DLS, it is most likely that the majority of insulin would be distributed within monomers-dimerstetramers in all three cases. Thus, the exact estimation of monomer oligomer content is difficult, however, the shift of the equilibrium towards dimeric/oligomeric species at higher salt concentrations is evident. This can be explained by electrostatic interactions. In particular, low pH values in solution result in strong electrostatic repulsive forces between protein molecules, which hinder the assembly of oligomeric forms. The addition of NaCl mitigates such effects by shielding electrostatic repulsion between positively charged insulin molecules by chloride ions, which facilitates the formation of larger oligomers [268]. According to Nielsen et al. [74], over the pH 2-8 range, the predominant form of zinc-free insulin should be dimeric at insulin concentration < 1.5 mg/ml, and tetrameric at protein concentrations above 1.5 mg/ml, whereas the data obtained in this study suggest that in the absence of NaCl dimers are the predominant form even at 5.0 mg/ml concentration. It seems that the assumptions of Nielsen et al. [74] are based on small-angle X-ray scattering (SAXS) measurements [74], which were performed in the presence of 100 mM NaCl, and on the data obtained from analytical ultracentrifugation experiments [258], which were performed at pH 3.7 and pH 8. According to DLS results obtained in this and our previous study, both the addition of NaCl and increase in pH [14] result in the shift of equilibrium towards tetramers.

Since the monomer-oligomer equilibrium depends on the protein concentration, the measured particle size distribution is also concentration dependent. In the absence of NaCl, a minor increase in the hydrodynamic radius of insulin was observed as the protein concentration was risen from 0.5 to 5 mg/ml (Fig. 4.8B). A more obvious increase in particle radius is evident in the presence of NaCl, resulting in a greater divergence from particle sizes in the absence of salt at higher



FIGURE 4.8: Size distribution of insulin in initial solutions. Scattering intensity distribution of insulin in initial solutions (A). Dependence of the maximum scattering intensity position on protein concentration (B). Error bars are standard deviations estimated from three repeats. Adapted from [15].

insulin concentrations. The results suggest a monomer-dimer equilibrium (with a possible small fraction of tetramers) throughout the entire range of insulin concentrations in the absence, and at insulin concentrations up to 2 mg/ml in the presence, of NaCl. A further increase in insulin concentration in the presence of NaCl shifts the equilibrium towards tetramers.

The FTIR spectra of insulin fibrils, prepared at pH 2.4, in the presence or absence of NaCl are almost identical (Fig. 4.9A). The second derivative FTIR spectra of fibrils formed under either conditions, exhibit two minima at 1636 cm<sup>-1</sup> and 1627 cm<sup>-1</sup> typical for  $\beta$ -sheets.



FIGURE 4.9: Absorption and second derivative (inset) FTIR spectra of insulin fibrils formed in the absence and presence of NaCl. Adapted from [15].

Analysis of the insulin aggregate morphology via AFM revealed no major differences between fibrils formed in absence or presence of NaCl (Fig. 4.10). The fibrils were straight, 0.5-3  $\mu$ m in length, and typically 4-14 nm in cross-sectional height.



FIGURE 4.10: AFM images of insulin aggregates formed under different concentrations of NaCl in solutions. Error bars are standard deviations estimated from 35-50 measurements. Adapted from [15].

## Kinetics of insulin aggregation

The kinetics of insulin (concentration range 0.5-5mg/ml) aggregation at pH 2.4 under five different NaCl concentrations ranging from 0 to 100 mM, were monitored using ThT assay. The first step in the kinetic analysis of fibril mass formation usually involves the selection of a set of suitable models [13], which might be difficult due to complex nature of the aggregation process. However, according to Meisl *et al.* [12], the number of model choices can be narrowed down by applying constrains on possible models. In particular, the curvature of double logarithmic plots of the aggregation half-time ( $t_{50}$ ) *versus* initial monomer concentration can help to determine whether the aggregation reaction is monomer dependent or not, whereas the slope of this plot enables to determine the reaction order. Therefore, aggregation reaction half-time plots are a good starting point in the selection of the models suitable for fitting.

In the absence of NaCl, a discontinuity in the half-time plots of insulin aggregation was observed (Fig. 4.11A), which suggest the presence of a saturation effect, whereas a low value of the scaling exponent (*i.e.* slope), which becomes  $\approx 0$  at insulin concentrations > 3.0, point towards saturated elongation and fragmentation.

A rather unusual dependence of  $t_{50}$  on the initial insulin concentration was observed in the presence of NaCl (Fig. 4.11B-E). After the point of discontinuity there is a progressive increase of  $t_{50}$  values. This phenomena could not be related to the presence of a saturation effect, as the  $t_{50}$  values starts to increase at a different concentrations of insulin, depending on the concentration of NaCl in the sample. The point of discontinuity, after which the values of  $t_{50}$  starts to rise, shifts from 3.0 mg/ml in the absence of NaCl to 1.5 mg/ml in the presence of 100 mM NaCl. The positive slope of  $t_{50}$  plot is evident even at the lowest salt concentration. The scaling exponent, after the point of discontinuity, increased from  $\approx 0$  in absence of NaCl to  $\approx 0.3-0.4$  at higher NaCl concentrations. To our knowledge the positive value of scaling exponent cannot be associated with any known model or mechanism. The unusual increase in  $t_{50}$  values appear to be the result of competition between the aggregation-promoting effects of NaCl, which facilitate interactions between insulin molecules due to positive charge shielding, and the formation of off-pathway oligomers that may affect certain steps of the aggregation reaction.

### Selection of models for global fitting

The four major events, including primary and secondary nucleation, elongation and fragmentation, constitute the basis of almost any model used to describe amyloid aggregation process. The model, containing all four events, became the "classic" model (Fig. 4.12), however in some cases the modifications of existent, or introduction of additional, microscopic events are necessary for a model to fit experimental data [12, 13, 61, 88, 196, 243]. None of the current modifications of the



FIGURE 4.11: Dependence of aggregation reaction half-time on the initial concentration of insulin under conditions with 0 mM (A), 25 mM (B), 50 mM (C), 75 mM (D), 100 mM (E) NaCl. Error bars are standard deviations estimated from three repeats. Adapted from [15].

"classic" model consider the possible existence of oligomeric species that might be present in the initial sample, but would not directly participate in the aggregation reaction (Fig. 4.12). The formation of off-pathway oligomers alone would only result in proportional decrease of the initial monomer concentration, which can not explain an increase of  $t_{50}$  with rising concentration of monomers. However, an oligomer-induced inhibition could explain this phenomena, as we see a divergence in the hydrodynamic radius of insulin for samples over 1.5 mg/ml in the absence and presence of 100 mM NaCl (Fig. 4.8B), which matches with the discontinuity point in the half-time plots of insulin aggregation (Fig. 4.11). Moreover, inhibition of fibril formation by metastable off-pathway species was recently suggested for aggregation of lysozyme and amyloid- $\beta$  at elevated NaCl concentrations [266]. However, unlike in our case, the formation of lysozyme and amyloid- $\beta$ oligomers was detectable by evident changes in FTIR and CD spectra, as well as an increase in ThT fluorescence intensity.

According to Arosio *et al.* [196, 243] inhibition of primary nucleation would affect mainly the lag phase, inhibition of secondary nucleation would mostly affect the apparent growth rate, whereas inhibition of elongation would affect both the lag phase and the apparent growth rate. In our case, the lag time follows a similar tendency as  $t_{50}$  values (Supplementary Fig. A.2). At low protein concentrations, the higher NaCl concentration results in increase in apparent growth rates, whereas at high protein concentration the effect of salt is opposite (Supplementary Fig. A.2). Therefore, we hypothesise that the unusual dependence of  $t_{50}$  on initial insulin concentration may be caused by the formation of off-pathway oligomeric species, presumably tetramers, that bind to nuclei/fibrils ends ("capping" them).

	1° Nucleation	Elongation	Saturated elongation	2° Nucleation	Fragmentation	Monomer-Tetramer Equilibrium	"Capping"
	▲+▲	▲ + 🗧	$\blacktriangle + \left\{ \begin{array}{c} k_{f} \\ \leftrightarrow \\ k \end{array} \right\} $	▲+▲			+++++++++++++++++++++++++++++++++++++++
	k <sub>n</sub>	$k_+$	<i>k</i> <sub>+</sub>	$k_2$	k.	K <sub>E</sub>	k <sub>c</sub>
	Ŕ	<b>H</b>	Ŕ	Ŕ			
"Classic" model	+	+		+	+		
"Saturated elongation"	+		+	+	+		
"Classic + Tetramers"	+	+		+	+	+	
"Classic + Capping"	+	+		+	+	+	+

FIGURE 4.12: A schematic representation of microscopic events involved amyloid fibril formation process described by four different models. The rate constants are  $k_n$  (primary nucleation),  $k_+$  (elongation),  $k_2$  (secondary nucleation),  $k_-$  (fragmentation), and  $k_f$  and  $k_r$  (intermediate association and dissociation) as well as two additional steps, which include  $K_E$  (monomer-tetramer equilibrium) and  $k_c$  (aggregation center "capping"). Adapted from [15].

### Global fitting of the experimental data

The four previously discussed aggregation models were used to fit experimental data acquired at five different ionic strength conditions. The "classic" model was the only model not sufficient to fit the experimental data sets obtained in the absence of NaCl (Fig. 4.13A), suggesting a need for either saturation effect (Fig. 4.13B) or formation of off-pathway intermediate species (Fig. 4.13C, D). Despite saturated elongation model fits the data set quite well, more than a half of  $t_{50}$  values calculated from the fit curves do not overlap with the experimental ones,



FIGURE 4.13: Global fit of the "Classic" (A), "Saturated elongation" (B), "Classic + Tetramers" (C), and "Classic + Capping" (D) model to the data sets with comparison of experimental and fit  $t_{50}$  values (inserts). In each case the primary and secondary nucleus size was set to 2. Open circles represent normalised experimental data (3 repeats for each protein concentration). Reprinted from [15].

whereas the values calculated from the fit curves of either model that accounts for a loss of viable monomers due to off-pathway oligomer formation, overlaps better. The "capping" step does not add much (and it should not, if insulin is predominantly monomeric or dimeric) apart from the better fit at the highest insulin concentrations, which can be explained by the presence of small amounts of tetramers.

In the presence of NaCl, models that do not include an inhibitory step were unable to account for the increase in  $t_{50}$  upon an increase of initial insulin concentration (Fig. 4.14A-C and Supplementary Figs.

A.3, A.4, A.5). As expected, the only model which was suitable to accurately fit experimental data was the one that includes an inhibition step (Fig. 4.14 D). The DLS results suggest that in the presence of 100 mM NaCl the equilibrium may be shifted towards tetramers at higher initial concentrations of insulin, whereas in the absence of salt, only a small number of tetramers may be present. These results explain both a good fit of the data set in the absence of NaCl by models involving monomeroligomer equilibrium step, and only the "capping" model being able to fit the experimental data in the presence of salt. If it is assumed that the addition of NaCl does not alter the mechanism of insulin, but instead just affect the rates of microscopic events involved in the aggregation reaction, then it can be concluded that the tetramer-inhibition model is the one explaining the mechanism of insulin aggregation at pH 2.4.

The comparison of microscopic event rate constants (Table 4.1), acquired from global fitting of all data sets, revealed that all association events are accelerated by NaCl. This can be explained by the the saltinduced reduction of electrostatic repulsion between positively charged protein molecules. In addition, the presence of NaCl affects not only oligomerisation and aggregation rates, but also leads to a more efficient inhibition as a result of an increased "capping" rate. In contrast, the fibril fragmentation rate decreases upon increasing NaCl concentration, which is not surprising, as higher ionic strength may induce formation of fibril clusters, which should be harder to break [265]. Interestingly, the rate of secondary nucleation is affected by NaCl more than any other microscopic event involved in the aggregation process.

It is known that depending on environmental conditions insulin can exist in multiple forms, including monomeric, dimeric, tetrameric, and hexameric (only in the presence of Zn ions) [74]. Typically, oligomeric forms are seen just as off-pathway storage of insulin monomers, which are the key players in the aggregation reaction [74, 87, 265]. Aggregation of insulin was often studied at pH < 2 or in the presence of 20% acetic, the environmental conditions that favour the monomeric form [74, 88, 269], which is probably one of the main reasons why there was no clear evidence of the possible direct role of oligomeric forms of insulin in the



FIGURE 4.14: Global fit of the "Classic" (A), "Saturated elongation" (B), "Classic + Tetramers" (C), and "Classic + Capping" (D) model to the data sets with comparison of experimental and fit  $t_{50}$  values (inserts). In each case the primary and secondary nucleus size was set to 2. Open circles represent normalised experimental data (3 repeats for each protein concentration). Reprinted from [15].

amyloid aggregation reaction. The findings reported in this work supports the role of tetramers and adds one more piece to the global picture of the insulin aggregation mechanism. It is worth to notice that insulin fibrils formed at pH 2.4 are structurally distinct from the ones formed in pH < 2 [14], which suggest that oligomeric forms of insulin may play an important role in the polymorphism of amyloid fibrils.

Conditions	0 mM NaCl	25 mM NaCl	50 mM NaCl	75 mM NaCl	100 mM NaCl
$k_n k_+$	$(8.6\pm 0.5) imes 10^{-7}$	$(4.1\pm 0.7) imes 10^{-7}$	$(4.1 \pm 0.8)  imes 10^{-7}$	$(4.1 \pm 1.8)  imes 10^{-6}$	$(1.4 \pm 0.2)  imes 10^{-5}$
$k_+k_2$	$(1.1\pm 0.5) imes 10^{-6}$	$(3.1 \pm 0.4)  imes 10^{-6}$	$(1.5 \pm 1.4)  imes 10^{-4}$	$(4.0 \pm 1.5)  imes 10^{-3}$	$(2.2 \pm 0.2)  imes 10^{-2}$
$k_+k$	$(2.2\pm 0.1) imes 10^{-3}$	$(2.8 \pm 0.1)  imes 10^{-3}$	$(3.9\pm 0.3) imes 10^{-3}$	$(9.8\pm 8.4) imes 10^{-4}$	$(3.6 \pm 1.6)  imes 10^{-5}$
$K_E$	$(7.8 \pm 0.5)  imes 10^{-3}$	$(6.8\pm 0.6) imes 10^{-3}$	$(2.2 \pm 1.2)  imes 10^{-2}$	$(2.7\pm 2.1) imes 10^{-2}$	$(3.2\pm 0.2)  imes 10^{-1}$
$k_c$	$(5.3 \pm 0.3)  imes 10^{-2}$	$(2.1 \pm 0.1)  imes 10^{-1}$	$(2.4 \pm 0.5)  imes 10^{-1}$	$(1.9 \pm 1.1)  imes 10^{-0}$	$(8.7\pm 0.3) imes 10^{-1}$

# 4.1.3 Environment is a key factor in determining the efficacy of anti-amyloid compounds – a case study with EGCG

The results discussed in this section were published in Biomolecules [270] together with the following authors: Andrius Sakalauskas, Rebecca Sternke-Hoffmann, Alessia Peduzzo, Mantas Ziaunys, Alexander K. Buell, and Vytautas Smirnovas.

I have conceived and designed the experiments, performed AFM and FTIR measurements, performed part of aggregation kinetics measurements, analysed the data, prepared figures, wrote the first version of the manuscript and reviewed its drafts.

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Numerous small molecular weight compounds, short peptides, and antibodies have been proposed as potential inhibitors of toxic oligomeric and fibrillar species assembly [271–276]. Despite significant and continuous efforts, however, there are still no effective disease modifying drugs or treatment modalities available for the majority of amyloid-related disorders (overall success rate of clinical trials is < 0.5%) [277–282].

The formation of amyloid fibrils is a complex process, which involves several microscopic events [5, 11]. The alterations in environmental conditions can modulate these events resulting in the emergence of different pathways of amyloid fibril formation, leading to the formation of structurally distinct amyloid aggregates [5, 11, 14–16]. Such conformational variability is thought to be the generic property of amyloid proteins [11, 28, 29]. Moreover, the effects of compounds can vary depending on the conditions in which they are assayed (*i.e.* pH, temperature, buffer, interfaces, agitation and others) [276], possibly due to condition-induced chemical modifications of the compound [283– 285]. Since the environmental conditions, under which aggregation of amyloid proteins is performed, can vary between different studies, the search and assessment of potential anti-amyloid compounds becomes extremely challenging as the results may lead to diverse conclusions.

Epigallocatechin-3-gallate (EGCG), the main and most significantly bioactive polyphenol found in green tea, has been reported to effectively inhibit the aggregation of a number of amyloidogenic peptides and proteins, including A $\beta$  (related to AD) [235, 286],  $\alpha$ -syn (related to PD) [235, 287–289], hIAPP (related to type-II diabetes) [290, 291], Htt exon 1 (related to Huntington's disease) [292], tau (related to AD and tauopathies) [293], SOD1 (related to amyotrophic lateral sclerosis)[294], PrP (related to prion diseases) [295] and others. Moreover, it was demonstrated that EGCG can induce remodeling and/or dissociation of pre-existing aggregate species [235, 287, 289, 296, 297]. Generally, EGCG could be considered as a "universal" inhibitor of amyloid aggregation, which could be used as a therapeutic agent for prevention and treatment of amyloidrelated disorders. However, EGCG is unstable at neutral or alkaline pH [298–301] where it is susceptible to auto-oxidation, resulting in the formation of multiple EGCG products [283], which may differently effect amyloid aggregation [284, 285, 302].

Herein it is shown that the environment plays an important role in identification of anti-amyloid compounds. In particular, it is demonstrated that under certain circumstances the same molecule can be identified as a hit or as a failure, meaning that systematic exploration of the interplay of experimental conditions, compound stability and efficacy is crucial.

# Kinetics of insulin aggregation in absence and presence of EGCG and EGCG<sub>ox</sub>

First, the effects of EGCG and its auto-oxidation products (EGCG<sub>ox</sub>) on insulin aggregation kinetics and maximum Thioflavin-T (ThT) fluorescence intensity were assessed (Fig. 4.15 and Supplementary Fig. A.6). When insulin aggregation reaction is performed in 100 mM phosphate buffer, pH 2.4 (PB), under quiescent conditions, the presence of EGCG results in almost 2 times longer half-time of insulin aggregation ( $t_{50}$ ) and almost 2 times lower maximum ThT fluorescence intensity ( $I_{max}$ ), when compared to the control sample. The effect of EGCG<sub>ox</sub> on insulin aggregation process is stronger and it results in almost 4 times longer  $t_{50}$  and almost 4 times higher  $I_{max}$ . Under agitated conditions in PB, EGCG has

no effect, while  $EGCG_{ox}$  has a minor effect on the insulin aggregation process.

The presence of EGCG has no effect on both  $t_{50}$  and  $I_{max}$ , when the aggregation reaction is performed in 20% acetic acid (AC) under either quiescent or agitated conditions. Under quiescent conditions in AC, the presence of EGCG<sub>ox</sub> results in 2 times longer  $t_{50}$  and 20 times higher  $I_{max}$ , when compared to control sample. Under agitated conditions in AC, the presence of EGCG<sub>ox</sub> results in 3 times higher  $I_{max}$  and has a minor effect on  $t_{50}$ .



FIGURE 4.15: Effects of EGCG and  $EGCG_{ox}$  on insulin aggregation kinetics (A) and maximum ThT fluorescence intensity (B). Abbreviations PB and AC represent environmental conditions (100 mM phosphate buffer and 20 % acetic acid, respectively), while Q and A denote agitation conditions (quiescent and agitated, respectively), under which insulin aggregation process was performed. Error bars are standard deviations. Reproduced from [270].

# AFM analysis of insulin fibrils formed in absence and in presence of EGCG or EGCG<sub>ox</sub>

Sample analysis via atomic force microscopy (AFM) confirmed formation of insulin fibrils within 15 hours in the absence and presence of EGCG or EGCG<sub>ox</sub> under all tested environmental conditions (Fig. 4.16 and Supplementary Figs. A.7, A.8, A.9, A.10). Typically, single fibrils are several hundred nanometres to a few micrometres in length and 3-10 nanometres in height. In PB fibrils tend to clump, larger bundles are evident under agitated conditions. In the presence of EGCG<sub>ox</sub> fibrils seem to be more disperse. In AC more fibrils can be seen in the presence of  $EGCG_{ox}$ , when compared to the control sample. Fibrils formed in the presence of EGCG under all environmental conditions are similar to their respective control samples.



FIGURE 4.16: AFM images of insulin fibrils formed in PB or AC in the absence and presence of EGCG or EGCG<sub>ox</sub>. Abbreviations Q and A denote agitation conditions (quiescent and agitated, respectively), under which insulin aggregation process was performed. Reproduced from [270].

# Characterisation of secondary structure of insulin fibrils formed under distinct environmental conditions

Secondary structure of insulin amyloid fibrils was determined using Fourier-transform infrared (FTIR) spectroscopy. FTIR spectra of fibrils formed in AC under quiescent and agitated conditions are very similar (Fig. 4.17). Second derivative FTIR spectra of fibrils formed in AC under either agitation conditions exhibit a major minimum at 1627 cm<sup>-1</sup> and a minor one at 1641 cm<sup>-1</sup> in amide I/I' region, attributed to  $\beta$ -sheet structure and an additional band at 1729  $\text{cm}^{-1}$  (Fig. 4.17 inset), which was assigned to the stretching vibrations of a deuterated carboxyl group (-COOD) according to Surmacz-Chwedoruk et al. [84]. Similarly, a major minimum at 1627  $\text{cm}^{-1}$  in the Amide I/I' region, is present in case of fibrils formed in PB under agitated conditions, however, the other two minima observed in fibrils formed in AC are missing. Second derivative FTIR spectrum of insulin amyloid fibrils formed in PB under quiescent conditions exhibit two minima at 1625  $cm^{-1}$  and 1637  $cm^{-1}$  in the Amide I/I' region. The results confirms that fibrils formed without agitation in PB are structurally distinct from fibrils formed in AC, while the fibrils formed in PB with agitation seems to have a secondary structure profile, which looks like an intermediate between fibrils formed in PB under quiescent conditions and fibrils formed in AC under quiescent or agitated conditions.



FIGURE 4.17: Absorption and second derivative (inset) FTIR spectra of insulin amyloid-like aggregates formed in PB and AC under quiescent and agitated conditions. Abbreviations PB and AC represent environmental conditions (100 mM phosphate buffer and 20% acetic acid, respectively), while Q and A denote agitation conditions (quiescent and agitated, respectively), under which insulin aggregation process was performed. Adapted from [270].

#### Assessment of effects of compounds on amyloid aggregation process

Effects of compounds on the process of amyloid fibril formation is often determined by comparing aggregation kinetics [235, 243, 244, 252, 263, 284–286, 303, 304] or/and maximum ThT fluorescence intensity [235, 244, 252, 284–286, 303, 305–307] in the absence and presence of the compound. The effects of EGCG and EGCG<sub>ox</sub> on insulin aggregation process performed under distinct environmental conditions were assessed using both aforementioned approaches (Fig. 4.15 and Supplementary Fig. A.11), the summary of effects is presented in (Table 4.2). If  $t_{50}$  and/or  $I_{max}$  would be chosen as the main criteria, EGCG would be indicated as an inhibitor only if the screening was performed in PB under quiescent conditions. In case of  $EGCG_{ox}$  the picture is more complicated. EGCG<sub>ox</sub> would be indicated as an inhibitor independently of used criteria if the screening was performed in PB, while in AC,  $t_{50}$ would point towards an inhibitory effect, whereas  $I_{max}$  would suggest an aggregation-promoting effect. The results suggest, that depending on aggregation conditions and the screening criteria, the same compound can be indicated as a hit or a failure. This raises the question: what could be the reasons for such diverse results?

The changes in environmental conditions can modulate protein aggregation pathways resulting in the formation of structurally different amyloid aggregates (Fig. 4.18A) [14–16, 28, 29]. Therefore, it is plausible that species targeted by the compound might exist only under specific environmental conditions. Indeed, EGCG inhibits insulin aggregation reaction only when it is performed in PB under quiescent conditions (Fig. 4.15). AFM analysis did not reveal any major differences between insulin fibrils formed in the absence or presence of EGCG (Fig. 4.16). However, differences in second derivative FTIR spectra profiles (Fig. 4.17), suggest the possibility of different pathways and intermediates involved in the process of insulin fibril formation in PB under quiescent or agitated conditions, or in AC under both agitation conditions. Thus, it is possible that the species, targeted by EGCG, are only present under specific environmental conditions. A similar explanation can be valid for different relative  $t_{50}$  values observed in PB and AC in the

	Assessed by change ir	n t <sub>50</sub>			
Conditions	EGCG	EGCG <sub>ox</sub>			
PB-Q	Inhibitory <sup>1</sup>	Inhibitory			
PB-A	No Effect	Inhibitory			
AC-Q	No Effect	Inhibitory			
AC-A	No Effect	Inhibitory			
Assessed by change in <i>I<sub>max</sub></i>					
	EGCG	EGCG <sub>ox</sub>			
PB-Q	Inhibitory	Inhibitory			
PB-A	No Effect	Inhibitory			
AC-Q	No Effect	Enhancing			
AC-A	No Effect	Enhancing			

TABLE 4.2: Evaluation of EGCG and EGCG<sub>ox</sub> effects on insulin aggregation process. Reproduced from [270].

presence of  $EGCG_{ox}$  (Fig. 4.15A), however, a large increase in ThT fluorescence intensity in the presence of  $EGCG_{ox}$  in AC (Fig. 4.15B) is harder to explain. Under agitated conditions, the effect of  $EGCG_{ox}$  on insulin aggregation is weaker when compared to the one under quiescent conditions. Agitation in general accelerates amyloid aggregation process, mostly by inducing fibril fragmentation, as well as the detachment of aggregating species from the air-water or solid-water interface, where proteins have a strong tendency to accumulate and where in many cases the formation of aggregation-prone nuclei is likely to occur. Due to selectively enhanced individual aggregation steps, such as fragmentation or nucleation, the concentration of species that can be targeted by EGCG may be decreased and hence its inhibitory effect diminished.

An increase in ThT fluorescence intensity observed in the presence

<sup>&</sup>lt;sup>1</sup>Established by comparing experimental values of  $t_{50}$  or  $I_{max}$  of control samples with the ones determined in the presence of EGCG or EGCG<sub>ox</sub> using one-way ANOVA. P < 0.01 was accepted as statistically significant.



FIGURE 4.18: Schematic representation of possible effects of potential antiamyloid compounds on the amyloid aggregation reaction performed under distinct environmental conditions. Alterations in environmental conditions can lead to the formation of distinct aggregate species of which only some are targeted by potential anti-amyloid compound (A), as in case of insulin aggregation in PB-Q and AC-Q. Some compounds can also interfere with ThT fluorescence intensity (B), suggesting inhibition, which is not confirmed by other experiments, such as AFM or the quantification of soluble protein at the final plateau of ThT intensity. Furthermore, specific environmental conditions induce modifications of the compound, which results in generation of products that target aggregation prone species (C). The compound modification can only manifest itself if it occurs with kinetics comparable to, or faster than the kinetics of aggregation. Distinct background colours represent different environmental conditions. Different shapes of aggregates represent distinct pathways reflecting the observed polymorphism of amyloid fibrils. Reproduced from [270].
of  $EGCG_{ox}$  in AC can be interpreted as an increase in the fibril concentration. Indeed, relative abundance of insulin fibrils in AFM images seems to be higher in the presence of  $EGCG_{ox}$  (Fig. 4.16) However, a simple increase in the quantity of formed fibrils alone is not sufficient to explain observed multi-fold increase in  $I_{max}$ . It was demonstrated that amyloid fibrils formed under distinct environmental conditions may possess different ThT binding sites [193, 308]. Therefore, since secondary structure of insulin fibrils formed in PB and AC is different (Fig. 4.17), it is possible that  $EGCG_{ox}$  induces slight conformational changes of amyloid fibrils formed in AC, which results in the appearance of additional ThT binding sites, and hence in increased ThT fluorescence intensity. However, no obvious differences in morphology (Fig. 4.16 and Supplementary Figs. A.9, A.10) or secondary structure (Supplementary Fig. A.12) of insulin fibrils formed in AC in absence or presence of  $EGCG_{ox}$  were observed. It is also possible that the change in ThT intensity arise from a direct interaction between fibril-bound ThT and EGCG<sub>ox</sub>. It was previously reported that extrinsic compounds can dramatically change ThT fluorescence quantum yield, which has sometimes led to false interpretation of a compound under investigation as an inhibitor (Figure 4.18B) [309]. Therefore, absolute fluorescence intensity is often not a reliable criterion by which effects of compound under investigation could be assessed. It was shown that surfaces can also have a large impact on the protein aggregation process [240–242, 310, 311]. In particular, depending on both the surface chemistry and the physicochemical properties of the protein, foreign surfaces (e.g. cuvette, test tube or plate well walls, air-water-interface) can have different effects on the protein aggregation process [240-242, 310, 311]. Indeed, additional experiments showed that the large increase of  $I_{max}$  in the presence of  $EGCG_{ox}$  depends on the surface of the microplate used (Fig. A.13). If insulin aggregation reaction in AC is performed in lowbinding-plates, which are recommended [240] and are extensively used for amyloid aggregation kinetic studies [241-244], a multifold increase of  $I_{max}$  in the presence of EGCG<sub>ox</sub> is evident, whereas if the aggregation reaction is performed in untreated-plates, there is no obvious change in  $I_{max}$ . It is worth to notice that the effect of EGCG<sub>ox</sub> on  $t_{50}$  was also

weaker in untreated-plates (Supplementary Fig. A.13).

Specific environmental conditions may induce modifications of compounds resulting in generation of products that may have distinct effects [283–285, 312] (Fig. 4.18 A). For instance, at neutral or alkaline pH EGCG is not stable and oxidises within several hours. In general, the effect of  $EGCG_{ox}$  on insulin aggregation process is stronger when compared to its non-oxidised form.

In conclusions, herein we demonstrate that the environmental conditions, under which amyloid aggregation reaction is performed, as well as the methods used for assessment of effects of compounds, play an essential role in identification of anti-amyloid compounds. Under certain circumstances, due to the different experimental design, distinct studies may identify the same compound as a hit or as a failure. Therefore, assessing effects as well as the intrinsic stability of the compounds of interest under several environmental conditions *in vitro* is essential for further development of the lead compound resulting in increased success rates in *in vivo* studies and clinical trials.

### 4.2 Studies of prion protein fibril self-replication

# 4.2.1 Polymorphism of amyloid fibrils can be defined by the concentration of seeds

*The results discussed in this section were published in PeerJ* [16] *together with following authors: Katažyna Milto and Vytautas Smirnovas.* 

I have conceived and designed the experiments, performed AFM and FTIR measurements, performed aggregate stability assays, performed part of aggregation kinetics measurements, analysed the data, prepared figures, and reviewed drafts of the paper.

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One of the most fascinating properties common among amyloidogenic proteins is the ability to form structurally diverse fibrils, termed "strains", the best-studied example being prions [8, 10, 20, 28, 29, 53]. De novo amyloid strains can be produced in vitro by altering environmental conditions, such as temperature [313], pH [14], agitation [314], concentration of denaturants [21], or co-solvents [82], typically, mildly denaturing conditions are necessary. Once formed, such strains are able to carry strain-specific properties even in unfavourable environmental conditions [14, 21, 82, 84, 127, 314]. This indicates that environment defines different strains during primary nucleation, but affects only kinetics, not the structure, of fibrils formed via elongation. However, formation of new distinct strains upon transmission of prions into a different environment has also been observed [9, 53, 117, 118]. This phenomena is sometimes referred to as a "strain mutation" or "conformational switching". Although the origin of this phenomena is not clear it is thought that alterations in the environment can result in generation of new PrP<sup>Sc</sup> variants and hence strains, which are the best fit for self-propagation in the new environment. In the case of secondary nucleation, formation of new nuclei is induced by existing fibrils, however, it is not clear whether the structure of these nuclei is determined by the environment, or by structure of the fibrils. This raises the question: can secondary nucleation be a key player in conformational switching in amyloid-like fibril strains?

#### De novo generation of prion strains

Artificial recombinant mouse prion protein (rMoPrP89-230) fibril strains were generated similarly as described by Cobb *et al.* [21]. Briefly, monomeric rMoPrP89-230 was incubated in 2 or 4 M of guanidine hydrochloride (GuHCl), the resulting fibrils were termed rPrP-A<sup>2M</sup> and rPrP-A<sup>4M</sup>, respectively. The conformational stability of aggregates, which is defined as resistance to chemically-induced fibril depolymerisation, has been used as one of the main parameters to characterise distinct fibril strains [21, 24]. rPrP-A<sup>2M</sup> and rPrP-A<sup>4M</sup> exhibit different conformational stability (Fig. 4.19). The midpoint of rPrP-A<sup>2M</sup> fibril depolymerisation curve is at ≈1.8 M GuSCN, whereas the one of rPrP-A<sup>4M</sup> is at ≈3.0 M GuSCN. This served as a hallmark of distinct strains in further experiments.



FIGURE 4.19: Profiles of GuSCN-induced depolymerisation of rPrP-A<sup>2M</sup> and rPrP-A<sup>4M</sup> fibrils. Error bars are standard deviations estimated from six repeats. Adapted from [16].

#### Kinetics of cross-seeded aggregation

It was previously demonstrated that rPrP-A<sup>2M</sup> can not selfpropagate in GuHCl concentrations above 2.5 M [245], therefore only one way cross-seeding is possible for rPrP-A<sup>2M</sup> and rPrP-A<sup>4M</sup> strains. Cross-seeding kinetics using different concentrations of rPrP-A<sup>4M</sup> seeds were monitored via ThT assay (Fig. 4.20A, B, C). Addition of

Seed concentration	5%	1%	0.2%
$k_+$	$1 \times 10^{2}$	$1 \times 10^{1}$	$4 \times 10^{-1}$
$k_{-}$	$< 1 \times 10^{-10}$	$3 \times 10^{-6}$	$5 \times 10^{-4}$
$k_2$	$< 1 \times 10^{-10}$	$5 \times 10^{-6}$	$5  imes 10^{-4}$

TABLE 4.3: Reaction rate constants obtained from global fitting of all data sets.  $k_+$ ,  $k_-$  and  $k_2$  are the elongation, fragmentation and secondary nucleation rate constants, respectively. Adapted from [16].

large amounts (5% from total protein concentration) of preformed rPrP-A<sup>4M</sup> fibrils into rMoPrP89-230 monomer solution, results in rapid growth of amyloid fibrils from the very beginning, suggesting fast fibril elongation (Fig. 4.20A). Addition of intermediate amounts (1% from total protein concentration) of seed results in slow elongation at the beginning, however, after some time the rate of aggregation rapidly increases (Fig. 4.20B). Addition of low concentration (0.2% from total protein concentration) of seed results in very slow elongation, and the kinetics can be described by sigmoidal curve (Fig. 4.20C), which is typical in case of spontaneous aggregation, however, in the absence of seed no formation of fibrils was detected within the experimental time frame.

The model containing four major events, including primary and secondary nucleation, elongation and fragmentation, was used to fit experimental data sets (Fig. 4.20A, B, C). Comparison of rate constants of these events (Table 4.3), obtained from the global fitting of experimental data, revealed that upon decrease in seed concentration the elongation rate constant decrease, while the fragmentation and secondary nucleation rate constants increase. This suggest that aggregation reaction changes from elongation-driven process at high seed concentrations towards secondary nucleation/fragmentation-driven process at low seed concentrations.

#### Conformational stability of aggregates

The fibril depolymerisation assay revealed that conformational stability of fibrils formed in the presence of 5% of rPrP-A<sup>4M</sup> seed is



FIGURE 4.20: Seeded aggregation kinetics (A-C) and depolymerisation profiles of resulting fibrils (D-F). Solid lines of different colour are different repeats. Dashed line is the global fit. Error bars are standard deviations estimated from six repeats. Adapted from [16].

very similar to rPrP-A<sup>4M</sup> fibril strain, the midpoint of depolymerisation curve is at  $\approx$ 2.9 M GuSCN (Fig. 4.20D). The conformational stability of fibrils formed in the presence of 1% of rPrP-A<sup>4M</sup> seed is lower than that of rPrP-A<sup>4M</sup> strain, however, higher than that of rPrP-A<sup>2M</sup> strain, the midpoint of depolymerisation curve is at  $\approx$ 2.2 M GuSCN (Fig. 4.20E). Fibrils formed in the presence of 0.2% of rPrP-A<sup>4M</sup> seed exhibit conformational stability similar to that of rPrP-A<sup>2M</sup> strain, the midpoint of depolymerisation curve is at  $\approx$ 1.8 M GuSCN (Fig. 4.20F). The results allows to hypothesise that fibrils initiated by secondary nucleation do not follow the seeding template, despite using template as fibrils as nucleation sites.

#### Characterisation of fibril morphology via AFM

Sample analysis via AFM confirmed amyloid fibril formation under all experimental conditions (Fig. 4.21A, B, C, D). The length of single fibrils was typically between several hundred of nanometres to few micrometers. Analysis of fibril cross-sectional height revealed that average height of rPrP-A<sup>2M</sup> strain fibrils is slightly higher than that of rPrP-A<sup>4M</sup> strain fibrils ( $6.9 \pm 2.6$  nm and  $5.4 \pm 1.7$  nm, respectively) (Fig. 4.21E). The cross-sectional height of fibrils formed in the presence of 0.2% of rPrP-A<sup>4M</sup> seed is similar to the height of rPrP-A<sup>2M</sup> strain, whereas the height of fibrils formed in the presence of 5% of seed is similar to the one of rPrP-A<sup>4M</sup> strain.



FIGURE 4.21: AFM images of rPrP-A<sup>4M</sup> (A) and rPrP-A<sup>2M</sup> (B) strains, and fibrils formed during cross-seeding in the presence of 5% (C) and 0.2% (D) of seeds. (E) cross-sectional height of single fibrils. Error bars are standard deviations estimated from 25 measurements. Adapted from [16].

#### Characterisation of secondary structure of fibrils via FTIR

Analysis of secondary structure profiles of aggregates using FTIR

revealed subtle differences between rPrP-A<sup>4M</sup> and rPrP-A<sup>2M</sup> strains (Fig. 4.22). The absorption FTIR spectrum of rPrP-A<sup>4M</sup> strain exhibit one major maximum at 1620 cm<sup>-1</sup> in amide I/I' region, while the spectrum of rPrP-A<sup>2M</sup> strain exhibit maximum at 1624 cm<sup>-1</sup> (Fig. 4.22). The spectrum of fibrils formed in the presence of 5% of seed is almost identical to the spectrum of rPrP-A<sup>4M</sup>, whereas the spectrum of fibrils formed in the presence of similar to the spectrum of rPrP-A<sup>2M</sup>. The results suggest that propagation of strain-specific structure depends on the amount of seeds and possibly on the mechanism of aggregation.



FIGURE 4.22: Absorption and second derivative (inset) FTIR spectra of rPrP fibrils. Adapted from [16].

### Effect of seed sonication time on the aggregation kinetics and the conformational stability of formed aggregates

It was demonstrated that sonication can affect the elongation rate of fibrils [245]. As it is evident from the AFM images of fibrils before (Fig. 4.21A) and after sonication (Fig. 4.23), the sonication induces fragmentation of fibrils into shorter pieces, thus increasing the number of fibrils ends.

Addition of large amounts (5% from total protein concentration) of 30s sonicated rPrP-A<sup>4M</sup> seeds results in aggregation kinetics similar to



FIGURE 4.23: AFM images of rPrP-A<sup>4M</sup> fibrils sonicated for 300s (A) or 30s (B). Adapted from [16].

the ones observed in case of 1% of 300s sonicated seed, whereas addition of 5% of unsonicated seeds results in aggregation kinetics similar to the ones observed in case of 0.2% of 300s sonicated seed (Fig. 4.24A, B). The conformational stability of fibrils formed in the presence of 30s sonicated seeds is higher than that of fibrils formed in the presence of unsonicated seeds (Fig. 4.24C, D). The midpoints of depolymerisation curves are at  $\approx$ 2.8 M and 2.3 M GuSCN, respectively.

Generally, it is evident that the conformational stability of fibrils correlate with the aggregation kinetics. It is likely that PrP-A<sup>4M</sup> and rPrP-A<sup>2M</sup> fibril populations coexist in all samples, and different proportions of the two strains determine their depolymerisation profiles. The results suggest that secondary nucleation may play the key role in the "strain switching" phenomena. Moreover, secondary nucleation could explain "strain switching" in the absence of a species barrier, which was observed in cell cultures [19] and during protein misfolding cyclic amplification (PMCA) of recombinant PrP [22]. In summary, we hypothesise that self-propagation and "conformational switching" between amyloid strains is determined by the dominant microscopic events involved in the amyloid aggregation and self-propagation process. For instance, in cases when species barrier or environmental barrier interferes with fibril elongation, the secondary nucleation becomes the dominant event, which can induce formation of distinct strains. Furthermore, as we



FIGURE 4.24: Effect of seed sonication on the aggregation kinetics (A-B) and the conformational stability of formed aggregates (C-D). Solid lines of different colour are different repeats. Error bars are standard deviations estimated from six repeats. Adapted from [16].

demonstrate in this study, the mechanism of self-replication is dependent on the concentration of preformed fibrils, which introduce a new dimension in cross-species and cross-environment seeding infection experiments.

#### 4.2.2 Properties of prion fibril self-replication

The results presented in this section contain unpublished data.

*I have conceived, designed and performed all experiments, and analysed the data.* 

Despite continuous efforts, there are no effective anti-prion treatment modalities to date. It seems that the key reason for this may be a poor understanding of prion fibril polymorphism phenomenon, and the mechanism of self-replication [9, 17, 126]. It is generally agreed that the alterations in environment is one of the main reasons leading to the emergence of distinct prion fibril strain *in vitro*, and in some cases *in vivo* [9, 17–27]. However, the mechanisms of self-propagation of distinct fibril strains is still not completely clear [9, 18, 19, 119]. Thus, studies of prion fibril-self replication are highly important not only for the mechanistic understanding of this process, but also for the development of effective anti-prion treatment modalities.

In order to obtain new insights about the self-replication process, we have generated distinct prion fibril strains and compared their properties of self-propagation. The results suggest, that under certain circumstances distinct fibril strains have almost identical probability to selfpropagate, meaning that change in the dominant population may be mostly dependent on the overall initial concentration of the fibril strain. Moreover, a new phenomenon, which emerges during self-propagation reaction of distinct prion strains under different environmental conditions is described.

#### Formation of *de novo* prion strains

*De novo* prion strains were generated similarly as described by Cobb *et al.* [21] and Makarava *et al.* [314]. Briefly, mouse recombinant prion protein (rMoPrP) was incubated in solutions containing 2 M or 4 M GuHCl under different agitation conditions at 37 °C. Kinetics of spontaneous aggregation were followed via ThT assay (Fig. 4.25). The aggregation process of rMoPrP under vigorous shaking (220 RPM) conditions is faster than under gentle rotation (10 RPM) conditions (Fig. 4.25) (Table 4.5). Lower GuHCl concentrations also result in faster aggregation.



FIGURE 4.25: Kinetics of spontaneous rMoPrP aggregation. Kinetics of rMo-PrP aggregation under shaking (A, B) or rotation (C, D) conditions in 2 M (A, C) or 4 M (B, D) GuHCl.

Interestingly, the difference between  $t_{lag}$  values under shaking and rotation conditions is smaller than the difference between  $t_{50}$  values. This also reflects in the values of apparent rate constants ( $k_{app}$ ). The results suggest that even though the time required for the formation of detectable amounts of aggregates (*i.e.* the  $t_{lag}$ ) is similar under both agitation conditions, the proliferation rate ( $k_{app}$ ), is much faster under shaking conditions.

GuHCl conc. Agitation:	2 M 220 RPM	2 M 10 RPM	4 M 220 RPM	4 M 10 RPM
$t_{50}$	$15.8\pm2.1~\text{h}$	$17.2\pm0.3~\text{h}$	$24.9\pm1.1~\text{h}$	$43.2\pm11.1~\text{h}$
t <sub>lag</sub>	$13.3\pm1.4~\text{h}$	$13.7\pm0.6~\text{h}$	$18.1\pm0.7~\text{h}$	$20.5\pm5.6~h$
<i>k</i> <sub>app</sub>	$0.87\pm 0.25~h^{-1}$	$0.58\pm 0.09~h^{-1}$	$0.30\pm 0.03h^{-1}$	$0.09\pm 0.02\ h^{-1}$

TABLE 4.4: The kinetic parameters of spontaneous rMoPrP aggregation reaction. Errors are standard deviations (n  $\geq$  3).

Aggregates formed in 2 M or 4 M GuHCl under vigorous shaking conditions hereafter will be referred to as S220\_2M and S220\_4M, respectively, whereas aggregates formed under gentle rotation conditions hereafter will be called R10\_2M and R10\_4 M, respectively.

#### Characterisation of *de novo* prion strains

Sample morphology analysis via AFM revealed clear differences between aggregates formed under distinct agitation conditions (Fig. 4.26). Aggregates formed under shaking conditions are typically spherical or short elongated structures (Fig. 4.26 A, B), from tens to few hundred nm in length (or diameter in case of spherical particles), whereas aggregates formed under rotation conditions exhibit typical fibrillar structure (Fig. 4.26 C, D) and are from several hundred nm to few  $\mu$ m in length. The cross-sectional height of aggregates formed under shaking conditions is larger than that of the aggregates formed under rotation conditions (Fig. 4.26 E). The cross-sectional height of aggregates formed under shaking conditions in 2 M or 4 M GuHCl is  $15 \pm 3$  nm and  $11.3 \pm 3.3$ nm, respectively, while the cross-sectional height of aggregates formed under rotation conditions is 5.9  $\pm$  1.7 nm and 4.3  $\pm$  1.5 nm, respectively. Generally, aggregates formed under higher concentration of GuHCl exhibit lower cross-sectional height. The results suggest the existence of distinct prion aggregate strains.

Analysis of secondary structure of aggregates formed under distinct environmental conditions via FTIR spectroscopy revealed structural differences (Fig. 4.27). FTIR spectrum of fibrils formed in 2 M GuHCl under shaking conditions exhibit maximum in amide I/I' region at  $\approx$ 1625 cm<sup>-1</sup> and corresponding major minimum of the second derivative at  $\approx$ 1622 cm<sup>-1</sup>, which can be interpreted as a predominantly  $\beta$ -sheet structure. The spectrum of fibrils formed in 4 M GuHCl under shaking conditions exhibit maximum at  $\approx$ 1623 cm<sup>-1</sup> and two corresponding minima of the second derivative at  $\approx$ 1618 cm<sup>-1</sup> and  $\approx$ 1663 cm<sup>-1</sup>, the latter one can be interpreted as  $\beta$ -turn. Similarly, the spectra of fibrils formed in 2 M GuHCl under rotation conditions exhibit maximum at  $\approx$ 1623 cm<sup>-1</sup> and two corresponding minima of the second derivative at  $\approx$ 1623 cm<sup>-1</sup> and  $\approx$ 1662 cm<sup>-1</sup>. The FTIR spectrum of fibrils formed in 4 M



FIGURE 4.26: AFM images of rMoPrP aggregates formed under shaking (A, B) or rotation (C, D) conditions in 2 M (A, C) or 4 M (B, D) GuHCl. (E) crosssectional height of formed aggregates. Error bars are standard deviations estimated from 50 measurements.

GuHCl under rotation conditions exhibit maximum at  $\approx 1628 \text{ cm}^{-1}$  and two corresponding minima of the second derivative at  $\approx 1617 \text{ cm}^{-1}$  and  $\approx 1626 \text{ cm}^{-1}$ . Differences between spectral profiles of fibrils suggest the existence of four distinct prion amyloid aggregate strains.



FIGURE 4.27: Absorption and second derivative (inset) FTIR spectra of rMoPrP aggregates.

The fibril depolymerisation assay revealed that aggregates, formed in 4 M GuHCl under shaking conditions, possess the highest conformational stability (the midpoints of depolymerisation curve is at  $\approx$ 3 M GuSCN), whereas the aggregates formed in 2 M GuHCl exhibit the lowest conformational stability (the midpoints of depolymerisation curve is at  $\approx$ 1.8 M GuSCN) (Fig. 4.28). rMoPrP aggregates formed under rotation conditions in 2 M or 4 M GuHCl exhibit similar conformational stability, the midpoints of depolymerisation curve are at  $\approx$ 2.6 and  $\approx$ 2.5 M GuSCN. Again, the results suggest the existence of distinct prion fibril strains.



FIGURE 4.28: Conformatonal stability of rMoPrP aggregates. Depolymerisation curves of rMoPrP aggregates formed under shaking (A, B) or rotation (C, D) conditions in 2 M (A, C) or 4 M GuHCl (B, D). Error bars are standard deviations (n = 3-6).

#### Properties of prion fibril strain self-replication

All four prion strains are capable to self-propagate under environmental conditions close to the original ones (Fig. 4.29). In particular, seeded aggregation reaction was performed by adding 10% (from total protein concentration) of preformed aggregates into monomeric protein

Strain	S220_2M	R10_2M	S220_4M	R10_4M
t <sub>50</sub>	$22\pm3~\text{min}$	$76\pm26$ min	$338\pm9$ min	$485\pm55$ min

TABLE 4.5: The proliferation rates of distinct prion strains. Error bars are standard deviations (n = 4).

solution containing 2 M (in case of S220\_2M and R10\_2M strain seeds) or 4 M (in case of S220\_4M and R10\_4M strain seeds) of GuHCl and incubating at 40 °C. It is worth to note that such high concentration of seed was used in order to reduce the probability of generation of distinct fibril strains via secondary nucleation dominated process [16]. Similarly to the aggregation kinetics observed during spontaneous formation of prion fibrils, the proliferation rate of prion strains formed in 2 M GuHCl (S220\_2M and R10\_2M) is faster than the rate of fibrils formed in 4 M GuHCl (S220\_4M and R10\_4M) (Table 4.5). In general, it is evident that distinct fibril strains form and proliferate at diverse rates, which is consistent with previously reported observations [4, 9, 20, 28, 53].



FIGURE 4.29: Kinetics of seed induced aggregation reaction.

Sample morphology analysis via AFM revealed that amyloid aggregates, formed in the presence of 10% of either prion strain aggregates, exhibit typical fibrillar structure, and are typically one to few  $\mu$ m in length, and are of similar cross-sectional height (Fig. 4.30). Interestingly, while the average height of fibrils formed in the presence of R10\_2M or R10\_4M aggregates (7  $\pm$  3 nm and 5.4  $\pm$  2.3 nm, respectively) is similar to that of spontaneously formed aggregates (5.9  $\pm$  1.7 nm and 4.3  $\pm$  1.5 nm, respectively), the height of fibrils formed in the presence of S220\_2M or S220\_4M aggregates (5.5  $\pm$  1.6 nm and 5.0  $\pm$  1.8 nm, respectively ) is lower than that of aggregates formed spontaneously (15  $\pm$  3 nm and 11.3  $\pm$  3.3 nm, respectively).



FIGURE 4.30: AFM images of rMoPrP aggregates formed in the presence of 10% of preformed S220\_2M (A), S220\_4M (B), R10\_2M (C), or R10\_4M fibrils (D). (E) Cross-sectional height of fibrils formed during seeded aggregation reaction. Error bars are standard deviations estimated from 25 measurements.

Analysis of secondary structure of seed-induced aggregates revealed that the structural profiles of seed-induced fibrils are similar to the profiles of the seeds (Fig. 4.31). The results suggest that all four strains are capable to proliferate by imprinting their structural template.

Since all four prion strains are capable to self-propagate under environmental conditions close to the original ones, it is interesting to see how alteration in environmental conditions (GuHCl concentration and temperature) may affect the self-replication properties of these strains. Moreover, performing seeded-aggregation reaction at different temperatures enables to map the energy landscape of fibril elongation [245,



FIGURE 4.31: Second derivative FTIR spectra of spontaneously formed (solid lines) fibrils and aggregates formed during seed-induced aggregation reaction (dashed lines).

315–317]. In particular, it enables to determine enthalpy ( $\Delta H^{\ddagger}$ ) of activation, which can be extracted directly from linear fits of kinetic data plotted in Arrhenius plot ( $\Delta H^{\ddagger} = -R \times \Delta(\log k)/\Delta(1/T)$ ). Determining and comparing energy landscapes of distinct fibril strain elongation may bring new insights and a better understanding of fibril self-propagation process and polymorphism phenomena.

First, the effects of GuHCl concentration on rMoPrP fibril strain proliferation kinetics at 40 °C were assessed (Fig. 4.32). The S220\_2M fibril strain is capable to efficiently proliferate in the solutions containing from 0.5 M to 3.0 M of GuHCl, at concentrations <1.0 M or >3.0 M, delay of self-replication process is evident (Fig. 4.32 A). Similarly, the R10\_2M strain is capable to efficiently proliferate in the solutions containing from 1.0 M to 3.5 M of GuHCl (Fig. 4.32 B), while self propagation rates are very slow at 4-4.5 M of GuHCl. Both R10\_4M and S220\_4M fibril strains can efficiently self-propagate in the solutions containing from  $\geq$ 1.5 M to 4.0 M and 4.5 M of GuHCl, respectively. (Fig. 4.32 C, D). In general, a correlation between conformational stability of fibril strain and the range under which strain is capable to proliferate is evident. Interestingly, both R10\_2M and R10\_4M fibril strains have similar conformational stability, however, the fibrils formed at 4 M of GuHCl



FIGURE 4.32: Kinetics of distinct rMoPrP fibril strains under several concentrations of GuHCl. A-D) Representative curves of S220\_2M (A), R10\_2M (B), S220\_4M (C), or R10\_4M (D) seed-induced aggregation reaction under several concentrations of GuHCl.

(R10\_4M) self-propagate more efficiently under higher concentrations of GuHCl, than the fibrils formed at 2 M of GuHCl (R10\_2M). This suggests that the range of GuHCl concentrations under which fibrils can self-replicate depends on their conformational stability, while the rate of self-propagation may be mostly dependent on the environment, which favours the formation of one or another fibril strain.

Rather strange kinetics were observed in certain cases, one of the best examples being kinetics observed during R10\_4M fibril strain self-replication reaction in 1-2.5 M of GuHCl (Fig. 4.32C, D). A rapid increase

in ThT fluorescence intensity at the beginning of the seeded aggregation reaction is followed by a fast decline and a subsequent increase of ThT signal. This phenomena will be referred to as " the jump in ThT signal" in the following text. It is evident that the width (time of existence) and the height (change in ThT signal) of the jump in ThT signal is changing with the increasing GuHCl concentration. At first it is wide, upon increase in GuHCl concentration it becomes narrower until finally disappearing at highest GuHCl concentrations. The bi-phasic kinetics may suggest the existence of detectable intermediate species [266] or a possible remodeling of existing fibrils, however, analysis of secondary structure of aggregates via FTIR revealed no differences between aggregates during seeded aggregation reaction under distinct concentrations of GuHCl (Fig. 4.33). R10\_4M fibril strain is capable of imprinting its structural conformation even under distinct environmental conditions.



FIGURE 4.33: Absorption and second derivative (inset) FTIR spectra of fibrils formed during R10\_4M seed-induce aggregation reaction at different concentrations of GuHCl.

Since it is evident that altering GuHCl concentration modulate the kinetics of fibril self-propagation, and in some cases even result in the emergence of quite strange kinetics, it is interesting to see how alterations in temperature may affect this process. Therefore, kinetics of seed-induced aggregation reactions were followed at a 40-65 °C temperature range under different concentrations of GuHCl.

First, we will discuss the kinetics of S220\_2M (Supplementary Fig. A.14) and R10\_2M (Supplementary Fig. A.15) fibril strain proliferation at different temperatures and GuHCl concentrations. It is evident that the increase in temperature facilitates the self-propagation reaction and extends the limits of conditions under which strains can efficiently self-replicate (Supplementary Figs. A.14. A.15). The drastic increase in self-replication rate at low (0.5-1.0 M) GuHCl concentrations (Supplementary Figs. A.14A, B and A.15A, B) upon increase in temperature can be explained by the thermal unfolding of rMoPrP. The protein is still folded at 0.5 M GuHCl over the temperature range 40-60 °C, at 1.0 M over the range of 40–55 °C, and at 1.5 M over the range of 40-50 °C. At higher concentrations of GuHCl the rMoPrP is mostly unfolded over the range of 40–65 °C [245]. Since fibril formation and elongation reaction requires complete rearrangement of native rMoPrP secondary structure [318-321], the thermal unfolding caused by the increase in temperature may significantly lower energetic barriers, and hence facilitate the reaction. The ThT signal jumps evident at low temperatures in the presence of 1-1.5 M, in case of S220\_2M strain (Supplementary Fig. A.14B, C), or in the presence of 1-2.5 M of GuHCl, in case of R10\_2M strain (Supplementary Fig. A.15B-E), disappear upon increase in temperature. At first glance one could assume that the jump in ThT signal might be related to the unfolding of native rMoPrP, however, the jumps are also evident in the presence of high concentrations of GuHCl, under which protein is unfolded. Interestingly, in case of S220\_2M strain, the jump in ThT signal appears, or gets larger upon increase in temperature, at intermediate GuHCl concentrations (Supplementary Fig. A.14E, F, G). At high GuHCl concentrations, the S220\_2M fibril strain self-replication is still delayed even at high temperatures (Supplementary Fig. A.14H, I), whereas R10\_2M fibril strain proliferation is facilitated (Supplementary Fig. A.15H-I).

In case of S220\_4M (Supplementary Fig. A.16) and R10\_4M (Supplementary Fig. A.17) fibril strains, the effect of temperature on the kinetics of seed-induced aggregation reaction is similar to the one described previously. Upon increase in temperature seeded-aggregation rates become faster, as well as the range of GuHCl concentrations under which strains can efficiently self-replicate becomes broader. In general, the jumps in ThT signal become less evident upon increase in temperature, the best examples being kinetics of S220\_4M seed-induced aggregation reaction at 1.5 M of GuHCl (Supplementary Fig. A.16C), and kinetics of R10\_4M seed-induced aggregation reaction at 1.5-2.5 M of GuHCl (Supplementary Fig. A.17C-E).

Similarities between self-propagation rates of distinct fibril strains at high temperature in the presence of 1.5-2.5 M of GuHCl (Fig. 4.34) suggest a common mechanisms of fibril self-replication. This means that under certain circumstances, distinct fibril strains have almost identical probability to dominate and self-propagate, meaning that change in the dominant population may be mostly dependent on the overall initial concentration of the fibril strain. This is also supported by our previous findings [16], however, experiments with multiple seeds in one solution are necessary and will be done in the future to prove this hypothesis.



FIGURE 4.34: Comparison of kinetics of distinct prion strain self-replication.

#### Abnormalities in the kinetics of seed-induced aggregation reaction

One could assume the abnormalities in ThT signal may be related to

the equipment used (in this case RotorGeneQ real-time analyser), however, similar change in ThT signal was observed when seeded aggregation reaction was monitored using two distinct devices (RotorGeneQ real-time analyser and Cary Eclipse fluorimeter) (Fig. 4.35). Furthermore, one could think that the jump in ThT signal might be related to ThT itself, however, we observed similar changes in light scattering (LS) (Fig. 4.35) and deep-blue autofluorescence signals as well [322]. Thus, the abnormalities in the kinetics of seed-induced aggregation reaction are most likely related to the processes occurring during the fibril selfreplication reaction.



FIGURE 4.35: Kinetic of S220\_4M seed-induce aggregation reaction in 1.5 M GuHCl at 50 °C monitored using distinct equipment and by different feedback signal.

Sample analysis via AFM revealed quite remarkable and unexpected results (Fig. 4.36). At the maximum of the first ThT signal peak, the fibrils are densely packed (Fig. 4.36A). At the minimum of the subsequent ThT signal decline, fibrils are less densely packed, however, small clumps of fibrils are evident (Fig. 4.36B). At the plateau of ThT signal, mostly separated fibrils are visible (Fig. 4.36C). One could expect the opposite change of fibril packing along the time-course of seeded aggregation reaction. The results are quite difficult to explain and interpret.

By taking into consideration all our observations, we propose the following hypothesis, which could explain the appearance of a jump in ThT and light scattering signal, and the AFM results.



FIGURE 4.36: AFM images of samples collected at distinct time points of R10\_4M seed-induced aggregation reaction performed in the presence of 1.5 M of GuHCl. Schematic representation of seed-induced aggregation reaction time-course, and sample collection points (Top panel). A) AFM images of aggregates present at the maximum of the first ThT signal peak. B) AFM images of aggregates present at the minimum of the subsequent ThT signal decline. C)

AFM images of aggregates present at the plateau of ThT signal.

At the beginning of seed-induced aggregation reaction, free ThT molecules bind to the surface of fibrils, which results in an increase in ThT fluorescence intensity (Fig. 4.37 1.) [323–325]. Moreover, the protein monomers can condense on the fibril surface (secondary nucleation) [326, 327], which results in shielding of ThT molecules, bound to the fibril surface, from interactions with solvent, that leads to a reduction in fluorescence quenching effects [323–325]. Upon fibril elongation, more surface, where ThT molecules can bind, and monomers can condense on, is created, and hence, a gradual increase in ThT signal is evident. Secondary nucleation is a multi-step process, which involves several microscopic events including association of monomers with aggregates, nucleation on the surface and detachment [327]. At low monomer concentration the secondary nucleation is unsaturated

(i.e. dependent on monomer concentration), while at high protein concentration, the process is saturated [327]. Moreover, the alterations in environmental conditions may change not only the properties of protein interacting in solution, but also the strength of the adsorption ( $F_{AS}$ ) of proteins onto the surface of the fibrils [326]. At low  $F_{AS}$ , proteins cover only a small fraction of the fibrils surface, and the protein adsorption and oligomer formation on the surface is the rate limiting step. At high  $F_{AS}$ , the fibril surface is substantially covered by proteins, however, the oligomer detachment becomes unfavourable. Nuclei will form only after the oligomers has reached a certain size, when inter-protein interactions, after the conformational, change overcomes the loss in the protein-fibril adsorption energy. Thus, stronger binding to the surface requires formation of larger oligomers in order to overcome the loss in favourable adsorption energy [326]. Moreover, in the regime of high  $F_{AS}$ , proteins are likely to distribute evenly on the fibril surface in order to increase their contact area with the surface, and also could form multiple layers [326]. Now we assume that ThT molecules that are shielded from the solvent contribute a sizeable portion of the overall ThT fluorescence emission intensity due to reduced quenching effects. Also, we assume that in our case secondary nucleation is saturated, and that  $F_{AS}$ is high. At a certain time point, the nuclei, capable of elongating, form from monomers on the surface of the fibril (Fig. 4.37 1.). When the nuclei become large enough, they detach from the surface of the fibril and expose fibril-bound ThT molecules to the solvent (Fig. 4.37 2.). If / when the rate of nuclei detaching  $k_{off}$  from the fibril surface becomes faster than the rate of monomer binding  $k_{on}$  to the fibril surface, the decrease in ThT fluorescence intensity signal is evident due to a large decline in ThT fluorescence quantum yield. The decrease in ThT signal, however, is temporary, as after some time the system reaches equilibrium, and the rate of nuclei detaching  $k_{off}$ , and monomers binding  $k_{on}$  to the fibril surface becomes similar (Fig. 4.37 3.). At this time point, change in ThT fluorescence intensity becomes mostly dependent on fibril and nuclei elongation, which generates new surface for ThT molecules to bind, and monomers to condense on. Therefore, gradual increase in ThT fluorescence intensity, mostly originating from increase in surface accessible for ThT molecules to bind, is evident at later stages of seed-induced aggregation reaction.

Just before the drastic drop in ThT signal, large clumps of aggregates are present (Fig. 4.36A). It is possible that these clumps originate due to high  $F_{AS}$ , which makes oligomer/nuclei detachment from the fibril surface unfavourable, and therefore, results in formation of elongated aggregates on the surface of existing fibrils. However, once these aggregates reach critical size they detach from the fibrils. The relative abundance of fibril clumps at the time point just before the drop in ThT signal is higher than at the points where the decrease in ThT signal discontinues (Fig. 4.36B), or reaches a plateau (Fig. 4.36C). This could be due to a lower concentration of free monomers at these time points, which would result in formation of less secondary nuclei or elongated aggregates on the surface of existing fibrils, and therefore fibril clumps would be not as evident.

At the beginning of the reaction, the LS signal increases, which suggests an increase in particle size. For instance, binding of monomers to, and/or formation of nuclei on the surface of fibrils would result in an increase in size. Subsequently, LS signal drops, indicating decrease in particle size. For example, separation of nuclei from the surface of fibrils could cause this. Later, the light scattering signal increase again suggesting increase in average size of aggregate.

In general, it is evident that the drop in ThT signal is not an experimental error but rather a phenomenon related to the arte-facts/abnormalities of secondary nucleation process, and deserves more attention as to our knowledge such an event previously has never been reported. In order to obtain a profound understanding of this phenomenon, in the future, seeded aggregation reaction will be performed using varying concentrations of seed and monomer, also mathematical modeling will be employed to obtain mechanistic insights, and a more detailed analysis of seed-induced aggregation reaction time-course via AFM will be performed.





### 4.3 Resolving the heterogeneity and relative abundance of A $\beta$ 42 aggregates during amyloid formation

The results presented in this section contain unpublished data acquired during my internship in Michele Vendruscolo's lab at Cambridge University. Publication, based on the results acquired during this internship, will be prepared.

All experiments were performed under supervision of Dr. Francesco Simone Ruggeri, who conceived and designed the experiments. Purification and aggregation experiments of  $A\beta 42$  were performed by Dr. Sean Chia. Sample deposition using microfluidic device was performed by Tuuli Hakala. I have performed sample imaging via AFM, data processing and analysis, and prepared the figures.

Atomic force microscopy is one of the most powerful and versatile single-molecule analysis techniques used for imaging and characterisation of biomolecules [7, 42, 208, 209]. AFM is frequently employed to study the amyloid aggregation process [7, 42, 44, 214–217]. In particular, AFM enables to visualise and statistically characterise morphological properties (e.g. height, width and length) of polymorphic and heterogeneous species, including monomers, oligomers, protofibrillar structures and mature amyloid fibrils, present during the process of amyloid aggregation [7, 44, 214-223]. Such measurements, however, require deposition of sample onto solid substrates like atomically flat mica. In case of AFM measurements in air, a typical sample deposition procedure consists of three general steps: deposition of the sample on the solid atomically flat surface; rinsing with buffer or water to remove weakly bound molecules; and drying the sample using gentle airflow. The sample deposition time is extremely important, as the quantity of biomolecules bound to the substrate surface is proportional to the incubation time. However, during the time of physisorption the molecules can self-organise and self-assemble into artificial structures along crystalline order of the surface [328-330]. Moreover, due to differential adsorption, part of molecular species present in solution can be removed during the rinsing and drying procedure, which results in a partial representation of the actual sample composition. All this makes control of the quantity of biomolecules deposited on the surface very challenging, also it is almost impossible to determine relative abundance of distinct species since there is no confined area. Therefore, generally AFM provides qualitative rather than quantitative information about amyloid aggregation process. Deposition artefacts are the main cause of misinterpretation of the content and biophysical properties of amyloidogenic proteins. These limitations, however, can be overcome by employing a recently developed advanced single-step microfluidic spray deposition platform [328].

The microfluidic spray device (Fig. 4.38) is able to transfer protein droplets of subpicoliter volumes onto the surface. The droplets dry in milliseconds, a timescale that is much shorter than the theoretically and experimentally predicted time of the lateral diffusion of a monomeric protein or aggregate on a liquid–solid interface [328, 329, 331–333]. This makes it impossible for proteins to move freely, and therefore they can not self-organise and self-assemble on the surface.

Spraying the sample on the substrate at a distance of h = 4 cm away from the surface generates spherical droplets, which after landing on the surface are of radius  $R_{range}$  = 2.9 - 7.2  $\mu$ m (interquartile range) and which takes about  $t_{range} = 0.7 - 4.3$  ms (interquartile range) to evaporate [328]. The diameter of the surface-dried droplet is 5.8 - 14.2  $\mu$ m, therefore the whole droplet with all its constituents (in case of amyloidogenic proteins: monomers, oligomeric species, protofilaments and mature fibrils) can be imaged via AFM at high resolution (Fig. 4.38). The complete elucidation of amyloid fibril formation process is possible only if all conformational states, oligomeric and fibrillar structures adopted by the polypeptide chain during the process, as well as the thermodynamics and kinetics of all conformational changes are known [10]. Using a microfluidic device for sample deposition provides a unique possibility to perform profound qualitative and quantitative study of amyloid aggregation process via high-resolution AFM. Herein we exploited this unique possibility to study time-course of amyloid beta 42 (A $\beta$ 42) aggregation.



FIGURE 4.38: Schematic depiction of sample deposition using microfluidic spray device.

# Amyloid aggregation: From monomeric A $\beta$ 42 to mature amyloid fibrils

In order to obtain new insights about amyloid protein aggregation process, we employed high-resolution AFM in combination with microfluidic spray deposition technique, to follow the aggregation time course of A $\beta$ 42, and to characterise the morphology, structural properties and the heterogeneity of the species present at distinct time points of aggregation reaction.

The kinetics of spontaneous A $\beta$ 42 aggregation reaction were monitored using ThT assay (Fig. 4.39A). Samples for deposition using the microfluidic device, and subsequent analysis via high-resolution AFM were collected at the very beginning of the aggregation reaction ( $t_0$ ), at the middle ( $t_1$ ) and end ( $t_2$ ) of lag phase, at the middle of growth phase ( $t_3$ ), and at the plateau phase ( $t_4$ ).

Sample analysis via high-resolution AFM confirmed the possibility to image constituents of surface dried droplets (Fig. 4.39B-F). It is evident from the large area AFM images that constituents of droplets are scattered around the whole area of the surface-dried droplet, whereas almost no molecular species are present outside the periphery. This means that there is a confined area within which all molecular species, present in the aggregation reaction solution, are present. These results serve as a proof of concept. Multiple images at each time point were taken to make sure that the results are consistent (Supplementary Fig. A.18).

Analysis of sample morphology revealed that at the early stages of A $\beta$ 42 aggregation ( $t_0 - t_1$ ) mostly small spherical particles with a height of 0.5-3 nm, resembling monomeric and early oligomeric species, are present, and no fibrils are visible (Fig. 4.40 and Supplementary Fig. A.19). At the late lag phase ( $t_2$ ) larger oligomers and short curvy protofibrils with a height of 3-4 nm were detected. In the middle of the growth phase ( $t_3$ ) most of the oligomeric species had disappeared and were replace by short protofibrils and fibrils with a height of 3-4 nm and 5-7 nm, respectively. Elongated mature fibrils with a height of 5-7 nm are visible at the plateau phase ( $t_4$ ). The results are consistent with previous observations [131, 216, 234].

Single-molecule statistical analysis and comparison of morphological properties (height, width and length (diameter for spherical particles)) of molecular species present during A $\beta$ 42 aggregation reaction revealed a progressive change in the dominant population of these species along the time-course of aggregation reaction (Fig. 4.41). At the initial stages of aggregation reaction  $(t_0)$  the majority of the population of molecular species have a height of  $\approx$ 0.5-1 nm (Fig. 4.41 A, F) and a diameter of  $\approx$ 4 nm (Fig. 4.41G). The dimensions of this major population corresponds to the one of A $\beta$ 42 monomers on a solid surface [216]. A relatively low dispersion of the data suggests that A $\beta$ 42 monomers are the predominant species at this time point. In the middle of the lag phase  $(t_1)$ , a broader distribution of height ( $\approx 0.5$ -3 nm) (Fig. 4.41B, F) and increase in average width ( $\approx 6$  nm) (Fig. 4.41G) of the molecular species is evident. This suggests appearance of early oligomeric species (dimers, trimers), and that monomers and early oligomers constitute the majority of population at this point of A $\beta$ 42 aggregation time course. At the late lag phase / early growth phase  $(t_2)$ , the average height ( $\approx$ 4 nm) (Fig. 4.41C, F) and width ( $\approx$ 12 nm) (Fig. 4.41G) of molecular species is substantially larger than that determined for monomers



FIGURE 4.39: Monitoring of A $\beta$ 42 aggregation time course via ThT assay (A) and high-resolution AFM (B-F). B-F AFM images of samples collected at  $t_0 - t_4$ , respectively.

and early oligomers, implying that larger species such as late oligomers with a height of 3-4 nm, and protofibrils with a height of  $\approx$ 3-4 nm constitute the majority of the population. However, since the average length



FIGURE 4.40: Analysis of morphology of species present during different stages of A $\beta$ 42 aggregation reaction.

(Fig. 4.41H) of the population at this time point is relatively low, it can be assumed that large oligomers constitute the major fraction, while protofibrils comprise smaller part of the population. Two major populations are evident in the histogram of distributions of height of molecular species present in the middle of the growth phase ( $t_3$ ) and the plateau phase ( $t_4$ ) (Fig. 4.41D, E). The first one has average height of  $\approx$ 4 nm corresponding to the height of protofibrils [131, 216], whereas the second one has average height of  $\approx$ 6 nm corresponding to height of mature fibrils [131, 216]. The only clear difference between species present at the middle of the growth phase and plateau phase is their length (Fig. 4.41 H), which is substantially larger at latter phase.

In general, it is evident from histograms of distribution of height, and distributions of average height, width, and length that the population of molecular species is more or less homogeneous only at the very beginning of aggregation reaction, while several co-existing species



FIGURE 4.41: Single-molecule statistical analysis. A-E) histograms of height distribution of samples collected at  $t_0$ - $t_4$ , respectively. Distribution of average cross-sectional height (F), width (G), and length (H) of samples collected at  $t_0$ - $t_4$ , respectively. Error bars are standard deviations (n  $\geq$  100).

emerge at later stages of amyloid aggregation reaction.

A profound analysis of relative abundance of all molecular species at each time point of A $\beta$ 42 aggregation time course is ongoing and will be shown in a future publication.

In conclusion, we demonstrated that high-resolution atomic force microscopy in combination with microfluidic sample deposition platform enables the possibility to image all molecular species present during the A $\beta$ 42 aggregation time-course, and allows to acquire singlemolecule quantitative data that is fundamental for complete elucidation of amyloid aggregation mechanisms.

## Chapter 5

## Conclusions

The development of effective prevention and treatment approaches of amyloid-related disorders requires a profound knowledge of complex processes of amyloid fibril formation and self-replication [5, 8]. Absence of effective disease modifying drugs or treatment modalities available for these diseases suggest that in general the process of amyloid fibril formation is still relatively poorly understood. The complete elucidation of this complex process is possible only when all species, present during the time-course of amyloid fibril formation, as well as the thermodynamics and kinetics of all conformational changes are known [5, 8]. Thus, the collaboration across various disciplines is necessary to provide deeper insight into the process of amyloid aggregation. Moreover, the alterations in environmental conditions can affect microsopic events, involved in the amyloid aggregation process, resulting in the emergence of distinct pathways of amyloid aggregation, leading to the formation of structurally distinct amyloid fibril strains [5, 9, 11, 14-27]. Although fascinating, conformational variability is still a poorly understood phenomena, and is another major reason of failure of antiamyloid treatment modalities [9]. Since compounds that are effective against one fibril strain, may be ineffective against others [9]. Furthermore, the molecular and mechanistic links between protein aggregation and toxicity remain challenging to characterise [8]. Taken together, it is evident that mechanistic and structural studies of amyloid fibril formation, self-replication, and conformational variability are fundamental for profound understanding of amyloid aggregation process and subsequent development of effective therapeutic strategies

In this thesis, the aggregation process of human recombinant insulin, mouse recombinant prion protein fragment, and recombinant amyloid beta was studied using multiple biophysical techniques. These studies brought new insights into the processes of amyloid fibril formation and self-replication, polymorphism phenomena, the process of anti-amyloid compound screening, and the studies of heterogeneous amyloid samples, and led to the following conclusions:

- Monomer-oligomer equilibrium is the one of the main factors governing the formation of distinct insulin strains.
- Insulin amyloid aggregation may be inhibited by insulin oligomers.
- Environment is the key factor in determining the anti-amyloid efficacy of EGCG.
- Self-replication of amyloid fibril conformational template can proceed only via fibril elongation.
- Polymorphism of amyloid fibrils can be defined by the concentration of seeds.
- The abnormalities in ThT signal during seed-induced aggregation reaction may be related to the molecular events occurring during secondary nucleation process.
- Atomic force microscopy in combination with microfluidic sample deposition platform enables the possibility to image all molecular species present during amyloid aggregation time-course, and allows to acquire single-molecule quantitative data.
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## Appendix A

## Supplementary material

A.1 EGCG oxidation followed by UV-Vis spectroscopy



FIGURE A.1: UV-Vis spectra of 10 mM EGCG in 10 mM sodium phosphate buffer pH 7.4 at 60 °C, corresponding to the conditions where a stock solution of  $EGCG_{Ox}$  for the insulin experiments was produced. Measurements were performed by Rebecca Sternke-Hoffmann at Institute of Physical Biology, Heinrich-Heine-University, Düsseldorf, Germany.

## A.2 Self-inhibition of insulin amyloid-like aggregation



FIGURE A.2: Dependence of insulin aggregation lag time  $(t_{lag})$  (A, C, E, F, I) and apparent growth rate constant  $(k_{app})$  (B, D, F, H, J) on concentration under a range of NaCl concentrations from 0 to 100 mM. Error bars are standard deviations estimated from three repeats.



FIGURE A.3: Global fits of experimental data with 25 mM NaCl. The global fit of "Classic" (A), "Saturated elongation" (B), "Classic + Tetramers" (C) and "Classic + Capping" (D) model to the experimental data. In each case primary and secondary nucleus size was set to 2. Open circles represent normalised experimental data (3 repeats for each protein concentration).



FIGURE A.4: Global fits of experimental data with 50 mM NaCl. The global fit of "Classic" (A), "Saturated elongation" (B), "Classic + Tetramers" (C) and "Classic + Capping" (D) model to the experimental data. In each case primary and secondary nucleus size was set to 2. Open circles represent normalised experimental data (3 repeats for each protein concentration).



FIGURE A.5: Global fits of experimental data with 75 mM NaCl. The global fit of "Classic" (A), "Saturated elongation" (B), "Classic + Tetramers" (C) and "Classic + Capping" (D) model to the experimental data. In each case primary and secondary nucleus size was set to 2. Open circles represent normalised experimental data (3 repeats for each protein concentration).

A.3 Environment is a key factor in determining the efficacy of anti-amyloid compounds – a case study with EGCG



FIGURE A.6: Representative curves of insulin aggregation kinetics in the absence and presence of EGCG or  $EGCG_{ox}$  under different environmental conditions. Abbreviations PB and AC represent environmental conditions (100 mM phosphate buffer and 20 % acetic acid, respectively), while Q and A denote agitation conditions (quiescent and agitated, respectively), under which the insulin aggregation reaction was performed.



FIGURE A.7: AFM images of insulin fibrils formed in PB under quiescent conditions in the absence and presence of EGCG or EGCG<sub>ox</sub>.



100 mM phosphate buffer, 100 mM NaCl, pH 2.4 (Agitated)

FIGURE A.8: AFM images of insulin fibrils formed in PB under agitated conditions in the absence and presence of EGCG or EGCG<sub>ox</sub>.



20 % acetic acid, 100 mM NaCl (Quiescent)

FIGURE A.9: AFM images of insulin fibrils formed in AC under quiescent conditions in the absence and presence of EGCG or EGCG<sub>ox</sub>.



20 % acetic acid, 100 mM NaCl (Agitated)

FIGURE A.10: AFM images of insulin fibrils formed in AC under agitated conditions in the absence and presence of EGCG or EGCG<sub>ox</sub>.



FIGURE A.11: Evaluation of EGCG and EGCG<sub>ox</sub> effects on  $t_{50}$  (A) and  $I_{max}$  (B) using one-way ANOVA. \*\*\* - Significantly different (P < 0.01).



Wavenumber,  $\rm cm^{-1}$ 

FIGURE A.12: Second derivative FTIR spectra of insulin amyloid-like aggregates formed in AC in the absence and presence of EGCG<sub>ox</sub>. Abbreviation AC represent environmental conditions (20% acetic acid), while Q and A denote agitation conditions (quiescent and agitated, respectively), under which insulin aggregation process was performed.



FIGURE A.13: Effect of  $EGCG_{ox}$  on  $t_{50}$  (A) and  $I_{max}$  (B) in AC assessed in NBSplates and untreated-plates. The error bars represent standard deviations.



### A.4 Properties of prion fibril self-replication

FIGURE A.14: Kinetics of S220\_2M fibril strain self-propagation. A-I) kinetics of seed-induced aggregation reaction in the presence of 0.5-4.5 M of GuHCl, respectively.



FIGURE A.15: Kinetics of R10\_2M fibril strain self-propagation. A-I) kinetics of seed-induced aggregation reaction in the presence of 0.5-4.5 M of GuHCl, respectively.



FIGURE A.16: Kinetics of S220\_4M fibril strain self-propagation. A-I) kinetics of seed-induced aggregation reaction in the presence of 0.5-4.5 M of GuHCl, respectively.



FIGURE A.17: Kinetics of R10\_4M fibril strain self-propagation. A-I) kinetics of seed-induced aggregation reaction in the presence of 0.5-4.5 M of GuHCl, respectively.

A.5 Resolving the heterogeneity and relative abundance of A $\beta$ 42 aggregates during amyloid formation



FIGURE A.18: Monitoring of A $\beta$ 42 aggregation time course via high-resolution AFM. Images of samples collected at  $t_0$  -  $t_4$ , respectively.



FIGURE A.19: Morphology of species present during different stages of A $\beta$ 42 aggregation reaction. The height scale is in nm.

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## Academic achievements and awards

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# List of publications

## Publications included in this thesis

- Sneideris, T.; Sakalauskas, A.; Sternke-Hoffmann, R.; Peduzzo, A.; Ziaunys, M.; Buell, A.; Smirnovas, V. *The environment is a key factor in determining the anti-amyloid efficacy of EGCG*, Biomolecules 2019, 9, 855.
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## List of conferences

- Šneideris, T.; Sakalauskas, A.; Žiaunys, M.; Smirnovas, V. Environment is the key factor in detection of anti-amyloid compounds, 8<sup>th</sup> Scandinavian Conference of Amyloid Diseases and Amyloid Mechanisms (ADAM8), Lund, Sweden, 2019.
- Šneideris, T.; Kulicka, E.; Smirnovas, V., *Properties of prion selfreplication*, 3<sup>rd</sup> Ulm Meeting on Biophysics of Amyloid Formation, Ulm, Germany, 2019.
- Šneideris, T.; Smirnovas, V. Effect of temperature and denaturant concentration on the elongation of distinct mouse prion protein fibril strains, The Coins 2018, Vilnius, Lithuania, 2018.
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- Šneideris, T.; Kulicka, E.; Smirnovas, V. *Polymorphism of prion protein amyloid-like fibrils*, 62<sup>nd</sup> Annual Meeting of Biophysical Society, San Francisco, USA, 2018.
- Šneideris, T.; Kulicka, E.; Stanilko, R.; Smirnovas, V. *Effect of the environment on amyloid aggregation*, International Conference Vita Scientia, Vilnius, Lithuania, 2018.
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Summary in Lithuanian Daktaro disertacijos santrauka

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#### Įvadas

Daugiau nei penkiasdešimties žmogaus ligų, įskaitant Alzheimerio ligą (AL) [1], Parkinsono ligą (PL) [2], II tipo diabetą [3], bei prionų ligas [4], atsiradimas ir progresija yra siejama su specifinių peptidų ir baltymų nesugebėjimu įgauti natyvią konformacją ar išlikti joje, bei toliau sekančia šių monomerinių peptidų ar baltymų konversija į netirpius fibrilinius agregatus, dar žinomus kaip amiloidai.

Pastaraisiais metais amiloidų susidarymo tyrimai tapo ypač aktualūs, kadangi buvo pripažinta, jog daugelis su amiloidais siejamų ligų nebėra retos ir yra vienos iš dažniausiai pasireiškiančių ligų senstančioje visuomenėje [5]. Milijonai žmonių visame pasaulyje kenčia nuo šių ligų, vien Alzheimerio ar Parkinsono ligomis sergančių žmonių yra daugiau nei 50 milijonų [1, 2, 6].

Nepaisant reikšmingų mokslininkų pastangų, molekuliniai ir mechanistiniai ryšiai tarp baltymų agregacijos ir agregatų toksiškumo išlieka neaiškūs ir sunkiai charakterizuojami. Taip pat šiai dienai, deja, nėra nei vieno veiksmingo vaistinio preparato ar gydymo metodo skirto ligų siejamų su amiloidais prevencijai ar gydymui [7, 8]. Vienos iš pagrindinių to priežasčių yra be galo sudėtingi amiloidinių agregatų susidarymo ir savireplikacijos mechanizmai, bei sąlyginiai skurdus šių mechanizmų supratimas [8, 9]. Sėkminga bet kokios ligos prevencija ar gydymas yra galimi tik tuomet jei dauguma procesų susijusių su ligos atsiradimu bei progresija yra žinomi ir yra pakankamai gerai suprasti [8]. Visiškas amiloidinių agregatų susidarymo proceso išaiškinimas yra galimas tik tuomet jei yra žinomos visos konformacinės būsenos ir oligomerinės struktūros kurias įgauna polipeptidinė grandinė šio proceso metu, bei yra žinomi visų konformacinių virsmų termodinaminiai ir kinetiniai parametrai [10].

Amiloidinių fibrilių susidarymas yra sudėtingas procesas, susidedantis iš keleto mikroskopinių įvykių, įskaitant pirminę ir antrinę nukleaciją, agregatų ilgėjimą (elongaciją), ir fibrilių lūžinėjimą (fragmentaciją) [5, 11–13]. Pokyčiai aplinkos sąlygose gali paveikti šiuos mikroskopinius įvykius ir nulemti alternatyvių agregacijos kelių atsiradimą, dėl ko gali susidaryti struktūriškai skirtingi amiloidiniai ageregatai, dar kitaip vadinami fibrilių "kamienais" [5, 9, 11, 14–27]. Manoma, kad toks konformacinis kintamumas yra bendroji amiloidinių baltymų savybė [11, 28, 29]. Konformacinis amiloidinių fibrilių kintamumas yra dar viena priežastis dėl ko yra taip sunku sukurti veiksmingus vaistinius preparatus ar gydymo metodus, kadangi junginiai, kurie efektyviai stabdo vieno tipo (kamieno) amiloidinių fibrilių susidarymą, gali būti neveiksmingi prieš kito tipo fibrilių susidarymą [9].

Baltymų amiloidinių fibrilių susidarymo, savireplikacijos, bei konformacinio kintamumo tyrimai yra esminės svarbos, nes tik esant pakankamai giliam supratimui apie šiuos procesus bus įmanoma sukurti efektyvius amiloidinių ligų prevencijos ir gydymo metodus.

#### Tikslas

Tirti baltymų amiloidinių fibrilių susidarymo, savireplikacijos, bei konformacinio kintamumo procesus, ir gauti naujų žinių apie šiuos procesus.

#### Užduotys

- Ištirti įvairių faktorių poveikį insulino amiloidinių fibrilių formavimuisi.
- Tirti skirtingų prioninio baltymo fibrilių kamienų savireplikacijos savybes ir gauti naujų žinių apie šį procesą.
- Atlikti amiloido beta 42 agregacijos proceso tyrimus atominės jėgos mikroskopu mėginio užnešimui panaudojant mikroslašėlių išpurškimo įrenginį.

#### Mokslinis naujumas

Šiame darbe yra pateikiamos naujos mechanistinės įžvalgos apie skirtingų insulino fibrilių kamienų susidarymą. Atlikus įvairių faktorių poveikio insulino amiloidinių fibrilių susidarymui tyrimą buvo nustatyta, jog vienas iš pagrindinių faktorių, nulemiančių vieno ar kito insulino fibrilių kamieno susidarymą, yra pusiausvyra tarp monomerinės ir oligomerinės insulino formų. Manoma, kad oligomerinės insulino formos tiesiogiai nedalyvauja insulino fibrilių susidaryme ir yra tik laikinos formos skirtos insulino monomerų saugojimui [30–32]. Šiame darbe pirmą kartą parodytas tiesioginis oligomerinių insulino formų vaidmuo amiloidinių fibrilių susidarymo procese. Pastarasis atradimas ženkliai prisidėjo prie gilesnio insulino agregacijos mechanizmo supratimo ir priartino mus vienu žingsniu arčiau prie visiško insulino agregacijos mechanizmo išaiškinimo.

Siekiant surasti efektyvius vaistus skirtus kovoti su amiloidinėmis ligomis atlikta galybė tyrimų, kurių metu tirtas daugybės junginių poveikis, tačiau šiai dienai nėra nei vieno efektyvaus vaistinio preparato skirto amiloidinėms ligoms gydyti. Šiame darbe yra pademonstruota jog aplinkos sąlygos, kuriomis yra atliekama amiloidų agregacijos reakcija ir tiriamas molekulės poveikis jai, bei kriterijai kuriais remiantis yra vertinamas poveikis, yra vieni iš pagrindinių faktorių kurie nulemia ar molekulė bus identifikuota kaip potencialus prieš amiloidinis vaistas ar ne.

Šiame darbe pateikiamos naujos mechanistinės įžvalgos apie prionų savireplikacijos procesą. Pirmą kartą yra pademonstruojama, kad konformacinis prioninio baltymo fibrilių kintamumas, jų savireplikacijos reakcijos metu, priklauso nuo susidariusių agregatų, kuriais inicijuojama reakcija, koncentracijos. Taip pat darbe yra aprašomas naujas fenomenas, kuris atsiranda esant tam tikroms aplinkos sąlygoms prioninio baltymo fibrilių savireplikacijos reakcijos metu. Taip pat yra iškeliama hipotezė galinti paaiškinti šio fenomeno kilmę.

Galiausiai darbe yra pademonstruojama jog atominės jėgos mikroskopija kartu su mikroskysčių išpurškimo platforma suteikia unikalią galimybę vizualizuoti visas agreguojančių baltymų formas (monomerai, oligomerai, protofibrilės ir fibrilės) egzistuojančias amiloidinės agregacijos metu. AFM ir mikrolašelių išpurškimo platformos kombinacija leido pirmą kartą gauti kiekybinę ir kokybinę informaciją apie šias formas vienos molekulės lygmenyje.

#### Ginamieji teiginiai

• Insulino monomerų-oligomerų pusiausvyra yra vienas iš

pagrindinių faktorių nulemiančių skirtingų insulino fibrilių kamienų susidarymą.

- Neamiloidogeninės oligomerinės insulino formos tiesiogiai dalyvauja insulino agregacijos procese ir jį slopina.
- Aplinkos sąlygos yra vienas iš pagrindinių faktorių nulemiančių epigalokatechino-3-galato (EGCG) prieš-amiloidinį poveikį.
- Amiloidinių fibrilių kamienui-specifiškos struktūros perdavimas ir dauginimas (savireplikacija) vyksta tik fibrilių ilgėjimo metu.
- Neįprastas ThT signalo pokytis fibrilių savireplikacijos reakcijos metu yra susijęs su mikroskopiniais įvykiais vykstančiais antrinės nukleacijos proceso metu.
- Atominės jėgos mikroskopija kartu su mikroskysčių išpurškimo platforma suteikia unikalią galimybę vizualizuoti visas agreguojančių baltymų formas (monomerai, oligomerai, protofibrilės ir fibrilės) egzistuojančias amiloidinės agregacijos metu, bei gauti kiekybinę ir kokybinę informaciją apie šias formas vienos molekulės lygmenyje.

### Disertacijos struktūra

Disertaciją sudaro šešios dalys: įvadas, literatūros apžvalga, metodai, rezultatai ir jų aptarimas, išvados ir literatūros sąrašas. Čia pateikiama disertacijos santrauka.

### Literatūros apžvalga

Baltymai - vienos svarbiausių biomolekulių, kurios dalyvauja beveik visuose biologiniuose procesuose. Tam, kad atliktų savo funkciją, šios biomolekulės turi įgauti natyvią funkcionalią konformaciją [8, 29]. Tam tikrais atvejais baltymai nesugeba įgauti ar likti natyvioje konformacijoje ir yra linkę agreguoti į netirpius fibrilinius agregatus, dar žinomus kaip amiloidai. Tokių amiloidinių agregatų susidarymas yra siejamas su daugiau nei penkiasdešimt žmogaus ligų, įskaitant Alzheimerio ligą. Nepaisant mokslininkų pastangų, šiai dienai nėra nei vieno efektyvaus vaistinio preparato skirto šioms ligoms gydyti. Viena iš pagrindinių to priežasčių yra santykinai skurdus amiloidinės agregacijos proceso supratimas [8, 9].

Daktaro disertacijos literatūros apžvalgoje trumai aprašyta amiloidinių baltymų atradimo istorija. Taip pat aprašytos su amiloidinais baltymais siejamos žmonių ligos. Paaiškinti amiloidinių fibrilių susidarymo, savireplikacijos ir plitimo žmogaus organizme ir tarp individų mechanizmai. Aprašyti biofizikiniai metodai naudojami amiloidinių agregatų tyrimuose.

#### Metodai

Insulino agregatų formavimas. 1 mM koncentracijos insulino, 100 mM natrio fosfatinis buferinis tirpalas (H<sub>2</sub>O arba D<sub>2</sub>O aplinkoje) esant skirtingai tirpalo pH (H<sub>2</sub>O) arba pH<sup>\*</sup> (D<sub>2</sub>O) buvo inkubuojamas MHR 23 termomikseryje 24 valandas 60 °C temperatūroje esant 300 aps./min maišymui.

0,5-5 mg/ml insulino, 0-100 mM NaCl, 100 mM natrio fosfatinis buferinis tirpalas pH 2,4 buvo inkubuojamas MHR 23 termomikseryje 24 valandas 60 °C temperatūroje nesant maišymo. Insulino agregacijos kinetikos matavimams, į anksčiau minėtus insulino tirpalus buvo pridėta 100  $\mu$ M ThT. Agregacijos kinetika sekta matuojant ThT fluorescencijos intensyvumo pokytį laike naudojant Qiagen Rotor-Gene Q realaus laiko analizatorių. Agregacijos reakcijos kinetikos parametrai nustatyti taip pat kaip aprašyta [30] literatūros šaltinyje.

172  $\mu$ M insulino, 100 mM NaCl, 100 mM natrio fosfatinis buferinis tirpalas pH 2,4 arba 20% acto rūgšties, 100 mM NaCl tirpalas nesant ir esant 172  $\mu$ M EGCG arba EGCG<sub>ox</sub> buvo inkubuojamas MHR 23 termomikseryje 24 valandas 60 °C temperatūroje nesant maišymo. Insulino agregacijos kinetikos matavimams, į anksčiau minėtus insulino tirpalus buvo pridėta 100  $\mu$ M ThT. Agregacijos kinetika sekta matuojant ThT fluorescencijos intensyvumo pokytį laike naudojant Qiagen Rotor-Gene Q realaus laiko analizatorių. Agregacijos reakcijos kinetikos parametrai nustatyti taip pat kaip aprašyta [30] literatūros šaltinyje.

Insulino agregatų morfologijos nustatymas. 20-30  $\mu$ l insulino agregatų tirpalo buvo užnešta ant žėručio paviršiaus. Po 1 min žėrutis buvo nuplautas 1 ml distiliuoto vandens ir nudžiovintas po švelnia oro srove. Insulino agregatų morfologija vizualizuota Bruker Dimension Icon atominės jėgos mikroskopu.

Insulino ir jo agregatų antrinės struktūros nustatymas. Insulino fibrilės, susidariusios H<sub>2</sub>O aplinkoje, buvo nucentrifuguotos (10000-20000  $\times$  g, 30 min) ir resuspenduotos D<sub>2</sub>O. Insulino fibrilės, susidariusios D<sub>2</sub>O aplinkoje arba resuspenduotos D<sub>2</sub>O, buvo 1 min ardytos ultragarsu. IR sugerties spektrai išmatuoti IR Nicolet 5700 arba Bruker Vertex 80v spektrometru. Insulino mėginių šviesos sklaidos matavimas. Pradiniai insulino tirpalai nufiltruoti per 45  $\mu$ m porų dydžio švirkštinį filtrą. Šviesos sklaidos matavimai atlikti Malvern Zetasizer  $\mu$ V aparatu.

Insulino agregacijos kinetikos duomenų aprašymas matematiniais modeliais. Insulino agregacijos kinetikos, nesant ir esant NaCl, duomenų aprašymas matematiniais modeliais buvo atliktas naudojantis rModeler programa. Buvo panaudoti 4 modeliai: "Klasikinis", "Įsotintos elongacijos", "Klasikinis + Tetramerai" ir "Klasikinis + Uždengimas".

EGCG ir EGCG<sub>ox</sub> poveikio insulino amiloidinei agregacijai įvertinimas. EGCG ir EGCG<sub>ox</sub> poveikis insulino amiloidinei agregacijai įvertintas atlikus  $t_{50}$  ir  $I_{max}$  verčių dispersinę analizę (ANOVA).

Pelės rekombinantinio prioninio baltymo fragmento (rMoPrP) gryninimas. Pelės rekombinantinio prioninio baltymo fragmento (rMoPrP) gryninimas buvo atliktas taip pat kaip nurodyta [33, 34] literatūros šaltiniuose.

rMoPrP fibrilių formavimas. rMoPrP amiloidinės fibrilės buvo suformuotos remiantis protokolais aprašytais [21, 35] literatūros šaltiniuose. 0,5 mg/ml rMoPrP89-230, 2 M arba 4 M guanidino hidrochlorido (GuHCl), 50 mM natrio fosfatinis buferinis tirpalas, pH 6 buvo inkubuojamas 72-216 h 37 °C temperatūroje esant energingam (220 aps./min (IKA KS 4000i purtyklėje)) arba švelniam (10 aps./min (Fisherbrand Mini Tube rotatoriuje)) maišymo tipui.

rMoPrP fibrilių savireplikacijos reakcijos kinetikos matavimai. Amiloidinės rMoPrP fibrilės buvo ledo vonelėje 10 min ardytos Bandelin Sonopuls 3100 ultragarsiniu homogenizatoriumi naudojant MS 72 antgalį (esant 20% amplitudei), kas 30 s darant 30 s pertrauką.

Į 0,5 mg/ml rMoPrP monomerų, 0,5-4,5 M GuHCl, 50  $\mu$ M ThT, 50 mM natrio fosfato pH 6 buferinį tirpalą pridedama 0,2-10% rMoPrP ultragarsu suardytų agregatų. Savireplikacijos reakcijos kinetika stebima skirtingose temperatūrose (40-65 °C) matuojant ThT fluorescencijos intensyvumo pokytį laike naudojant Qiagen Rotor-Gene Q realaus laiko analizatorių arba Cary Eclipse fluorimetrą. Kuomet agregacijos reakcija buvo sekama matuojant šviesos sklaidą (600 nm) naudojant Cary Eclipse fluorimetrą, viskas buvo daryta taip pat kaip ir prieš tai tik tirpale nesant ThT.

rMoPrP morfologijos nustatymas. 20-30  $\mu$ l rMoPrP agregatų tirpalo buvo užnešta ant žėručio paviršiaus. Po 45s - 1 min žėrutis buvo nuplautas 1-2 ml distiliuoto vandens ir nudžiovintas po švelnia oro srove. rMoPrP agregatų morfologija vizualizuota Bruker Dimension Icon atominės jėgos mikroskopu.

rMoPrP fibrilių antrinės struktūros nustatymas. rMoPrP fibrilės buvo nucentrifuguotos (15000×g, 30 min) ir resuspenduotos. Tuomet resuspendotos fibrilės buvo 1 min ledo vonelėje, ardytos Bandelin Sonopuls 3100 ultragarsiniu homogenizatoriumi naudojant MS 72 antgalį (esant 20% amplitudei). IR sugerties spektrai išmatuoti Bruker Alpha arba Bruker Vertex 80v IR spektrometru.

**A**β**42** agregacijos kinetikos matavimas. Paruošiami du Aβ42 tirpalai: 5  $\mu$ M Aβ42, 200  $\mu$ M EDTA, 0,02% NaN<sub>3</sub>, 20 mM fosfatinis buferinis tirpalas, pH 8 be ThT ir su 20  $\mu$ M ThT. Tirpalai išpilstomi po kelis pakartojimus po 80  $\mu$ M į 96 šulinėlių plokštelę. Plokštelės su mėginiais patalpinamos į Fluostar Omega mikroplokštelių skaitytuvą. Inkubuojama 37 °C temperatūroje nesant maišymo. Aβ42 agregacijos kinetika sekama matuojant mėginių, į kuriuos buvo įdėta ThT, ThT fluorescencijos intensyvumo pokytį laike. Mėginiai, į kuriuos nebuvo įdėta ThT, buvo surinkti iš plokštelės po 0, 15, 30, 60 ir 120 min inkubacijos ir iškart užnešti ant žėručio paviršiaus panaudojant mikrolašelių išpurškimo platformą [36].

A $\beta$ 42 agregatų užnešimas ant substrato panaudojant mikrolašėlių išpurškimo platformą. Mėginiai, paimti skirtingu A $\beta$ 42 agregacijos reakcijos laiko momentu, 100  $\mu$ L/h greičiu suleidžiami į mikrolašelių išpurškimo platformą panaudojant švirkštinę pompą ir panaudojant suspausto azoto dujas (3 bar) išpurškiami ant žėručio paviršiaus. Mėginio išpurškimas vykdomas 30s, atstumas nuo mikrolašelių išpurškimo platformos iki žėručio paviršiaus buvo 4 cm.

**A**β**42 agregatų morfologijos vizualizavimas**. Aβ42 agregatų mėginių, užneštų ant žėručio paviršiaus, morfologija buvo vizualizuota PARK Systems NX10 atominės jėgos mikroskopu.
### Rezultatai ir jų aptarimas

### Konformacinis insulino fibrilių variabilumas

Siekiant nustatyti DMSO poveikį insulino agregacijos procesui, atlikti insulino fibrilių, spontaniškai susiformavusių D<sub>2</sub>O aplinkoje nesant ir esant 5% DMSO, antrinės struktūros tyrimai infraraudonųjų (IR) spindulių spektroskopijos metodu (Pav. 1). Taip pat, siekiant išsiaiškinti galimus pokyčius paskatintus D<sub>2</sub>O naudojimo vietoje H<sub>2</sub>O, kas buvo būtina norint išvengti H<sub>2</sub>O ir baltymų amido I IR spektro dedamųjų persiklojimo [37], bei siekiat nustatyti ar mažas pH pokytis turi įtakos insulino fibrilių susidarymui, insulino fibrilės buvo pagamintos D<sub>2</sub>O esant pH\* 1,6 ir pH\* 2 (kur pH\* yra pH-metro rodmuo neatsižvelgus į izotopinį efektą [38]). pH\* 1,6 buvo pasirinktas siekiant imituoti aplinką, kurioje D<sup>+</sup> ir H<sup>+</sup> koncentracijos būtų panašios [39]. Tuo metu pH\* 2 buvo pasirinktas siekiant sukurti aplinką, kurioje baltymo molekulės šoninių funkcinių grupių jonizacijos laipsnis D<sub>2</sub>O aplinkoje būtų panašus į jonizacijos laipsnį H<sub>2</sub>O aplinkoje [38].

Insulino amiloidinių fibrilių antrinės struktūros tyrimas. Insulino amiloidinių fibrilių, susidariusių nesant ir esant DMSO, IR sugerties spektrai atrodo identiškai (Pav. 1), tačiau įdomu tai, jog mažas pH\* skirtumas nulėmė insulino fibrilių, su skirtingais amido I' profiliais, susidarymą (Pav. 1A). Insulino fibrilių, susiformavusių pH\* 2 aplinkoje, IR sugerties spektro antroji išvestinė turi du minimumus amido I' regione, vieną ties 1628 cm<sup>-1</sup> bei kitą ties 1615 cm<sup>-1</sup>. Tuo metu insulino fibrilių, susiformavusių pH\* 1,6 aplinkoje, FTIR spektro antroji išvestinė turi du minimumus ties 1619 cm<sup>-1</sup> ir 1631 cm<sup>-1</sup> (Pav. 1B). Insulino fibrilės, susiformavusios pH\* 1,6 aplinkoje, taip pat turi papildomą IR sugerties signalo dedamąją ne amido I' regione ties 1728 cm<sup>-1</sup>. Panašios spektrinės charakteristikos nesenai buvo įvardintos kaip vienas iš pagrindinių skirtingų insulino fibrilių kamienų požymių [40, 41].

Įdomu tai, kad insulino fibrilių, susidariusių H<sub>2</sub>O aplinkoje esant pH 1,6 arba pH 2, IR sugerties spektrai yra identiški (Pav. 1C). Tiek pH 1,6, tiek pH 2 aplinkoje susidariusių fibrilių IR sugerties spektro antroji



PAV. 1: Insulino fibrilių, susidariusių D<sub>2</sub>O tirpale esant (A) ir nesant (B) 5% DMSO, arba H<sub>2</sub>O tirpale esant skirtingam pH (C), IR sugerties spektrai ir jų antrinės išvestinės (iterptinis grafikas).

išvestinė turi du minimumas amido I/I' regione ties 1628 cm<sup>-1</sup> ir 1641 cm<sup>-1</sup>, bei vieną minimumą ties ≈1730 cm<sup>-1</sup> ne amido I/I' regione. Tuo metu insulino fibrilių, susidariusių H<sub>2</sub>O aplinkoje esant pH 2,4, IR sugerties spektras skiriasi nuo prieš tai aptartų, IR sugerties spektro antroji išvestinė turi du minimumas ties 1625 cm<sup>-1</sup> ir 1636 cm<sup>-1</sup> (Pav. 1C). Amiloidinių fibrilių antrinės struktūros elementai yra gerai apsaugoti nuo vandenilio/deuterio mainų, todėl daugumos amido grupių vandenilio molekulės yra nepakitusios nepaisant to, jog fibrilės buvo resuspenduotos D<sub>2</sub>O. Tai atsispindi ir fibrilių resuspenduotų D<sub>2</sub>O IR spektre, kuris lyginant su D<sub>2</sub>O pagamintų fibrilių IR spektru yra pasistūmęs link didesnio bangų skaičiaus.

Nesenai literatūroje buvo aprašytas tyrimas, kurio rezultatai iš pirmo žvilgsnio prieštarauja šio darbo rezultatams [42-44]. Siu tyrimų metu nebuvo pastebėta jokių skirtumų tarp insulino fibrilių, susidariusių esant skirtingam aplinkos pH (pH 1,3-3,1), IR sugerties spektrų. Tačiau pastarųjų IR spektrų amido I regiono forma yra labai panaši į fibrilių susiformavusių pH\* 2 aplinkoje. Pastaruosiuose tyrimuose naudota insulino koncentracija buvo 60 mg/ml (10 kartų daugiau nei šio tyrimo metu), tai reiškia, kad monomerų-oligomerų pusiausvyra buvo stipriai pastumta link oligomerinių insulino formų, nes insulinas oligomerizuojasi net ir daug žemesnėse koncentracijose Todėl galime daryti prielaidą, kad monomerų-oligomerų [45-47].pusiausvyra yra galimai pagrindinis faktorius nulemiantis skirtingų insulino fibrilių kamienų susidarymą.

Siekiant patikrinti šią hipotezę buvo atlikti keli papildomi tyrimai.

Visų pirma buvo patikrinta ar pusiausvyros poslinkis link oligomerinių insulino formų susidarymo gali paaiškinti skirtumus tarp pH\* 1,6 tipo ir pH\* 2 tipo fibrilių kamienų. 10 mM insulino fibrilių, susidariusių pH\* 1,6 aplinkoje, IR sugerties spektras skiriasi nuo 1 mM insulino fibrilių, susidariusių pH\* 1,6 aplinkoje, spektro (Pav. 2A). Tai leidžia daryti prielaida, kad padidėjusi insulino koncentracija nulemia kito insulino fibrilių kamieno susidarymą. Tačiau pastarasis IR spektras taip pat skiriasi ir nuo 1 mM insulino fibrilių, susidariusių pH\* 2 aplinkoje, spektro (Pav. 2A). Todėl šie rezultatai nepaaiškina iškeltos Toliau buvo pakartoti jau anksčiau literatūroje aprašyti hipotezės. insulino agregacijos eksperimentai esant 20% etanolio, bei atlikti agregacijos eksperimentai esant 20% DMSO (Pav. 2B). Insulino agregacijos pH\* 2 tirpale esant 20% bet kurio iš šių dviejų organinių tirpiklių, susiformuoja insulino fibrilės, kurių IR sugerties spektras yra labai panašus į pH<sup>\*</sup> 1,6 aplinkoje susidarančio insulino fibrilių kamieno spektrą. Šie rezultatai patvirtina, kad etanolis ir mažesniu mastu DMSO, pastumia pusiausvyrą link monomerinės insulino formos ir tuo pačiu link pH\* 1,6 tipo fibrilių susidarymo



PAV. 2: Insulino fibrilių, susidariusių esant aukštai insulino koncentracijai (A) arba tirpale esant organinių tirpiklių (B), IR sugerties spektrai ir jų antrinės išvestinės (įterptinis grafikas). Insulino dalelių dydžio pasiskirstymas pH\* 1.6 ir pH\* 2 tirpale (C).

Galiausiai siekiant nustatyti insulino dalelių dydžio pasiskirstymą pH<sup>\*</sup> 1,6 ir pH<sup>\*</sup> 2 tirpaluose, atlikti šių mėginių dinaminės šviesos sklaidos (DLS) matavimai (Pav. 2C). Vidutinis insulino, ištirpinto pH<sup>\*</sup> 1,6, dydis yra mažesnis nei insulino ištirpinto pH<sup>\*</sup> 2. pH<sup>\*</sup> 1,6 tirpale insulino diametras yra  $3.4 \pm 0.7$  nm, tai yra šiek tiek daugiau nei insulino monomero diametras, tačiau mažiau nei insulino dimero

diametras [48]. Tuo metu pH<sup>\*</sup> 2 tirpale insulino diametras yra 4.0  $\pm$  0.6 nm, tai yra šiek tiek daugiau nei insulino dimero diametras. DLS matavimai leidžia nustatyti tik vidurkinį polidispersiško tirpalo dalelių dydį, todėl tikslaus monomerų-oligomerų pasiskirstymo neįmanoma nustatyti. Tačiau tai, kad aukštesnis pH<sup>\*</sup> pastumia pusiausvyrą link insulino dimerinės/oligomerinės formos yra akivaizdu.

Remiantis visais gautais rezultatais ir pastebėjimais galime daryti išvadą, jog insulino monomerų-oligomerų pusiausvyra yra vienas pagrindinių faktorių nulemiančių skirtingos konformacijos insulino fibrilių susidarymą.

### Neamiloidogeninės oligomerinės insulino formos tiesiogiai dalyvauja insulino agregacijos procese ir jį slopina

Siekiant detalaus insulino polimorfizmo supratimo yra būtina atlikti mechanistinius insulino agregacijos tyrimus. Globalus kinetinių kreivių aprašymas eksperimentiniais modeliais leidžia nustatyti galimus amiloidinės agregacijos mechanizmus [12, 49-54]. Pavyzdžiui, insulino agregacijos pH 1,6 aplinkoje kinetikos analizė leido nustatyti, kad šiomis sąlygomis insulino agregacija vyksta pagal "Klasikinį" amiloidų agregacijos mechanizmą su įsotintos elongacijos žingsniu [49]. Siekiant išsiaiškinti galimus mechanistinius skirtumus nulemiančius pH-sužadintą insulino fibrilių polimorfizmą, insulino agregacijos pH 2,4 aplinkoje kinetikos duomenis pabandėme aprašyti keletu eksperimentinių modelių. Yra žinoma, jog NaCl gali paveikti amiloidinės agregacijos kinetiką, taip pat gali paskatinti baltymo struktūros pokyčius, ar net daryti įtaką baltymo agregacijos mechanizmui, dėl ko gali susiformuoti skirtingi fibrilių kamienai. Dėl visų šių priežasčių mes stebėjome insulino agregacijos procesą esant kelioms skirtingoms NaCl koncentracijoms.

**Pradinės ir agreguotos insulino formų charakterizavimas.** Insulino tirpalų DLS matavimai atskleidė, jog vidutinis insulino dalelių dydis priklauso nuo NaCl koncentracijos (Pav. 3A). Maksimalus šviesos išsibarstymas nesant NaCl yra ties  $3.7 \pm 0.1$  nm dalelių diametru, kas yra

šiek tiek mažiau nei insulino dimero diametras ( $\approx$ 3.9 nm [48]). Didinant NaCl koncentraciją tirpale vidutinis dalelių diametras padidėja iki  $3.9 \pm 0.3$  nm esant 50 mM NaCl ir galiausiai pasiekia  $4.3 \pm 0.1$  nm tirpale esant 100 mM NaCl. Pastarųjų dalelių diametras yra šiek tiek mažesnis nei insulino tetramero (≈5 nm [48]). Toks laipsniškas dalelių dydžio didėjimas leidžia daryti prilaidą jog kylanti tirpalo joninė jėga skatina didesnių oligomerų susidarymą. Kadangi monomerų-oligomerų pusiausvyra priklauso nuo baltymo koncentracijos, tai ir išmatuotas dalelių dydžio pasiskirstymas yra priklausomas nuo baltymo koncentracijos. Nesant NaCl, hidrodinaminis insulino spindulys padidėja nežymiai insulino koncentracijai augant nuo 0,5 iki 5 mg/mL (Pav. 3B). Tuo metu daug didesnis dalelių dydžio pokytis, kuris yra aiškiausiai matomas esant aukštai insulino koncentracijai, yra pastebimas insulino tirpale esant 100 mM NaCl. Šie rezultatai leidžia daryti prielaidą, jog visame insulino koncentracijų ruože nesant ir iki 2 mg/mL insulino esant NaCl egzistuoja monomerų-dimerų pusiausvyra. Tuo metu esant NaCl ir insulino koncentracijai > 2 mg/mL pusiausvyra yra paslinkta link insulino tetrameru.



PAV. 3: Dalelių dydžio pasiskirstymas pradiniuose insulino tirpaluose ir insulino agregatų antrinės struktūros profiliai esant skirtingai NaCl koncentracijai. A šviesos išsibarstymo intensyvumo pasiskirstymas. B - šviesos išsibarstymo smailės viršūnės pozicijos priklausomybė nuo insulino koncentracijos. C - Insulino fibrilių, susiformavusių tirpale, nesant ir esant NaCl, IR sugerties spektrai ir jų antrosios išvestinės (įterptinis grafikas).

Insulino fibrilių, susiformavusių pH 2,4 aplinkoje nesant ir esant NaCl, FTIR spektrai yra beveik identiški (Pav. 3C). Antroji FTIR spektrų išvestinė turi dvi dedamąsias amido I/I' regione ties 1636 cm<sup>-1</sup> ir 1627 cm<sup>-1</sup>, kurios yra tipiškos  $\beta$ -klostėms. Taigi, galime daryti išvadą, jog

tiek nesant, tiek esant iki 100 mM NaCl, susiformuoja tokio pačio tipo amiloidinės insulino fibrilės.

Insulino agregacijos kinetika. Iš insulino agregacijos puslaikio grafiko (Pav. 4A) matyti, kad tirpale nesant druskos  $t_{50}$  mažėja didėjant pradinei insulino koncentracijai, kol galiausiai pasiekia plato. Šie rezultatai leidžia daryti prielaidą, jog yra stebimas įsotinimo efektas [55]. Maža kreivės krypties koeficiento reikšmė, kuri galiausiai tampa lygi  $\approx 0$  aukštose insulino koncentracijose, leidžia daryti prielaidą, jog agregatų ilgėjimo ir lūžinėjimo procesai yra įsotinti [12], t. y. negali vykti greičiau toliau augant insulino koncentracijai.



PAV. 4: Insulino agregacijos puslaikio priklausomybės nuo pradinės insulino koncentracijos esant 0 mM (A), 25 mM (B), 50 mM (C), 75 mM (D), 100 mM (E) NaCl koncentracijai.

Tirpale nesant druskos yra stebima neįprasta  $t_{50}$  priklausomybė nuo insulino koncentracijos (Pav. 4B-E). Iš pradžių  $t_{50}$  mažėja didėjant insulino koncentracijai, tačiau pasiekus tam tikrą koncentraciją ("lūžio" tašką) vėl ima augti. Šis fenomenas negali būti susijęs su įsotinimo efektu, nes po lūžio taško  $t_{50}$  nenusistovi, o ima didėti. Mūsų žiniomis, teigiama kreivės krypties koeficiento reikšmė nėra siejama nei su vienu žinomu eksperimentiniu modeliu ar agregacijos mechanizmu.

Eksperimentiniai modeliai. Keturi pagrindiniai įvykiai, apibūdinantys amiloidinės agregacijos procesą, yra priminė ir antrinė nukleacija, fibrilių ilgėjimas ir lūžinėjamas. Eksperimentinis modelis, į kurį yra įtraukti visi šie procesai, yra vadinamas "Klasikiniu" (Pav. 5). Tam tikrais atvejais šis modelis nesugeba aprašyti eksperimentinių duomenų ir dalinės modelio modifikacijos ar naujų mikroskopinių agregacijos žingsnių įtraukimas yra būtinas [12, 13, 49, 51, 53, 56]. Insulino koncentracijai esant didesnei nei 1,5 mg/ml, buvo matoma aiški insulino hidrodinaminio spindulio divergencija tirpale nesant ir esant 100 NaCl (Pav. 3B), tai sutampa ir su lūžio tašku insulino agregacijos puslaikio grafikuose (Pav. 4). Taigi, yra matoma aiški koreliacija tarp insulino oligomerų susidarymo ir agregacijos laiko ilgėjimo ( $t_{50}$ didėjimo). Remiantis visais pastebėjimais mes iškėlėme hipotezę, jog neiprasta  $t_{50}$  priklausomybė nuo pradinės insulino koncentracijos galimai atsiranda dėl susidariusių insulino oligomeru (tetramerų), kurie nedalyvauja tiesiogiai agregacijos reakcijoje, tačiau gali "uždengti" agregacijos centro ar fibrilės galus (Pav. 5) ir taip slopinti jų ilgėjimą ir tuo pačiu agregacijos reakciją.



PAV. 5: Keturi agregacijos modeliai ir juos sudarantys mikroskopiniai agregacijos įvykiai apibūdinantys amiloidinės agregacijos procesą. Greičio konstantos yra žymimos:  $k_n$  (pirminės nukleacijos),  $k_+$  (elongacijos),  $k_2$  (antrinės nukleacijos),  $k_-$  (lūžinėjimo),  $k_f$  ir  $k_r$  (tarpinių formų asociacijos ir disociacijos),  $K_E$  (monomerų-tetramerų pusiausvyros), ir  $k_c$  (agregacijos centrų "uždengimo").

**Globalus eksperimentinių duomenų aprašymas.** "Klasikinis", "Įsotintos elongacijos", "Klasikinis + Tetramerai" ir "Klasikinis + Uždengimas" agregacijos modeliai buvo panaudoti aprašyti eksperimentinius duomenis gautus insulino agregacijos metu esant penkios skirtingoms NaCl koncentracijoms. "Klasikinis" modelis buvo vienintelis modelis kuris nesugebėjo pakankamai gerai aprašyti insulino agregacijos kinetikos, nesant NaCl (duomenys darbo santraukoje nepateikti). Tai reiškia, kad papildomi žingsniai kaip įsisotinęs fibrilių ilgėjimo procesas ar oligomerų, kurie tiesiogiai nedalyvauja agregacijos reakcijoje, susidarymas, yra reikalingi norint aprašyti insulino agregacijos procesą pH 2,4 tirpale nesant NaCl.

Kaip ir buvo tikėtasi, modeliai, kuriuose nėra įtrauktas agregacijos slopinimo žingsnis, nesugebėjo tinkamai aprašyti insulino agregacijos,

esant 100 mM NaCl, kinetikos duomenų (Pav. 6A-C). Vienintelis modelis, kuris sugebėjo tinkamai aprašyti eksperimentinius duomenis buvo tas kuris numato galimą neamiloidogeninių oligomerų, kurie gali slopinti agregacijos reakciją, susidarymą (Pav. 6D). Iš DLS rezultatų (Pav. 3) galime spręsti, jog aukštos koncentracijos insulino tirpaluose esant 100 mM NaCl pusiausvyra yra stipriai pastumta link tetramerinės insulino formos, o tuo metu nesant NaCl tik labai maža dalis insulino bus tetramerinėje formoje. Šie rezultatai paaiškina tiek kodėl tik tie modeliai, kuriuose yra įtrauktas monomerų-oligomerų pusiausvyros žingsnis, sugeba gerai aprašyti eksperimentinius duomenis gautus nesant NaCl, tiek tai, kad modelis, numatantis agregacijos proceso slopinimo insulino tetramerais galimybę, yra vienintelis sugeba tinkamai aprašyti eksperimentinius duomenis gautus esant NaCl. Jei padarysime prielaidą, kad NaCl pridėjimas į insulino tirpalą nepakeičia insulino agregacijos mechanizmo, o tik paveikia mikroskopinių agregacijos žingsnių greičius, tuomet galime daryti išvadą, kad modelis numatantis agregacijos reakcijos slopinimą insulino tetramerais yra tas, kuris paaiškina insulino agregacijos pH 2,4 tirpale mechanizmą.

Paprastai oligomerinės insulino formos yra laikomos tarpinėmis, neamiloidogeninėmis formomis, kurios tik laikinai sumažina agreguoti galinčių insulino monomerų koncentraciją [30–32]. Šiame darbe pateikiame įrodymus apie galimą tiesioginį insulino oligomerinių formų vaidmenį amiloidinių fibrilių susidarymo procese. Šios mechanistinės įžvalgos ženkliai prisideda prie gilesnio insulino agregacijos mechanizmo supratimo.

# Aplinkos sąlygos yra vienas iš pagrindinių faktorių nulemiančių potencialių prieš amiloidinių junginių identifikavimą

Galybė mažos molekulinės masės junginių, trumpų peptidų, ir antikūnų buvo pasiūlyti kaip potencialūs toksinių oligomerinių ir fibrilinių agregatų susidarymo slopikliai [57–62]. Deja, nepaisant reikšmingų mokslininkų pastangų, šiai dienai nėra nė vieno efektyvaus vaistinio preparato skirto su amiloidais siejamoms ligoms gydyti [63–68].



PAV. 6: Globalus insulino agregacijos kinetikos, esant 100 mM NaCl, aprašymas "Klasikiniu" (A), "įsotintos elongacijos" (B), "klasikinis + tetramerai" (C) ir "klasikinis + uždengimas" (D) modeliais. Įterptiniame grafike yra palygintos eksperimentiškai nustatytos ir iš modelio nustatytos  $t_{50}$  vertės.

Epigalokatechino-3-galatas (EGCG) yra vienas iš pagrindinių polifenolių randamų žaliojoje arbatoje. Literatūros šaltiniuose EGCG yra įvardinamas kaip efektyvus keleto amiloidinių baltymų agregacijos slopiklis [69–72]. Taip pat buvo pademonstruota, jog EGCG gali paskatinti susiformavusių fibrilių persitvarkymą / disociaciją [69, 70, 72–74]. Bendrai paėmus EGCG gali būti laikomas "universaliu" amiloidinės agregacijos slopikliu. Tačiau, EGCG yra nestabilus neutraliame ar šarminiame pH [75–78] kur jis auto-oksiduojasi. EGCG auto-oksidacijos metu susidaro keletas produktų iš kurių kiekvienas gali turėti skirtingą poveikį amiloidinėi agregacijai [79–81].

Šiame darbe nusprendėme įvertinti EGCG poveikį insulino fibrilių susidarymui esant skirtingoms aplinkos sąlygoms.

Insulino agregacijos kinetikos nesant ir esant EGCG arba EGCG<sub>ox</sub> tyrimas. Visų pirma nustatėme EGCG ir jo auto-oksidacijos produktų (EGCG<sub>ox</sub>) poveikį insulino agregacijos kinetikai ir maksimaliam ThT fluorescencijos intensyvumui ( $I_{max}$ ). Kuomet insulino agregacijos reakcija buvo vykdoma 100 mM fosfatiniame buferiniame tirpale, pH 2,4 (PB) be maišymo, pridėjus EGCG insulino agregacijos puslaikis ( $t_{50}$ ) padidėjo, o  $I_{max}$  sumažėjo du kartus lyginant su kontrole (Pav. 7). EGCG<sub>ox</sub> efektas buvo daug stipresnis,  $t_{50}$  padidėjo, o  $I_{max}$  sumažėjo beveik 4 kartus. Esant maišymui PB, EGCG beveik neturėjo poveikio, o EGCG<sub>ox</sub> poveikis insulino amilodinei agregacijai buvo nežymus (Pav. 7).

EGCG nedaro jokio poveikio  $t_{50}$  ar  $I_{max}$  kuomet insulino agregacijos reakcija yra vykdoma 20% acto rūgštyje (AC) tiek nesant tiek esant maišymui (Pav. 7). Lyginant su kontrole, nesat maišymo AC pridėjus EGCG<sub>ox</sub>  $t_{50}$  padidėjo du kartus, o  $I_{max}$  padidėjo net 20 kartų (Pav. 7). Esant maišymui AC, pridėjus EGCG<sub>ox</sub>  $I_{max}$  padidėja 3 kartus,  $t_{50}$  nuo kontroles skiriasi nežymiai.



PAV. 7: EGCG ir EGCG<sub>ox</sub> poveikis insulino agregacijos kinetikai (A) ir maksimaliam ThT fluorescencijos intensyvumui (B). PB - 100 mM fosfatinis buferinis tirpalas. AC -20% acto rūgšties tirpalas. Q - agregacijos reakcija vyksta nesant maišymo. A - agregacijos reakcija vyksta esant maišymui. Paklaidos yra standartiniai nuokrypiai.

Insulino agregatų susidariusių skirtingomis aplinkos sąlygomis nesant ir esant EGCG arba  $EGCG_{ox}$  morfologijos tyrimas atominės jėgos mikroskopu. Mėginių analizė atominės jėgos mikroskopu patvirtino, kad visais atvejais praėjus 15 val nuo agregacijos reakcijos pradžios susiformuoja kelių mikrometrų ilgio ir 3-10 nm aukščio amiloidinės insulino fibrilės (Pav. 8). PB susiformavusios fibrilės yra linkusios sulipti į guzus, didesni guzai yra matomi kuomet agregacijos reakcija buvo vykdoma esant purtymui. Mėginyje esant  $EGCG_{ox}$  fibrilės atrodo mažiau sulipusios. Lyginant su kontrole, AC susiformuoja daugiau fibrilių kuomet mėginyje yra  $EGCG_{ox}$ . Mėginyje esant EGCG, visomis tirtomis sąlygomis susidaro amiloidinės fibrilės panašios į tas



kurios susidarė atitinkamomis aplinkos sąlygomis be EGCG.

PAV. 8: Insulino agregatai susidarę skirtingomis aplinkos sąlygomis nesant ir esant EGCG arba  $EGCG_{ox}$ .

Insulino fibrilių antrinės struktūros charakterizavimas. Insulino fibrilių, susidariusių AC nesant ir esant maišymui, antrosios FTIR spektrų išvestinės yra beveik identiškos, abi turi du minimumus amido I/I' regione ties 1627 cm<sup>-1</sup> ir 1641 cm<sup>-1</sup>, bei papildomą minimuma ne amido I/I' regione ties 1729 cm $^{-1}$  (Pav. 9). Insulino fibrilių, susiformavusių PB esant maišymui, antroji FTIR spektro išvestinė taip pat panaši į prieš tai aptartų fibrilių, tačiau matomas tik vienas minimumas amido I/I' regione ties 1627 cm<sup>-1</sup>. Insulino fibrilių, susiformavusių PB nesant maišymui, FTIR spektro išvestinė turi du minimumus amido I/I' regione ties 1625 cm<sup>-1</sup> ir 1637 cm<sup>-1</sup>. Rezultatai leidžia teigti, jog fibrilės susidariusios PB nesant maišymo yra struktūriškai skirtingos nuo susidariusių AC. Tuo metu fibrilių, susidariusių PB esant maišymui, antrinės struktūros profilis atrodo kaip tarpinis tarp fibrilių susidariusių PB nesant purtymo ir fibrilių susidariusių AC tiek nesant tiek esant purtymui.

Junginių poveikio amiloidinės agregacijos procesui įvertinimas. Junginių poveikis amiloidinių fibrilių susidarymo procesui yra dažnai vertinamas lyginant agregacijos kinetiką [52, 56] ir/arba maksimalų



PAV. 9: Insulino fibrilių susiformavusių PB arba AC tirpale nesant ir esant maišymui IR sugerties spektrai ir jų antrosios išvestinės (įterptinis grafikas).

ThT fluorescencijos intensyvumą [82, 83] mėginyje esant ir nesant junginio. Šiame darbe EGCG ir EGCG<sub>ox</sub> poveikis insulino agregacijai, kuri vyko skirtingomis aplinkos sąlygomis buvo įvertinas naudojant abu ankščiau minėtus faktorius (Pav. 7) (Lentelė 1). Jei  $t_{50}$  ir/arba  $I_{max}$ pokytis būtų pasirinktas kaip pagrindinis vertinimo kriterijus, tuomet EGCG būtų indikuotas kaip potencialus amiloidinės agregacijos slopiklis tik jei agregacijos reakcija vyktų PB nesant purtymo. EGCG<sub>ox</sub> būtų indikuotas kaip potencialus agregacijos slopiklis nepaisant pasirinkto vertinimo kriterijaus jei agregacijos reakcija vyktų PB nesant arba esant purtymui. Tuo tarpu jei reakcija vyktų AC vertinant pagal  $t_{50}$  pokytį  $EGCG_{ox}$  būtų indikuotas kaip agregacijos slopiklis, o jei pagal  $I_{max}$ - kaip agregaciją skatinanti molekulė. Remiantis rezultatais galime daryti prielaidą, jog priklausomai nuo to kokiomis sąlygomis yra vykdoma agregacijos reakcija, bei koks kriterijus yra pasirinktas nustatyti tiriamos molekulės poveikiui, tas pats junginys gali būti indikuotas kaip anti-amiloidinis ir ne.

Akivaizdu, jog aplinkos sąlygos, kuriomis yra atliekama amiloidų agregacijos reakcija ir tiriamas molekulės poveikis jai, bei kriterijai kuriais remiantis yra vertinamas poveikis, yra ypač svarbūs faktoriai kurie nulemia ar molekulė bus identifikuota kaip potencialus prieš amiloidinis vaistas ar ne. Todėl molekulių poveikis amiloidinės agregacijos procesui turėtų būti vertinamas agregacijos reakciją atliekant keliose skirtingose aplinkos sąlygose. Tai leistų padidinti sėkmę tolimesniuose prieš klinikiniuose ir klinikiniuose tyrimuose.

Vertinant pagal t <sub>50</sub> pokytį		
Sąlygos	EGCG	EGCG <sub>ox</sub>
PB-Q	Slopinantis <sup>1</sup>	Slopinantis
PB-A	Nėra poveikio	Slopinantis
AC-Q	Nėra poveikio	Slopinantis
AC-A	Nėra poveikio	Slopinantis
Vertinant pagal <i>I<sub>max</sub></i> pokytį		
Sąlygos	EGCG	EGCG <sub>ox</sub>
PB-Q	Slopinantis	Slopinantis
PB-A	Nėra poveikio	Slopinantis
AC-Q	Nėra poveikio	Skatinantis
AC-A	Nėra poveikio	Skatinantis

LENTELĖ 1: EGCG ir EGCG<sub>ox</sub> poveikio insulino agregacijos procesui vertinimas.

<sup>1</sup>Nustatyta atlikus  $t_{50}$  arba  $I_{max}$  dispersinę analizę (ANOVA).

### Konformacinis amiloidinių fibrilių variabilumas priklauso nuo pradinės agregatų, dalyvaujančių fibrilių savireplikacijos reakcijoje, koncentracijos

Viena iš įdomiausių amiloinių baltymų savybių yra tai, kad tas pats baltymas gali suformuoti struktūriškai skirtingas amiloidines fibriles, kitaip dar vadinamas "kamienais". Prioniniai baltymai yra vienas iš geriausių to pavyzdžių [20, 28, 84]. Buvo pastebėta, jog tam tikrais atvejais prioninio baltymo agregatus perkėlus į kitas aplinkos sąlygas gali susiformuoti ir kito kamieno fibrilės [9, 84-86]. Šis fenomenas dar vadinamas "kamienų mutacija" arba "konformaciniu pasikeitimu". Nors tiksli šio fenomeno kilmė nėra žinoma yra manoma, kad tam tikrais atvejais pokyčiai aplinkos sąlygose paskatina susidaryti to tipo agregatus, kurie yra labiausiai tinkami savireplikuotis esamoje aplinkoje. Antrinės nukleacijos metu naujų agregacijos branduolių susidarymas yra katalizuojamas jau susidariusių amiloidinių fibrilių Tačiau nėra aišku ar taip susiformavusių agregacijos paviršiaus. branduolių struktūra yra nulemta aplinkos sąlygų ar fibrilių struktūros. Taigi kyla klausimas: ar antrinė nukleacija gali būti atsakinga už amiloidinių fibrilių kamienų mutaciją?

Konformaciškai skirtingų fibrilių formavimas. Monomerinis rMoPrP89-230 buvo inkubuojamas 2 arba 4 M guanidino hidroclorido (GuHCl) tirpale, susiformavę agregatai buvo atitinkamai pavadinti rPrP- $A^{2M}$  ir rPrP- $A^{4M}$ . Konformacinis agregatų stabilumas, kuris yra apibūdinamas kaip atsparumas chemikalais inicijuotai fibrilių depolimerizacijai, yra vienas iš pagrindinių kriterijų pagal kurį galima atskirti skirtingus fibrilių kamienus [21, 24]. rPrP- $A^{2M}$  ir rPrP- $A^{4M}$ fibrilių kamienų konformacinis stabilumas yra skirtingas (Pav. 10). rPrP- $A^{2M}$  fibrilių depolimerizacijos kreivės vidurio taškas yra ties  $\approx 1,8$ M guanidino tiocianato (GuSCN), o rPrP- $A^{4M}$  - ties  $\approx 3,0$  M GuSCN. Šie rezultatai leidžia daryti prielaidą, jog suformavome skirtingus rMoPrP89-230 fibrilių kamienus.



PAV. 10: rPrP-A<sup>2M</sup> ir rPrP-A<sup>4M</sup> fibrilių depolimerizacijos kreivės. Paklaidos yra standartiniai nuokrypiai.

**Amiloidinių fibrilių savireplikacijos reakcijos kinetika**. Jau anksčiau buvo pademonstruota, kad rPrP-A<sup>2M</sup> kamienas negali savireplikuotis GuHCl koncentracijose aukštesnėse nei 2.5 M [33], todėl galime tirti tik rPrP-A<sup>4M</sup> galimybę savireplikuotis 2 M GuHCl, bet ne rPrP-A<sup>2M</sup> - 4 M GuHCl. rPrP-A<sup>4M</sup> savireplikacijos kinetika 2 M GuHCl, esant kelioms rPrP-A<sup>4M</sup> koncentracijoms, buvo stebima matuojant ThT fluorescencijos intensyvumą (Pav. 11A, B, C). Į rMoPrP89-230 monomerų tirpalą 2 M GuHCl pridėjus 5% (nuo bendros baltymo koncentracijos) rPrP-A<sup>4M</sup> agregatų, stebimas labai staigus ThT fluorescencijos pokytis, todėl galime teigti jog fibrilių ilgėjimas yra labai greitas (Pav. 11A). Pridėjus 1% rPrP-A<sup>4M</sup> agregatų, fibrilių ilgėjimas yra lėtas agregacijos reakcijos pradžioje, tačiau po kurio laiko labai staigiai pagreitėja (Pav. 11B).

Pridėjus 0,2% rPrP-A<sup>4M</sup> agregatų, fibrilių ilgėjimas yra labai lėtas (Pav. 11C), agregacijos reakcijos kinetika yra sigmoidinės formos, kuri yra būdinga spontaninės agregacijos reakcijos kinetikai. Tačiau nesant sėklos, spontaninis agregatų susidarymas per eksperimentinį laiko tarpą nebuvo pastebėtas.



PAV. 11: rPrP-A<sup>4M</sup> fibrilių savireplikacijos reakcijos kinetika esant skirtingai pradinei fibrilių koncentracijai (A-C). Savireplikacijos reakcijos metu susiformavusių fibrilių konformacinis stabilumas (D-F). Paklaidos yra standartiniai nuokrypiai.

**Konformacinis agregatų stabilumas.** Fibrilių konformacinio stabilumo tyrimas atskleidė, kad fibrilių, susiformavusių pridėjus 5% rPrP-A<sup>4M</sup> agregatų, konformacinis stabilumas yra beveik toks pats kaip ir pačių rPrP-A<sup>4M</sup> agregatų, depolimerizacijos kreivės vidurio taškas yra ties  $\approx$ 2,9 M GuSCN (Pav. 11D). Fibrilių, susiformavusių pridėjus 1% rPrP-A<sup>4M</sup> agregatų, konformacinis stabilumas yra mažesnis nei rPrP-A<sup>4M</sup> agregatų, tačiau didesnis nei rPrP-A<sup>2M</sup> agregatų, depolimerizacijos kreivės vidurio taškas yra ties  $\approx$ 2,2 M GuSCN (Pav. 11E). Fibrilių, susiformavusių pridėjus 0,2% rPrP-A<sup>4M</sup> agregatų, konformacinis stabilumas yra panašus į rPrP-A<sup>2M</sup> fibrilių kamieno, depolimerizacijos kreivės vidurio taškas yra ties  $\approx$ 1,8 M GuSCN. Rezultatai leidžia daryti prielaidą, jog fibrilės, kurių susidarymas buvo inicijuotas antrinės nukleacijos, neįgauna tokios pats struktūros kaip fibrilės, kurios buvo panaudotos kaip šablonas savireplikacijos reakcijos metu. **Fibrilių morfologijos analizė.** Mėginių analizė atominės jėgos mikroskopu patvirtino, kad visomis eksperimentinėmis sąlygomis susidarė amiloidinės fibrilės (duomenys darbo santraukoje nepateikti). Pavienių fibrilių ilgis buvo tarp kelių šimtų nanometrų iki kelių mikrometrų. Fibrilių aukščio analizė atskleidė, jog vidutinis rPrP-A<sup>2M</sup> fibrilių kamieno aukštis yra 6.9 ± 2.6 nm, o rPrP-A<sup>4M</sup> fibrilių kamieno -  $5.4 \pm 1.7$  nm. Fibrilių, susidariusių pridėjus 0.2% of rPrP-A<sup>4M</sup> agregatų, aukštis yra panašus į rPrP-A<sup>2M</sup> kamieno fibrilių, o fibrilių, susidariusių pridėjus 5% of rPrP-A<sup>4M</sup> agregatų, - į rPrP-A<sup>4M</sup> kamieno fibrilių.

Fibrilių antrinės struktūros charakterizavimas. Agregatų antrinės struktūros profilių analizė FTIR spektroskopijos metodu atskleidė subtilius skirtumus tarp rPrP- $A^{2M}$  ir rPrP- $A^{4M}$  fibrilių kamienų (Pav. 12). rPrP- $A^{4M}$  fibrilių IR sugerties spektras turi vieną maksimumą amido I/I' regione ties 1620 cm<sup>-1</sup>, o rPrP- $A^{2M}$  - ties 1624 cm<sup>-1</sup> (Pav. 12). Fibrilių, susidariusių pridėjus 5% rPrP- $A^{4M}$ , IR sugerties spektras yra labai panašus į PrP- $A^{4M}$  agregatų, o fibrilių, susidariusių pridėjus 0,2% rPrP- $A^{4M}$ , - į rPrP- $A^{2M}$  agregatų. Šie rezultatai leidžia daryti prielaidą, jog fibrilių kamienui-specifiškos struktūros perdavimas ir dauginimas (savireplikacija) priklauso nuo pradinės agregatų koncentracijos ir ar reakcija yra priklausoma nuo fibrilių ilgėjimo ar antrinės nukleacijos.



PAV. 12: rMoPrP fibrilių IR sugerties spektrai ir jų antrosios išvestinės (įterptinis grafikas).

Bendrai paėmus yra akivaizdu, jog konformacinis fibrilių stabilumas koreliuoja su agregacijos reakcijos kinetika. Tikėtina, kad PrP-A<sup>4M</sup> ir rPrP-A<sup>2M</sup> fibrilių kamienai koegzistuoja visuose tirtuose mėginiuose. Skirtingas šių kamienų koncentracijos santykis galimai nulemia skirtumus matomus fibrilių depolimerizacijos kreivių profiliuose. Remiantis visais rezultatai galime daryti išvadą, kad antrinė nukleacija vaidina svarbų vaidmenį kamienų konformaciniame kintamume.

#### Prioninio baltymo fibrilių savirepikacijos savybių tyrimas

Nepaisant reikšmingų mokslininkų pastangų šiai dienai nėra nei vieno veiksmingo vaistinio preparato skirto ligų siejamų su amiloidais prevencijai ar gydymui [7, 8]. Vienos iš pagrindinių to priežasčių yra be galo sudėtingi amiloidinių fibilių susidarymo ir savireplikacijos procesai, bei sąlyginiai skurdus šių procesų supratimas. [8, 9, 17–19, 87, 88].

Siekiant gauti gilesnį supratimą apie fibrilių savireplikacijos procesą, šiame darbe buvo tirtas skirtingų pelės prioninio baltymo fibrilių savireplikacijos savybės.

Prioninio baltymo fibrilių formavimas. Monomerinis rMoPrP89-230 buvo inkubuojamas 2 M arba 4 M guanidino hidrochlorido tirpale (GuHCl) 37 °C temperatūroje esant energingam maišymui (220 aps./min (purtyklėje)) arba švelniam (10 aps./min (rotatoriuje)) maišymui.

Agregatai susidarę 2 M GuHCl arba 4 M GuHCl esant energingam maišymui toliau šiame darbe bus vadinami atitinkamai S220\_2M ir S220\_4M, o agregatai susidarę esant švelniam maišymui - R10\_2M ir R10\_4M.

Skirtingų rMoPrP89-230 fibrilių kamienų savireplikacijos savybės. Kadangi visi rMoPrP89-230 agregatų kamienai sugeba savireplikuotis esant aplinkos sąlygoms artimoms toms kuriomis šie kamienai spontaniškai susiformavo (duomenys darbo santraukoje nepateikti) yra įdomu ištirti kaip pokyčiai aplinkos sąlygose (GuHCl koncentracija ir temperatūra) paveiks šių kamienų savireplikacijos savybes.

Visų pirma ištirta GuHCl koncentracijos įtaka rMoPrP89-230 fibrilių kamienų savireplikacijos kinetikai 40 °C temperatūroje nesant maišymo (Pav. 13). S220\_2M fibrilių kamienas sugeba efektyviai savireplikuotis tirpaluose kuriuose yra nuo 1 M iki 3 M GuHCl (Pav. 13A). GuHCl koncentracijai esant <1.0 M arba >3.0 M, S220\_2M savireplikacijos reakcija yra labai lėta, eksperimento laiko intervale ThT signalas praktiškai nekinta. R10\_2M fibrilių kamienas sugeba efektyviai savireplikuotis tirpaluose kuriuose yra nuo 1 M iki 3,5 M GuHCl (Pav. 13C, D). GuHCl koncentracijai esant 4-4,5 M R10\_2M savireplikacijos reakcija yra lėta, o esant 0,5 M GuHCl - ypač lėta (Pav. 13B). R10\_4M ir S220\_4M fibrilių kamienai sugeba efektyviai savireplikuotis tirpaluose kuriuose yra nuo 1,5 M iki 4 M (R10\_4M) arba 4,5 M (S220\_4M) GuHCl (Pav. 13C, D). Bendrai paėmus yra matoma koreliacija tarp fibrilių kamieno konformacinio stabilumo ir GuHCl koncentracijos ruožo kuriame kamienas sugeba efektyviai savireplikuotis. Idomu tai, kad R10\_2M ir R10\_4M fibrilių kamienų konformacinis stabilumas yra panašus, tačiau fibrilių, spontaniškai susidariusių 4 M GuHCl tirpale (R10\_4M), savireplikacijos greitis esant aukštai (4-4,5 M) GuHCl koncentracijai yra didesnis nei fibrilių kurios susiformavo 2 M GuHCl tirpale (R10\_2M). Tai leidžia daryti prielaidą, kad GuHCl koncentracijos ruožas kuriame fibrilės gali efektyviai savireplikuotis priklauso nuo jų konformacinio stabilumo, o savireplikacjos greitis - nuo aplinkos sąlygų, kurios yra palankesnės spontaniniam vieno ar kito fibrilių kamieno susidarymui.

Tam tikrais atvejais buvo stebima gana keista savireplikacijos reakcijos kinetika. Pavyzdžiui, R10\_4M fibrilių kamieno savireplikacijos reakcijos metu 1-2,5 M GuHCl tirpale (Pav. 13C, D) ThT fluorescencijos intensyvumas iš pradžių staigiai didėja, tačiau po kurio laiko ima staigiai kristi ir vėliau vėl augti. Šis fenomenas toliau šiame darbe bus vadinamas "ThT signalo šuoliu". Iš (Pav. 13 D) grafiko matyti, kad ThT signalo šuolio pozicija kinta kartu su didėjančia GuHCl koncentracija. Esant žemai GuHCl koncentracijai šuolis yra gana žemas ir platus, GuHCl koncentracijai kylant šuolis tampa siauresnis kol galiausiai visiškai išnyksta aukštose GuHCl koncentracijose. Dvi-fazė agregacijos reakcijos kinetika leidžia daryti prielaidą jog reakcijos metu galimai susidaro tarpinė agregatų rūšis [89], arba jog vyksta esamų fibrilinių agregatų persitvarkymas. Fibrilių, susidariusių tirpale esant 1-4 M GuHCl, antrinės struktūros analizė atskleidė, kad visų šių agregatų antrinė struktūra yra vienoda (duomenys darbo santraukoje



PAV. 13: S220\_2M (A), R10\_2M (B), S220\_4M (C), ir R10\_4M (D) fibrilių kamienų savireplikacijos reakcijos kinetika esant skirtingai GuHCl koncentracijai.

nepateikti). Tai reiškia, kad R10\_4M fibrilių kamienas sugeba perduoti kamienui-būdingą struktūra net ir nepalankiomis aplinkos sąlygomis. Taigi kyla klausimas: kokia yra ThT signalo šuolio kilmė?

Kadangi buvo akivaizdu, jog kintanti GuHCl daro įtaką rMoPrP89-230 fibrilių kamienų savireplikacijos kinetikai, bei tam tikrais atvejais nulemia ThT signalo šuolio atsiradimą, yra įdomu išsiaiškinti kaip kintanti aplinkos temperatūra paveiks šį procesą. Todėl rMoPrP89-230 fibrilių kamienų savireplikacijos reakcijos kinetika 0,5-4,5 M GuHCl tirpaluose buvo stebėta esant skirtingai aplinkos temperatūrai (40-65 °C) (Duomenys darbo santraukoje nėra pateikti). Bendrai paėmus didinant aplinkos temperatūrą fibrilių savireplikacijos reakcija visais atvejais pagreitėjo. Taip pat kylant aplinkos temperatūrai ThT signalo šuolis tampa mažiau akivaizdus ar net visai išnyksta.

Savireplikacijos reakcijos kinetikos anomalijos. Galima manyti jog neįprastas ThT fluorescencijos pokytis galimai yra susijęs su prietaisu kuris yra naudojamas sekti savireplikacijos reakcijai (šiuo atveju RotorGeneQ realaus laiko analizatorius), tačiau panašūs pokyčiai ThT fluorescencijos signale buvo matomi net ir tuomet kai savireplikacijos reakcija buvo sekama visiškai kitu prietaisu (Cary Eclipse fluorimetras) (Pav. 14). Tuomet galima manyti, jog ThT signalo šuolis galimai yra susijęs su pačiu ThT, tačiau panašus šuolis buvo matomas ir tuomet kai agregacijos savireplikacijos reakcija buvo sekama matuojant šviesos sklaidą (Pav. 14) arba deep-Blue autofluorescenciją [90]. Taigi panašu, kad neiprasta savireplikacijos reakcijos kinetika yra susijusi su tam tikrais molekuliniais procesais kurie vyksta šios reakcijos metu.



PAV. 14: S220\_4M savireplikacijos reakcijos 1.5 M GuHCl tirpale, 50 °C temperatūroje, kinetika sekama skirtingais prietaisais matuojant ThT fluorescencijos intensyvumą arba šviesos sklaidą.

Atlikus mėginių analizę atominės jėgos mikroskopu buvo gauti gana netikėti rezultatai (Pav. 15). Pirmojo ThT signalo pakilimo viršūnėje paimto mėginio AFM nuotraukoje matyti, kad fibrilės yra tankiai sulipusios į didelius guzus (Pav. 15A). Po to sekančio ThT signalo kritimo minimume paimto mėginio AFM nuotraukoje matyti, jog fibrilės yra mažiau tankiai sulipusios, tačiau sulipusių fibrilių guzai yra vis vien matomi (Pav. 15B). Mėginio, paimto kuomet ThT signalas pasiekia plato, AFM nuotraukoje matyti daugiausia pavienės amiloidinės fibrilės (Pav. 15C). Paprastai būtų galima tikėtis jog savireplikacijos eigoje fibrilių susipakavimas turėtų būti priešingas, t. y. reakcijos pradžioje turėtume matyti pavienes fibriles, o pabaigoje fibriles sulipusias į didžiulius gabalus.

Remiantis visai anksčiau aptartais pastebėjimais buvo iškelta hipotezė, kuri gali paaiškinti neįprastą savireplikacijos reakcijos kinetiką.



PAV. 15: Amiloidiniai agregatai egzistuojantys pirmojo ThT signalo pakilimo viršūnėje (A), sekančio ThT signalo kritimo minimume (B) ir kuomet ThT signalas pasiekia plato (C).

Savireplikacijos reakcijos pradžioje, ThT molekulės jungiasi prie fibrilių paviršiaus ir dėl to yra stebimas ThT fluorescencijos intensyvumas padidėjimas (Pav. 16 1.) [91–93]. Baltymo monomerai gali kondensuotis ant egzistuojančių fibrilių paviršiaus (antrinė nukleacija) [94, 95] ir taip dalinai uždengti prie fibrilių prisijungusias ThT molekules. Dėl to sumažėja ThT molekulių sąveika su tirpikliu ir tuo pačiu sumažėja fluorescencijos gesinimo efektai [91-93]. Fibrilėms ilgėjant susidaro daugiau paviršiaus prie kurio gali jungtis ThT molekulės ir ant kurio gali kondensuotis baltymo monomerai, dėl to yra stebimas laipsniškas ThT signalo augimas. Antrinė nukleacija yra daugiapakopis procesas, kurį sudaro keli mikroskopiniai įvykiai kaip monomerų asociacija su agregatais, nukleacija ant agregatų paviršiaus ir susidariusių agregacijos branduolių atsiskyrimas nuo paviršiaus [95]. Esant žemai monomerų koncentracijai, antrinė nukleacija yra neįsotinta (t. y. yra priklausoma nuo monomerų konc.), o tuo metu esant aukštai monomerų koncentracijai - įsotinta [95]. Pokyčiai aplinkos sąlygose gali nulemti ne tik tirpale sąveikaujančių baltymų savybes, bet ir baltymo molekulių adsorbcijos ant fibrilių paviršiaus jėgos ( $F_{AS}$ ) stiprumą [94]. Esant silpnai  $F_{AS}$ , baltymo molekulės padengia tik mažą dalį fibrilių

paviršiaus, ir reakcijos greitis tampa priklausomas nuo monomerų adsorbcijos ir oligomerų susiformavimo ant fibrilių paviršiaus greičio. Esant stipriai F<sub>AS</sub>, didžioji dalis fibrilių paviršiaus yra padengta baltymo monomerais, tačiau šiuo atveju oligomerų atsijungimas nuo fibrilių paviršiaus tampa energetiškai nepalankus. Agregacijos branduolys susiformuos tik tuomet kai oligomerai pasieks tam tikrą dydį kai tarpmolekulinės baltymo molekulių sąveikos jėga, po konformacinio virsmo, taps stipresnė nei baltymo-fibrilių adsorbcijos jėga. Kitaip tariant kuo stipresnė baltymo adsorbcijos prie fibrilių paviršiaus jėga tuo didesni oligomerai turi susidaryti, kad būtų galima įveikti teigiamą adsorbcijos energiją ir oligomerai galėtų atsiskirti nuo fibrilių paviršiaus [94]. Esant stipriai  $F_{AS}$ , taip pat tikėtina, jog monomerai tolygiai pasiskirstys ant fibrilių paviršiaus tam kad padidintų savo kontakto su paviršiumi plotą, bei suformuos kelis sluoksnius [94]. Dabar padarysime prielaidą, kad ThT molekulių uždengtų monomerais, fluorescencijos intensyvumas sudaro didžiąją dalį fiksuojamo fluorescencijos intensyvumo, ir kad mūsų atvejų antrinė nukleaciją yra įsotinta bei  $F_{AS}$  yra stipri. Tuomet tam tikru laiko momentu, agregacijos branduoliai, galintys ilgėti, susiformuoja iš monomerų ant fibrilės paviršiaus (Pav. 16 1.). Kai branduoliai tampa pakankamai dideli jie atsiskiria nuo fibrilių paviršiaus dėl ko palengvėja ThT molekulių, prisijungusių prie fibrilių, sąveika su tirpikliu ir tuo pačiu padidėja fluorescencijos gesinimas (Pav. 16 2.). Kai/ jei branduolių atsijungimo nuo fibrilių greitis ( $k_{off}$ ) yra didesnis nei monomerų prisijungimo prie fibrilių paviršiaus  $(k_{on})$ , yra stebimas ThT fluorescencijos intensyvumo signalo mažėjimas dėl atsiradusio stipraus fluorescencijos gesinimo. ThT fluorescencijos signalo mažėjimas yra laikinas, nes po kurio laiko sistemoje nusistovi pusiausvyra ir  $k_{off}$  ir  $k_{on}$  tampa panašūs (Pav. 163.). Siuo lako momentu, pokytis ThT fluorescencijos intensyvumo signale tampa labiausiai priklausomas nuo agregacijos branduolių ir fibrilių ilgėjimo, kurie sukuria naują paviršių prie kurio gali jungtis laisvos ThT molekulės bei kondensuotis baltymo monomerai. Taigi vėlesnėse savireplikacijos reakcijos stadijose, laipsniškas ThT fluorescencijos intensyvumo signalo didėjimas daugiausia atsiranda dėl didėjančio paviršiaus prie kurio gali jungti ThT.



PAV. 16: Mikroskopiniai įvykiai kurie gali sukelti anomalijas savireplikacijos reakcijos kinetikoje.

Mėginiuose, paimtuose prieš drastišką ThT signalo kritimą, buvo matyti, kad fibrilės yra sulipusios į didelius guzus (Pav. 15A). Galima manyti, jog tokie fibrilių guzai susiformuoja dėl stiprios  $F_{AS}$ , dėl kurios oligomerų/agregacijos branduolių atsijungimas nuo fibrilių yra energetiškai apsunkintas. Kadangi agregacijos branduoliai negali atsijungti nuo fibrilių paviršiaus, jie ilgėja ant paviršiaus ir atsijungia nuo paviršiaus tik tuomet kai pasiekia kritinį dydį. Santykinė fibrilių guzų gausa yra didesnė prieš pat ThT signalo mažėjimą nei laiko momentu kai ThT signalas nustoja mažėti (Pav. 15B) ar pasiekia plato (Pav. 15C). Tai galima paaiškinti tuo jog laisvų baltymo monomerų koncentracija pastaraisiais laiko momentais yra mažesnė nei pradiniu laiko momentu. Dėl to antrinės nukleacijos metu susiformuoja mažiau branduolių kurie ilgėja ant egzistuojančių fibrilių paviršiaus, ir dėl to fibrilių guzai yra mažiau akivaizdūs.

Savireplikacijos reakcijos pradžioje, šviesos sklaidos signalas didėja (Pav. 14). Iš to galime spręsti, jog vidutinis dalelių dydis tirpale didėja. Pavyzdžiui dėl to, kad monomerai prisijungia ant egzistuojančių fibrilių paviršiaus, ant fibrilių paviršiaus susidaro agregacijos branduoliai, arba fibrilės ilgėja. Tam tikru laiko momentu, šviesos sklaidos signalas ima staigiai mažėti. Tai leidžia daryti išvadą, jog vidutinis dalelių dydis tirpale turėjo staigiai sumažėti. Pailgėjusių agregacijos branduolių atsiskyrimas nuo fibrilių paviršiaus (ir tuo pačiu fibrilių guzų iširimas) galėtų tai paaiškinti.

Bendrai paėmus yra akivaizdu, kad neįprastas ThT fluorescencijos signalo pokytis nėra eksperimentinė klaida, o fenomenas susijęs su antrinės nukleacijos proceso anomalijomis. Šis fenomenas nusipelno didesnio mokslininkų dėmesio, nes mūsų žiniomis iki šiol toks fenomenas mokslinėje literatūroje nebuvo aprašytas.

### Amiloido beta 42 agregatų heterogeniškumo ir santykinio paplitimo skirtingu agregacijos reakcijos laiko momentu tyrimas

Atominės jėgos mikroskopija (AFM) yra vienas iš galingiausių ir universaliausių vienos-molekulės analizės metodų skirtų biomolekulių vaizdinimui ir charakterizavimui [7, 96-98]. AFM yra dažnai naudojama tirti amiloidinių baltymų agregacijos procesą [7, 36, 96, 99-102]. Norit atlikti mėginių vaizdinimą atominės jėgos mikroskopu, mėginiai turi būti užnešti ant kieto substrato, pavyzdžiui atomiškai lygaus žėručio paviršiaus. Tipinė mėginių paruošimo AFM vaizdinimui procedūra susideda iš trijų žingsnių: mėginio užnešimo ant atomiškai lygaus paviršiaus; mėginio nuplovimo distiliuotu vandeniu ar buferiniu tirpalu; ir mėginio džiovinimo po švelniu oro srautu. Mėginio inkubacijos ant atomiškai lygaus paviršiaus laikas yra ypač svarbus. Biomolekulių, prisijungusių prie substrato, koncentracija yra proporcinga inkubacijos laikui, tačiau, per ilgas inkubacijos laikas gali paskatinti šių molekulių saviorganizaciją ant substrato paviršiaus į dirbtines struktūras [103-105]. Dėl diferencinės adsorbcijos, dalis molekulių, esančių mėginio tirpale, gali būti pašalintos nuo substrato paviršiaus, nuplovimo žingsnio metu, ir dėl to bus galima susidaryti tik dalinį vaizdą apie mėginyje esančias biomolekules. Dėl visų šių priežasčių kontroliuoti biomolekulių, užneštų ant substrato, kiekį yra didžiulis iššūkis. Visi šie apribojimai gali būti apeiti mėginio užnešimui panaudojant mikroskysčių išpurškimo platformą [103]. Mikroskysčių išpurškimo įrenginys gali išpurkšti subpikolitro mėginio lašelius ant substrato paviršiaus. Nusileidę ant substrato lašeliai išdžiūva per porą milisekundžių. Šis laiko tarpas yra trumpesnis nei teoretiškai ir eksperimentiškai nustatytas monomerinių baltymų ar agregatų difuzijos greitis skysčio-kieto kūno fazių riboje [103, 104, 106–108]. Dėl to baltymo molekulės negali laisvai judėti ir saviorganizuotis ant substrato paviršiaus. Tai reiškia, kad mikroskysčių išpurškimo platformos panaudojimas mėginių užnešimui ant substrato paviršiaus, sukuria unikalią galimybę atlikti kokybinę ir kiekybinę amiloidų agregacijos proceso analizę atominės jėgos mikroskopu. Šiame darbe ši galimybė buvo panaudota siekiant ištirti amiloido beta 42 (A $\beta$ 42) agregacijos procesą.

Amiloidinė agregacija: nuo monomerinio A $\beta$ 42 iki amiloidinių fibrilių. A $\beta$ 42 agregacijos reakcija buvo stebima matuojant ThT fluorescencijos intensyvumą (Pav. 17A). Mėginiai analizei atominės jėgos mikroskopu buvo paimti pačios agregacijos reakcijos pradžioje ( $t_0$ ), *lag* fazės viduryje ( $t_1$ ) ir pabaigoje ( $t_2$ ), viduryje augimo fazės ( $t_3$ ), ir plato fazėje ( $t_4$ ).

Mėginių analizė atominės jėgos mikroskopu patvirtino, jog galime atvaizduoti visą ant paviršiaus išdžiuvusio lašelio turinį (Pav. 17B-F). Iš AFM nuotraukų matyti, kad lašeliuose esančios biomolekulės yra daugiausia pasiskleidusios po visą išdžiuvusio lašelio plotą, o už lašelio periferijos jų praktiškai nėra. Tai reiškia, jog turime uždarą sistemą, ir galime nustatyti visas joje esančias biomolekules.



PAV. 17: A $\beta$ 42 agregacijos kinetika (A) ir mėginių, paimtų skirtingu agregacijos laiko momentu ( $t_0 - t_4$ , B-F atitinkamai), vizualizavimas AFM.

Ankstyvose A $\beta$ 42 agregacijos stadijose ( $t_0 - t_1$ ), pagrinde yra matomos mažos 0.5-3 nm aukščio sferinės dalelės, galimai baltymo monomerai ar maži oligomerai (Pav. 18). *lag* fazės ( $t_2$ ) gale yra matomi 3-4 nm aukščio sferinės formos oligomerai bei pailgos, vingiuotos protofibrilės. Viduryje augimo fazės ( $t_3$ ), dauguma oligomerinių agregatų išnyksta ir yra pakeičiami trumpomis 3-4 nm aukščio protofibrilėmis ir 5-7 nm fibrilėmis. Plato fazėje yra pagrinde matomos ilgos 5-7 nm fibrilės ( $t_4$ ). Rezultatai gerai sutampa su anksčiau literatūroje aprašytais pastebėjimais [101, 109, 110].



PAV. 18: Mėginių, paimtų skirtingu Aβ42 agregacijos reakcijos laiko momentu, morfologija.

Statistinis agregatų morfologijos savybių (aukščio, pločio ir ilgio (diametro sferinių dalelių atveju)) palyginimas atskleidė laipsnišką dominuojančios biomolekulių rūšies pokytį Aβ42 agregacijos proceso metu (Pav. 19). Pradinėse agregacijos proceso stadijose ( $t_0$ ) didžiosios dalies biomolekulių aukštis yra  $\approx$ 0.5-1 nm (Pav. 19A, F), o diametras  $\approx$ 4 nm (Pav. 19 G). Šios dimensijos sutampa su A $\beta$ 42 monomerų [101]. Sąlyginiai mažas duomenų išsibarstymas leidžia daryti daryti išvadą jog agregacijos reakcijos pradžioje egzistuojančių biomolekulių pagrindą sudaro A $\beta$ 42 monomerai. *lag* fazės viduryje, yra matomas platesnis biomoleklių aukščio (~0.5-3 nm) (Pav. 19B, F) pasiskirsty-Vidutinis biomolekulių diametras taip pat didesnis ( $\approx 6$  nm) mas. (Pav. 19G). Tai leidžia daryti prielaidą, jog šiuo agregacijos reakcijos laiko momentu susidaro ankstyvieji oligomerai (dimerai, trimerai), ir kad Aβ42 monomerai ir oligomerai sudaro didžiąją dalį biomolekulių populiacijos. Vėlyvojoje lag fazėje / ankstyvojoje augimo fazėje  $(t_2)$ , vidutinis biomolekulių aukštis ( $\approx$ 4 nm) (Pav. 19C, F) ir plotis ( $\approx$ 12 nm) (Pav. 19G) yra reikšmingai didesnis nei A $\beta$ 42 monomerų ar ankstivųjų oligomerų, kas leidžia daryti prielaidą, kad 3-4 nm aukščio vėlyvieji oligomerai ir protofibrilės sudaro didžiąją dalį biomolekulių populiacijos šiuo laiko momentu. Kadangi vidutinis biomolekulių populiacijos ilgis (Pav. 19H) šiuo laiko momentu yra santykinai mažas, galime daryti prielaidą jog didesnę biomolekulių populiacijos dalį sudaro vėlyvieji oligomerai, o protofibrilės - mažesnę. Iš biomolekulių aukščio pasiskirstymo histogramos matyti, jog augimo fazės viduryje ( $t_3$ ) ir plato fazėje ( $t_4$ ) egzistuoja dvi biomolekulių populiacijos (Pav. 19D, E). Vidutinis pirmosios populiacijos aukštis yra  $\approx$ 4 nm, kas atitinka A $\beta$ 42 protofibrilių aukštį [101, 109], o antrosios -  $\approx$ 6 nm, kas atitinka amiloidinių fibrilių aukštį [101, 109]. Vienintelis aiškus skirtumas tarp biomolekulių populiacijų viduryje augimo fazės ir plato fazėje yra jų ilgis, kuris yra ženkliai didesnis vėlesnėje agregacijos fazėje (Pav. 19H).

Iš aukščio pasiskirstymo histogramos ir aukščio, pločio ir ilgio pasiskirstymo yra akivaizdu, kad homogeniška biomolekulių populiacija egzistuoja tik pačioje agregacijos reakcijos pradžioje, o visuose vėlesniuose fazėse, tuo pačiu metu egzistuoja bent kelios skirtingos biomolekulių populiacijos. Apibendrinant, yra akivaizdu, kad aukštos rezoliucijos atominės jėgos mikroskopija kartu su mikroskysčių išpurškimo platforma leidžia atvaizduoti visas biomolekules egzistuojančias A $\beta$ 42 agregacijos reakcijos metu, atlikti statistine šių biomolekulių morfologijos analizę bei nustatyti A $\beta$ 42 monomerų, oligomerų, protofibrilinių ir fibrilinių agregatų santykinį pasiskirstymą skirtingu agregacijos reakcijos laiko momentu.



PAV. 19: Mėginių, paimtų skirtingu A $\beta$ 42 agregacijos reakcijos laiko momentu, aukščio pasiskirstymo histogramos (A-F,  $t_0 - t_4$ ) ir aukščio (F), pločio (G) ir ilgio (H) pasiskirstymas.

# Išvados

Šiame darbe buvo tirtas amiloidinių baltymų (insulino, pelės rekombinantinio prioninio baltymo fragmento bei rekombinantinio amiloido beta 42) agregacijos procesas. Darbo metu buvo gauti reikšmingi rezultatai kurie leido praplėsti esamą supratimą apie amiloidinių fibrilių, jų savireplikacijos bei konformacinio kintamumo procesus. Remiantis gautais rezultatais buvo padarytos sekančios išvados:

- Insulino monomerų-oligomerų pusiausvyra yra galimai vienas iš pagrindinių faktorių nulemiančių skirtingų insulino fibrilių kamienų susidarymą.
- Neamiloidogeninės oligomerinės insulino formos tiesiogiai dalyvauja insulino agregacijos procese ir jį slopina.
- Aplinkos sąlygos yra vienas iš pagrindinių faktorių nulemiančių EGCG prieš-amiloidinį poveikį.
- Amiloidinių fibrilių kamienui-specifiškos struktūros perdavimas ir dauginimas (savireplikacija) vyksta tik fibrilių ilgėjimo metu..
- Neįprastas ThT signalo pokytis fibrilių savireplikacijos reakcijos metu yra susijęs su mikroskopiniais įvykiais vykstančiais antrinės nukleacijos proceso metu.
- Atominės jėgos mikroskopija kartu su mikroskysčių išpurškimo platforma suteikia unikalią galimybę vizualizuoti visas agreguojančių baltymų formas (monomerai, oligomerai, protofibrilės ir fibrilės) egzistuojančias amiloidinės agregacijos metu, bei gauti kiekybinę ir kokybinę informaciją apie šias formas vienos molekulės lygmenyje.

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Stipendija už akademinius pasiekimus

2019 • Lietuvos mokslo taryba

Stipendija dalyvauti tarptautinėje konferencijoje "8<sup>th</sup> Scandinavian Conference of Amyloid Diseases and Amyloid Mechanisms (ADAM8)"

2019 • Lietuvos mokslo taryba

Geriausias stendinis pranešimas "Vita Scientia 2018" konferencijoje

2018 • Vita Scientia

Stipendija dalyvauti tarptautinėje konferencijoje "62<sup>nd</sup> Annual Meeting of Biophysical Society"

2018 • COST action BM1405

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2018 • Lietuvos mokslo taryba

Stipendija dalyvauti tarptautinėje konferencijoje "Prion 2018"

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2017 • Lietuvos mokslo taryba

Stipendija dalyvauti tarptautinėje mokykloje "2<sup>nd</sup> NGP-net Winter School on Experimental Methods to Characterize Non-Globular Proteins"

2017 • COST veikla BM1405

Stipendija dalyvauti tarptautinėje mokykloje "13<sup>th</sup> Greta Pifat Mrzljak International School of Biophysics"

2016 • EBSA

Apdovanojimas už darbų ciklą "Amiloidinių baltymų agregacijos tyrimai"

2016 • Lietuvos mokslų akademija

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# RESEARCH ARTICLE

# pH-Driven Polymorphism of Insulin Amyloid-Like Fibrils

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# Abstract

Prions are infective proteins, which can self-assemble into different strain conformations, leading to different disease phenotypes. An increasing number of studies suggest that prion-like self-propagation may be a common feature of amyloid-like structures. Thus it is important to unravel every possible factor leading to the formation of different amyloid strains. Here we report on the formation of two types of insulin amyloid-like fibrils with distinct infrared spectroscopic features grown under slightly different pH conditions. Similar to prion strains, both insulin fibril types are able to self-propagate their conformational template under conditions, favoring spontaneous formation of different type fibrils. The low-pH-induced insulin amyloid strain is structurally very similar to previously reported strains formed either in the presence of 20% ethanol, or by modification of the amino acid sequence of insulin. A deeper analysis of literature data in the context of our current findings suggests a shift of the monomer-dimer equilibrium of insulin as a possible factor controlling the formation of different strains.

# Introduction

Amyloid-like structures are associated with a number of pathological conditions including neurodegenerative diseases, such as Alzheimer's and Parkinson's, and infectious prion diseases, also a number of nonneuropathic systemic amyloidoses, and even type II diabetes [1]. In some cases amyloid-like folds can play a positive role as well: they have a structural function in spider silk and biofilm formation in bacteria, and a regulatory function in fungi or hormone storage in humans [2]. Experiments *in vitro* revealed even more amyloid-forming proteins and peptides, including proteins with no link to *in vivo* amyloids, such as polyaminoacids (e.g., polylysine, polythreonine and polyglutamic acid) [3], and short oligopeptides [ $\underline{4}$ –6]. Finally, even an amyloid-like self-assembly of phenylalanine was recently reported [2]. All these findings support the idea that amyloid-like folds may be a generic property of all polypeptides, while the propensity of fibril formation would depend on the sequence of the polypeptide and on the environmental conditions (i.e., temperature, pressure, solution milieu, interaction with lipid interfaces, pH) [1].

Prions stand out among other amyloid-forming proteins as the only proteinaceous infectious pathogens [8]. Identical amino acid sequences of prion protein can adopt distinct pathogenic conformations, referred to as prion strains [9,10]. Different strains lead to distinct incubation periods and patterns of neuropathology in prion diseases [10]. Similar conformational variations were detected in other amyloid-forming proteins both *in vitro* [11–22] and *in vivo* [23–25]. With growing evidence of the involvement of prion-like mechanisms in the progression of other amyloid-related diseases [22–33], it is indispensable to understand all the factors determining formation of different amyloid strains.

The new variant Creutzfeldt-Jakob disease (vCJD) is thought to be caused by a bovine spongiform encephalopathy (BSE) strain [34]. In this case, the determining factor for the formation of distinct prion strains is cross-species infection. Similar to prions, formation of distinct amyloid strains for two slightly different insulin forms was recently reported [19,35]. When protein sequences are identical, the environment plays the key role in straining of anyloid-like fibrils. The presence of co-solvents [11,14,15,20], different temperatures [36–38], different concentrations of denaturants [38,39] and salts [21], or different ways of agitation [12,40] may lead to distinct amyloid fibril strains. Here we report on the formation of distinct insulin amyloid strains at slightly different pH values.

As diagnostic tool, Fourier-transform infrared (FTIR) spectroscopy has been used, which has proven to be an important method for the characterization of secondary structural changes of prion and amyloid strains [<u>11,19,41</u>], supplemented by atomic force microscopy (AFM) measurements of the topology of amyloid fibrils and thioflavin T (ThT) fluorescence for recording the fibrillation kinetics.

## **Results and Discussion**

In our recent work on potential inhibitors of insulin amyloid-like fibrillation, we followed the aggregation of insulin at pH 2 in the presence of 5% residual dimethylsulfoxide (DMSO) [42]. To test if the presence of a small amount of DMSO affects the fibrillation process, we compared the FTIR spectra of insulin amyloid-like fibrils spontaneously formed in D2O in the presence (Fig 1A) and absence (Fig 1B) of 5% DMSO. To reveal possible changes upon using D<sub>2</sub>O instead of H<sub>2</sub>O, as required for the better quality FTIR measurements, and for looking into subtle pH changes on the fibrillation propensity of insulin, fibrils were prepared in heavy water samples at two pH\* values (where pH\* is the pH-meter readout uncorrected for isotopic effects, see Methods section), pH\*1.6 to mimic similar concentrations of H<sup>+</sup> and D<sup>+</sup>, and pH\*2 to reach the same ionization state of the protein in the two solvents. The FTIR spectra look similar in the presence and absence of DMSO, but a rather small difference in pH\* leads to significant differences in amide I' band contours (Fig 1A and 1B). Spectra of fibrils prepared at pH\*2 exhibit maxima in the amide I' region at ~1628 cm<sup>-1</sup> (with the main minimum of the second derivative at 1628 cm<sup>-1</sup> and a weaker one at 1615 cm<sup>-1</sup>), while spectra of fibrils grown at pH\*1.6 exhibit maxima in the amide I' region at ~1621-22 cm<sup>-1</sup> (with the main minimum of the second derivative at 1619 cm<sup>-1</sup> and a weaker one at 1631 cm<sup>-1</sup>), pointing toward predominantly beta-sheet structures but with a significantly different hydrogen-bonding patterns. A small band outside of the amide I' region at ~1728 cm<sup>-1</sup> is present only in the spectra of fibrils grown at pH\*1.6 and can be attributed to deuterated carboxyl groups [19]. Very similar spectral characteristics were recently described as a hallmark of two different insulin amyloid strains [19,35].

Fibrils grown at pH\*1.6 in the presence of 5% DMSO are usually 2–4 nm in diameter and exhibit both a curved and straight morphology (Fig 1C), while fibrils grown at pH\*2 both in the presence (Fig 1D) and absence (Fig 1E) of DMSO are thicker (4–16 nm) and usually

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#### pH-Driven Polymorphism of Insulin Amyloid-Like Fibrils



Fig 1. Polymorphism of insulin amyloid-like fibrils formed at different pH\* values. FTIR absorption spectra (second derivative spectra in the inset) of fibrils grown in the presence (A), and absence (B) of 5% DMSO (spectra were repeated using different FTIR instruments in different labs, see <u>51 Fig</u>). AFM images of fibrils prepared in the presence of DMSO at pH\*1.6 (C), and pH\*2 (D) or in absence of DMSO at pH\*1.6 (E), and pH\*2 (F). Fibril height measurements are shown in <u>52 Fig</u>.

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straight. The structure of the fibrils at pH\*1.6 in the absence of DMSO ( $\underline{Fig} \underline{1E}$ ) looks similar to the case at pH\*2, suggesting no clear morphological differences between strains.

Both types of fibril seeds induce aggregation of insulin at either pH\* and 37°C (Fig.2). Seeds grown at pH\*1.6 fibrillate insulin at similar rates under both pH\* conditions and faster than seeds grown at pH\*2. The latter seeds elongate faster at solution conditions of the same pH\*. As clearly visible, the fluorescence intensity of Thioflavin T (ThT), which marks formation of fibrillar amyloid states, is seed-dependent: pH\*1.6-seed-induced aggregates result in an about double ThT intensity when compared to pH\*2-seed-induced aggregates (Fig.2A). The light absorbance data at 600 nm—as measure of formation of larger insulin aggregates due to light scattering—show the reverse effect (Fig.2B). The pH\*2-type fibrils induce aggregates which strongly absorb visible light (600 nm), the absorbance being ~25% lower in the case of seeding in the pH\*1.6 environment. pH\*1.6-type fibrils induce weakly absorbing aggregates (about 5 times lower than pH\*2-type fibrils); however, the absorbance is strongly increased in the pH\*2 solution.

The FTIR spectra of the seeded fibrils clearly demonstrate the superiority of the seed template versus the pH\*-environment in controlling the fibrillar structure (Fig.3). The spectra of pH\*2-seed-induced aggregates grown at pH\*2 and pH\*1.6 look identical. In case of the pH\*1.6-seeded aggregates, the spectral signature is similar for both solution conditions; however, in pH\*2, the intensity of the band at 1631 cm<sup>-1</sup> is increased. These data confirm the ability

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Fig 2. Kinetics of seed-induced aggregation of insulin; followed by ThT fluorescence intensity (A) as maker of fibril formation, and light absorbance at 600 nm (B). Measurements were repeated using 3 batch preparations showing similar results.

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of both types of insulin fibrils to self-propagate their conformational template in spite of unfavorable environmental factors (here different pH conditions), suggesting the existence of two different insulin amyloid strains.

Surprisingly, the FITR spectra of insulin amyloid-like fibrils spontaneously formed in H<sub>2</sub>O at pH 1.6 and pH 2 look almost identical (Fig 4). Both spectra exhibit maxima in the amide I/I' region at ~1628 cm<sup>-1</sup> (with the main minimum of the second derivative at 1628 cm<sup>-1</sup> and a weaker one at 1641 cm<sup>-1</sup>), and a small band outside of the amide I/I' region at ~1730 cm<sup>-1</sup>. A different spectrum was obtained using fibrils spontaneously formed in H<sub>2</sub>O at a slightly higher pH, at pH 2.4: it also exhibits a maximum in the amide I/I' region at ~1628 cm<sup>-1</sup>, but the second derivative profile is different-two similar sized bands, at 1625 cm<sup>-1</sup> and 1636 cm<sup>-1</sup>, respectively. As the amyloid-like fibrils are highly protected from hydrogen/deuterium exchange, most of the amide hydrogens stay unchanged despite resuspension of the aggregates in D<sub>2</sub>O. It reflects in the blue-shift of the spectra compared to insulin fibrils, prepared in D<sub>2</sub>O.

Different types of insulin fibrils were first mentioned more than 60 years ago [43], however no structural or cross-seeding data were presented. In more recent studies, formation of different strains were reported in the presence and absence of 20% ethanol (at pH\*1.5–1.8) [11.14.15], and using slightly different insulin forms (bovine insulin (BI) and recombinant Lys<sup>B31</sup>-Arg<sup>B32</sup> human insulin analog (KR)) at pH\*1.9 [19.35]. Spectral characteristics of the latter strains are very similar to our data. The spectrum of the fibrils formed at pH\*2 is similar to the spectrum of the BI strain, and the spectrum of the fibrils formed at pH\*1.6 reminds us of the one of the KR strain. So the effect of two additional positively charged amino acids on the fibrilar structure is similar to the effect of  $\Delta$ pH by -0.4 units. The change in net charge of the protein due to such  $\Delta$ pH is minor, and taking into account that in normal water at pH 1.6 and pH 2 insulin aggregates into the same strain, we may conclude that ionization state of the protein is not the factor inducing formation of different strains. So what is the factor?

A possible answer to that question can be found by analyzing recent studies, which, at first sight, seem to contradict our findings [44-46]. In these works, no differences in the FTIR spectra of insulin fibrils formed at different pH values in the range between 1.3 and 3.1 are reported, however, a marked change of the vibrational circular dichroism (VCD) spectra are seen

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between pH 2.1 and 2.4, which is explained by a different supramolecular chirality [44,45]. Furthermore, it was shown that the chirality can be converted by incubation of preformed fibrils at different pH, thus excluding the possibility of different strains [46]. The reported FTIR spectra lack a detailed description, however, the shape of the amide I band looks very similar to the amide I' band of the pH\*2 fibrils [44–46]. A closer inspection reveals one major experimental difference, which can affect the mechanism of insulin fibrillation. The concentration of insulin used in the aforementioned studies was 60 mg/mL (compared to 1 mM (~5.8 mg/mL) in our study), which means a strong shift towards a higher oligomerize [47–49]. Hence, the factor which determines the formation of different strains could be due to a shift in the monomeroligomer equilibrium.

The spectral features of the insulin amyloid strain formed in the presence of 20% ethanol [<u>11,14,15</u>] are similar to those of the pH\*1.6 and KR strain [<u>19,35</u>]. In all three cases the second derivative FTIR spectra in the amide I' region exhibit strong minima at 1619–1620 cm<sup>-1</sup>, and a weaker one at 1630–31 cm<sup>-1</sup>, plus a small band outside of amide I' region at 1728–30 cm<sup>-1</sup>. Hence, it should be concluded that the same amyloid strain is present in all three cases. It is known that the presence of 20% ethanol strongly increases the dissociation of insulin dimers

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Fig 4. Infrared spectra of insulin amyloid-like fibrils formed in normal water (H2O). Absorption and second derivative (inset) FTIR spectra.

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[50], leading to predominantly monomeric insulin at moderate concentrations [14,51]. The Cterminal part of the B-chain of insulin is involved in the formation of intramolecular antiparallel  $\beta$ -sheet that binds together native insulin dimers [19]. Thus there is a high probability that two additional charged amino acids would lead to dissociation of dimers in case of KR insulin. There is no data on the monomer-dimer equilibrium of insulin at pH\*1.6, but the fact that different strains can be formed not only with increasing pH\*, but also with increased concentration of insulin, suggests a shift of the equilibrium to the monomeric state. We may hence hypothesize that the major factor which determines formation of different strains is a shift of the equilibrium between insulin monomers and dimers (oligomers) (Fig 5). If the equilibrium



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is shifted towards dimers (or higher oligomers), insulin aggregation would result in the pH\*2-like strain, and in case that the equilibrium is shifted towards monomers, growth of the pH\*1.6-like strain is fostered.

To further test the hypothesis we carried out several additional experiments. First we checked if an increased insulin concentration would explain the differences observed between the pH\*1.6 and pH\*2 data. As seen in Fig.6A, and Table 1, the spectrum of 10 mM insulin aggregates, prepared in the pH\*1.6 environment, is slightly different from the other spectra. The blue shift of the amide I' maximum, when compared to the spectra of the pH\*1.6 strain, and the absence of the band around 1728 cm<sup>-1</sup> suggests that the increased protein

# Table 1. Summary of FTIR band positions of insulin amyloid-like fibrils.

Insulin agregation conditions	Amide <b>I/I</b> ' band cr	(2 <sup>nd</sup> derivative), n <sup>-1</sup>	Additional bands, cm <sup>-1</sup>	
	Beta-sheets	Turns/loops	Carboxyl groups <sup>b</sup>	
1 mM insulin, pH*1.6	1619/1631 <sup>a</sup>	1665	1728	
1 mM insulin, pH*2	1628/1615	1665	absent	
1 mM insulin, pH*1.6, 5% DMSO	1619/1631	1664	1728	
1 mM insulin, pH*2, 5% DMSO	1628/1615	1665	absent	
10 mM insulin, pH*1.6	1623	1662	absent	
1 mM insulin, pH*2, 20% ethanol	1620/1631	1663	1728	
1 mM insulin, pH*2, 20% DMSO	1619/1629	1661	1731	
1 mM insulin, pH 1.6	1628/1641	1672/1661	1729	
1 mM insulin, pH 2	1628/1641	1672/1661	1729	
1 mM insulin, pH 2.4	1625/1636	1673/1661	absent	

<sup>a</sup>All FTIR measurements were repeated at least three times showing similar results. <sup>b</sup>Band assigned to carboxyl groups according to Surmacz-Chwedoruk et al [19]

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Fig 7. Size distribution of insulin in solution. DLS measurements were repeated using 3 batch preparations with similar results.

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concentration leads to formation of different strain. Nevertheless, the spectrum is also different from the pH\*2 strain, so this data does not add much to strengthen our hypothesis. It is worth to mention that at high insulin concentration insulin aggregates form gel-like substance (which is not the case at lower insulin concentrations). It may point to the different aggregation mechanism thus explaining difference in FTIR spectra.

We also repeated previously described data on insulin aggregation in the presence of ethanol and examined the effect of higher DMSO concentrations. As seen in <u>Fig 6B</u>, the presence of 20% of both organic cosolvents during insulin aggregation in the pH<sup>+</sup>2 environment leads to formation of aggregates exhibiting pH<sup>+</sup>1.6-like IR spectra. This confirms that ethanol and, to a lower extent DMSO shifts the equilibrium towards formation of pH<sup>+</sup>1.6-like insulin amyloid strains.

Finally, we used dynamic light scattering (DLS) to determine the size distribution of insulin under the various solution conditions. The data reveal that average size of insulin, dissolved in pH\*1.6 is lower than in pH\*2 (Fig 7). The measured diameter of insulin in pH\*1.6 is 3.4 $\pm$ 0.7 nm, which is bigger than monomer, but smaller than dimer, while in pH\*2, the diameter is 4.0 $\pm$ 0.6, which is a little bigger than insulin dimer. Owing to the polydispersity of the sample, the method does not allow the exact estimation of the monomer and oligomer content, however the shift of the equilibrium towards dimeric/oligomeric species at higher pH\* is unarguable, hence supporting our hypothesis.

Taken together, our data indicates different factors inducing polymorphism of insulin amyloid-like fibrils. However it seems that all the presented cases can be reduced to the formation

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of two amyloid strains and possibly explained by the differences in the equilibrium between insulin monomers and dimers (oligomers).

## **Materials and Methods**

## Preparation of insulin fibrils

Recombinant human insulin was purchased from Sigma Aldrich (91077C). Insulin amyloidlike fibrils were prepared as described previously [52]. Briefly, fresh 1 mM insulin solution (in 100 mM phosphate buffer (PB), at different pH (in H<sub>2</sub>O) and pH\* (in D<sub>2</sub>O) values was incubated at 60°C for 24 hours with 300 rpm agitation (using a MHR 23 thermomixer, Ditabis, Germany). The secondary structures and morphological signatures of the aggregates obtained were tested using FTIR spectroscopy and AFM.

Seeds were prepared as described previously [52]. Briefly, 1 mL of fibrils were sonicated for 10 minutes using a Bandelin Sonopuls 3100 ultrasonic homogenizer equipped with a MS73 tip (using 50% of the power, cycles of 30 s/30 s sonication/rest, total energy applied to the sample per cycle, 0.56 k]). The sample was kept on ice during the sonication procedure. Right after the treatment, one part of the fibrils was mixed with 9 parts of the fresh 1 mM insulin solution in the appropriate buffer and incubated at 37°C for 24 hours without agitation. The secondary structures of the aggregates obtained were tested using FTIR spectroscopy.

# **Elongation kinetics**

To follow the seeding kinetics, samples were prepared as described above, with addition of 50  $\mu$ M ThT. Right after the mixing the fresh insulin with seeds, samples were divided into 200  $\mu$ L aliquots, in 96-well plates. The plates were sealed using clear polyolefin sealing tape. The aggregation kinetics was followed at constant 37°C temperature using a Biotek Synergy H4 plate reader without agitation. ThT fluorescence intensity upon fibril formation was observed using 440 nm excitation and 482 nm emission with simultaneous measurement of absorbance at 600 nm.

# Infrared spectroscopy

To avoid overlapping of protein amide I and water bands, D<sub>2</sub>O is used as solvent in FTIR measurements. At equal concentrations of D<sup>+</sup> and H<sup>+</sup>, respectively, the pH-meter reading with a glass electrode is 0.4 pH units lower in D<sub>2</sub>O than in H<sub>2</sub>O [53]. However, isotopes affect the pK<sub>a</sub> of protein ionizable groups, and for solutions of globular proteins the  $\Delta p K_a$  was found to be 0.4 pH units in the acidic range, thus the isotope effect on the glass electrode and the ionization constant cancel each other, so that an identical pH-meter reading (in the acidic range) refers to an identical ionization state of the biopolymer in D2O and H2O solutions [54]. To prepare samples for the FTIR measurements, insulin fibrils prepared in H<sub>2</sub>O were separated from water by centrifugation (30 min., 15000 g), and resuspended in D<sub>2</sub>O, the procedure was repeated three times. All samples were sonicated for 1 minute using a Bandelin Sonopuls 3100 ultrasonic homogenizer equipped with a MS73 tip. The FTIR spectra were recorded using a Nicolet 5700 spectrometer from Thermo Scientific equipped with a liquid-nitrogen-cooled mercury-cadmium-telluride (MCT) detector, and using Bruker Alpha spectrometer equipped with deuterium triglycine sulfate (DTGS) detector. For all measurements, CaF<sub>2</sub> transmission windows and 0.05 mm Mylar spacers or 0.05 and 0.1 mm Teflon spacers (with Bruker instrument) were used. Spectra were recorded at room temperature. For each spectrum, 256 interferograms of 2 cm<sup>-1</sup> resolution were co-added. A corresponding buffer spectrum was subtracted from each sample spectrum. All the spectra were baseline-corrected and normalized to the

same area of amide I/I' band (1700–1580 cm<sup>-1</sup>) before further data processing. All data processing was performed using GRAMS software.

#### Dynamic light scattering

For DLS experiments, freshly prepared insulin solutions at different buffers were filtered using 0.22  $\mu m$  syringe filter. The size measurements were performed using Zetasizer  $\mu V$  (Malvern instruments) with low-volume quartz batch cuvette at 60°C.

## Atomic force microscopy

For AFM experiments, 1 mM insulin was diluted 100 times with deionized water, 30  $\mu L$  of the sample were deposited on freshly cleaved mica and left to adsorb for 1 min, the sample was rinsed with 1 mL of water and dried gently using airflow. AFM images were recorded in the Tapping-in-Air mode at a drive frequency of approximately 300 kHz, using a MultiModee SPM microscope equipped with a NanoScope IIIa controller. PointProbe NCHR aluminium-coated silicon tips from Nanosensors were used as a probe.

# **Supporting Information**

**S1 Fig. Example of the repeatability of FTIR spectra.** Red and blue spectra were collected using Thermo Nicolet instrument in TU Dortmund University, black and green–using Bruker Alpha instrument in Vilnius University. (PDF)

S2 Fig. Fibril height measurements.

(PDF)

## **Author Contributions**

Conceived and designed the experiments: VS. Performed the experiments: TS DD AB MG VS. Analyzed the data: TS DD VS. Contributed reagents/materials/analysis tools: RW VS. Wrote the paper: RW VS.

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# Polymorphism of amyloid-like fibrils can be defined by the concentration of seeds

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# ABSTRACT

Prions are infectious proteins where the same protein may express distinct strains. The strains are enciphered by different misfolded conformations. Strain-like phenomena have also been reported in a number of other amyloid-forming proteins. One of the features of amyloid strains is the ability to self-propagate, maintaining a constant set of physical properties despite being propagated under conditions different from those that allowed initial formation of the strain. Here we report a cross-seeding experiment using strains formed under different conditions. Using high concentrations of seeds results in rapid elongation and new fibrils preserve the properties of the seeding fibrils. At low seed concentrations, secondary nucleation plays the major role and new fibrils gain properties predicted by the environment rather than the structure of the seeds. Our findings could explain conformational switching between amyloid strains observed in a wide variety of *in vivo* and *in vitro* experiments.

Subjects Biochemistry, Biophysics

Keywords Amyloid, Prion, Protein misfolding, Protein aggregation, Amyloid-like fibrils, Prion strain, Polymorphism, Elongation, Nucleation

# INTRODUCTION

Prions are infectious particles which play the main role in a group of fatal neurodegenerative disorders, also known as the transmissible spongiform encephalopaties (TSE's). Prion diseases propagate by self-replication of a pathogenic prion isoform ( $PrP^{Sc}$ ) using cellular prion protein ( $PrP^{C}$ ) as a substrate (*Prusiner, 1998; Collinge, 2001*). Although structures of infectious forms of PrP are still only partially defined, it is known that  $PrP^{Sc}$  is rich in beta-sheet structure and demonstrates fibrillar morphology (*Sim & Caughey, 2009; Colby & Prusiner, 2011*). Different conformations of  $PrP^{Sc}$  are responsible for variations in prion disease phenotypes and are usually referred to as strains (*Safar et al., 1998*). For a long time, prion protein was the only suspected infective protein in humans; however, recently there is growing evidence that proteins in other amyloid-related diseases may spread via prion-like mechanisms (*Lundmark et al., 2002; Soto, Estrada & Castilla, 2006; Frost & Diamond, 2010; Brundin, Melki & Kopito, 2010; Eisele et al., 2010; Angot et al., 2010; Westermark & Westermark, 2010; Masuda-Suzukake et al., 2013; Eisele, 2013; Goedert et al., 2014*). Moreover, the most recent data suggest that variants of Alzheimer's disease are encoded by different strains (*Stöhr et al., 2014; Watts et al., 2014; Aguzzi, 2014*).

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A lot of information on possible mechanisms of amyloid-like fibril formation comes from *in vitro* studies of the aggregation kinetics (*Knowles et al.*, 2009; *Arosio et al.*, 2014; *Meisl et al.*, 2014). It is thought that four major steps are involved in fibril formation (*Meisl et al.*, 2014). In the case of spontaneous aggregation, everything starts from primary nucleation. It takes time for a group of soluble protein molecules to get together and misfold into an amyloid-like structure, which serves as a nucleus for fibrillation. Once nuclei are formed, they start elongation into fibrils by attaching soluble protein at the ends and refolding it into an amyloid-like structure. Although nucleation and elongation could be sufficient for describing fibrillation, in many cases secondary processes, such as fibril fragmentation and secondary nucleation are extremely important (*Knowles et al.*, 2009; *Meisl et al.*, 2014). Fibril fragmentation increases the number of fibril ends, which leads to faster elongation. The presence of fibrils can induce formation of new nuclei with much shorter lag times compared to primary nucleation; this is referred to as secondary nucleation (*Meisl et al.*, 2014).

How would such a mechanism of fibril formation work in the case of different amyloid strains? Strain-like structural polymorphism was observed in a number of different amyloid-forming proteins (Tanaka et al., 2004; Tanaka et al., 2005; Yamaguchi et al., 2004; Dzwolak et al., 2004; Petkova et al., 2005; Jones & Surewicz, 2005; Heise et al., 2005; Paravastu et al., 2008; Makarava et al., 2009; Colby et al., 2009; Dinkel et al., 2011; Jones et al., 2011; Chatani et al., 2012; Bousset et al., 2013; Ghaemmaghami et al., 2013; Cobb et al., 2014; Tycko, 2014; Surmacz-Chwedoruk, Babenko & Dzwolak, 2014). To form different amyloid strains de novo using the same protein, different environmental conditions, such as temperature (Tanaka et al., 2005), shear forces (Makarava et al., 2009), concentration of denaturants (Cobb et al., 2014) or co-solvents (Dzwolak et al., 2004) are involved. Once nuclei are formed, they are able to carry strain-specific properties even in unfavorable environments (Dzwolak et al., 2004; Petkova et al., 2005; Makarava et al., 2009; Cobb et al., 2014; Surmacz-Chwedoruk, Babenko & Dzwolak, 2014). This indicates that environment defines different strains during primary nucleation, but affects only kinetics, not the structure, of fibrils formed via elongation. In the case of secondary nucleation, formation of new nuclei is induced by existing fibrils, but there is no experimental evidence if the structure of these nuclei is determined by the environment conditions, or by structure of the fibrils. Or in other words, can secondary nucleation be responsible for conformational switching in amyloid-like fibril strains?

# MATERIALS AND METHODS

Recombinant mouse prion protein fragment (rMoPrP(89-230)) used in this study was purified and stored as described previously (*Milto, Michailova & Smirnovas, 2014*). Protein grade guanidine hydrochloride (GuHCl) was purchased from Carl Roth GmbH, guanidine thiocyanate (GuSCN) and other chemicals were purchased from Fisher Scientific UK.

To prepare different fibril strains, monomeric protein from a stock solution was diluted to a concentration of 0.5 mg/mL in 50 mM phosphate buffer (pH 6) containing 2 M or 4 M GuHCl, and incubated for one week at 37  $^{\circ}$ C with 220 rpm shaking (in shaker incubator

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IKA KS 4000i). For seeding experiments rPrP-A<sup>4M</sup> fibrils were treated for 10 min using Bandelin Sonopuls 3100 ultrasonic homogenizer equipped with MS72 tip (using 20% power, cycles of 30 s/30 s sonication/rest, total energy applied to the sample per cycle— 0.36 kJ). The sample was kept on ice during the sonication. Right after the treatment, fibrils were mixed with 0.5 mg/ml of mouse prion solution in 2 M GuHCl in 50 mM phosphate buffer, pH 6, containing 50  $\mu$ M ThT. Elongation kinetics at 60 °C temperature was monitored by ThT fluorescence assay (excitation at 470 nm, emission at 510 nm) using Qiagen Rotor-Gene Q real-time analyzer (*Milto, Michailova & Smirnovas, 2014*). ThT fluorescence curves were normalized by dividing each point by the maximum intensity of the curve.

For denaturation assays, amyloid fibrils were resuspended to a concentration of 25  $\mu$ M in 50 mM phosphate buffer, pH 6, containing 0.5 M GuSCN and homogenized by sonication (same way as in preparation of seeds). These solutions were diluted 1:4 in a buffer containing varying concentrations of GuSCN, and incubated for 60 min at 25 °C in Maxymum Recovery<sup>TM</sup> microtubes (Axygen Scientific, Inc., Union City, California, USA). 150  $\mu$ L of samples were mixed with 850  $\mu$ L of 100 mM phosphate buffer, pH 7, containing ThT (final concentration after dilution was 50  $\mu$ M), then each mixture was sonicated for 15 s (same conditions as described above). Fluorescence was measured at 480 nm using the excitation wavelength of 440 nm. Denaturation curves were normalized by dividing each point by the average intensity of the points in the plateau region. Fractional loss of signal at increasing denaturant concentrations corresponds to the fraction of rPrP dissociated from amyloid fibrils.

For AFM experiments,  $30 \,\mu\text{L}$  of the sample were deposited on freshly cleaved mica and left to adsorb for 1 min, the sample was rinsed with several mL of water and dried gently using airflow. AFM images were recorded in the Tapping-in-Air mode at a drive frequency of approximately 300 kHz, using a Dimension Icon (Bruker, Santa Barbara, California, USA) scanning probe microscope system. Aluminium-coated silicon tips (RTESPA-300) from Bruker were used as a probe.

To prepare samples for the FTIR measurements, rMoPrP aggregates were separated from the buffer by centrifugation (30 min, 15,000 g), and resuspended in D<sub>2</sub>O, sedimentation and resuspension was repeated three times to minimize the amount of GuHCl and H<sub>2</sub>O. After resuspension samples were homogenized by 1 min sonication (same conditions as described above). The FTIR spectra were recorded using Bruker Alpha spectrometer equipped with deuterium triglycine sulfate (DTGS) detector. For all measurements, CaF<sub>2</sub> transmission windows and 0.1 mm Teflon spacers were used. Spectra were recorded at room temperature. For each spectrum, 256 interferograms of 2 cm<sup>-1</sup> resolution were co-added. A corresponding buffer spectrum was subtracted from each sample spectrum. All the spectra were normalized to the same area of amide I/I' band. All data processing was performed using GRAMS software.

# RESULTS

Conformational stability of PrP<sup>Sc</sup> as defined by resistance to chemical denaturation has been one of the key parameters used to define differences between strains (*Colby et al.*,

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2009). Different strains of recombinant mammalian prion protein amyloid-like fibrils made in 2 and 4 M guanidine hydrochloride (rPrP- $A^{2M}$  and rPrP- $A^{4M}$ , respectively) were thoroughly characterized by Surewicz group (*Cobb et al.*, 2014). We used recombinant N-terminally truncated mouse prion protein (rMoPrP(89-230)) to create rPrP- $A^{2M}$  and rPrP- $A^{4M}$  strains of amyloid-like fibrils. Similar to recent data on recombinant human PrP (*Cobb et al.*, 2014), rMoPrP fibrils formed in 2 and 4 M guanidine hydrochloride (GuHCl) have different conformational stability (Fig. 1). Due to the fact that rPrP- $A^{4M}$  fibrils could not be fully denatured using even 7.5 M GuHCl (*Cobb et al.*, 2014), a denaturation assay using a more strongly chaotropic salt, guanidine thiocynate (GuSCN) was performed. Midpoint of denaturation of rPrP- $A^{2M}$  is at ~1.8 M GuSCN and rPrP- $A^{4M}$  is at ~3 M GuSCN, respectively. This difference served as a simple, unbiased marker of different strains in further experiments.

In our previous work we have described elongation kinetics at different temperatures and GuHCl concentrations, using rPrP- $A^{2M}$  as a seed (*Milto, Michailova & Smirnovas,* 2014). It was not possible to get reliable data above 2.5 M GuHCl due to depolymerization of rPrP- $A^{2M}$ . Thus only one way cross-seeding is possible for rPrP- $A^{2M}$  and rPrP- $A^{4M}$ strains. We followed cross-seeding kinetics using different concentrations of seeds. As seen in Fig. 2A, five percent seeds led to fast growth of amyloid-like fibrils from the very beginning, suggesting fast fibril elongation. At 1% seed volume (Fig. 2B) elongation is slower, but after some time the rate of aggregation explodes. At a lower concentration of seeds (Fig. 2C) elongation is very slow and the curve looks sigmoidal, as usually seen in case of spontaneous fibrillation; however in absence of seeds no aggregation was detected within the experimental timeframe. Fitting data suggests that the observed process can be

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Figure 2 Concentration of seeds determines the mechanism of aggregation and stability of the final strain. Different amounts of rPrP-A<sup>4M</sup> fibrils (sonicated for 300 s) were added to the solution of rMoPrP, prepared in 2 M GuH2(1, 50 mM phosphate buffer, pH6. The kinetics was followed at 60 °C using Thioflavin T (ThT) fluorescence assay, five data repeats at each seed concentration plotted in (A–C). No change of ThT fluorescence was observed in samples without seeds. Denaturation profiles in GuSCN reveal different conformational stabilities of formed fibrils (D–F). Standard errors calculated from 6 measurements using Student's *t*-distribution at *P* = 0.05.

attributed to fibril-induced secondary nucleation (see Supplemental Information). The fibril denaturation assay (Fig. 2D) revealed that stability of fibrils formed in the presence of 5% seeds (midpoint at ~2.9 M GuSCN) is very similar to rPrP-A<sup>4M</sup> strain, which was used as a seed. At 1% seed volume (Fig. 2E), stability of fibrils is lower (midpoint at ~2.2 M GuSCN), and at 0.2% of seeds (Fig. 2F) it is the same (midpoint at ~1.8 M GuSCN) as the rPrP-A<sup>2M</sup> strain. This allows hypothesizing that fibrils initiated by secondary nucleation do not follow the seeding template, despite using template fibrils as nucleation sites.

AFM analysis did not reveal any major differences between rPrP-A<sup>4M</sup> and rPrP-A<sup>2M</sup> strains (Figs. 3A and 3B). In both cases fibril diameters range from 4 to 16 nm, however thicker fibrils are more often in samples of rPrP-A<sup>2M</sup> strains. This difference is more

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Figure 3 AFM images of rMoPrP amyloid-like aggregates. (A) and (B) show fibrils of rPrP- $A^{4M}$  and rPrP- $A^{2M}$  strains, (C) and (D) show fibrils formed during cross-seeding in the presence of 5% and 0.2% seeds, respectively.

obvious when comparing fibrils formed in presence of 5% and 0.2% seeds (Figs. 3C and 3D). The majority of fibrils formed in presence of high amount of seeds are 4–8 nm in diameter, while these formed at low seed concentration are usually 8–16 nm.

FTIR spectra of rMoPrP amyloid-like fibrils display major band in the amide I/I' region, corresponding to beta-sheet structure with subtle difference in band frequencies between rPrP-A<sup>4M</sup> and rPrP-A<sup>2M</sup> strains (Fig. 4). The spectrum of rPrP-A<sup>4M</sup> strain is very similar to the spectrum of fibrils, prepared in the presence of 5% seeds; both show peak maxima at ~1,620 cm<sup>-1</sup>. The spectrum of rPrP-A<sup>2M</sup> strain and the spectrum of fibrils, prepared in the presence of 0.2% seeds show peak maxima at ~1,624 cm<sup>-1</sup>. This data serve as additional confirmation that propagation of the strain-specific structure depends on the amount of seeds and possibly on the mechanism of aggregation.

In our previous work we have demonstrated the impact of sonication on the elongation kinetics of PrP fibrils (*Milto, Michailova & Smirnovas, 2014*). Comparison of microscopy data before (Fig. 3A) and after (Fig. 5) sonication suggests that the main effect of sonication is breaking fibrils into shorter pieces, thus increasing number of fibril ends.





Figure 5  $\,$  AFM images of rPrP-A^{4M} fibrils after 300 s (A) and 30 s (B) sonication.

As seen in Figs. 6A and 6B, in case of shorter (or in the absence of) sonication, kinetic curves have sigmoidal shapes, similar as in case of lower amount of longer-sonicated seeds. Fibrils formed in the presence of 30 s sonicated seeds (Fig. 6C) are more stable (midpoint at  $\sim$ 2.8 M GuSCN) compared to the fibrils formed in presence of unsonicated seeds (midpoint at  $\sim$ 2.3 M GuSCN).

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Figure 6 Effect of sonication on the kinetics of aggregation (A–B) and stability of formed fibrils (C–D). The same amount of seeds (5%) was used in all experiments. Five data repeats plotted in (A) and (B). Standard errors calculated from 6 measurements using Student's t-distribution at P = 0.05.

# DISCUSSION

Taken together the data with different seed concentrations (Fig. 2), and sonication times (Fig. 6), show that stability of fibrils is dependent on the kinetics. Different processes in fibril formation leads to the mixture of rPrP-A<sup>4M</sup> and rPrP-A<sup>2M</sup> fibril populations in all samples, and different proportions of two strains determine their denaturation profiles. Increase of fibril ends leads to shorter lag times and faster elongation rates, and to the bigger proportion of more stable fibrils. In fact, we cannot exclude the impact of fibril surface as a catalyzer of secondary nucleation. Larger super-structures can be disrupted by sonication thus releasing more fibril surface. We haven't found large clumps using microscopy, but the possibility of larger aggregates is suggested by the decline of final fluorescence in samples where mild or no sonication was used. Larger aggregates used as seeds grow further and may settle out of solution leading to the decrease of ThT fluorescence.

It is interesting to compare reproducibility of kinetic curves at different conditions. At highest amount of seeds the data is extremely reproducible (Fig. 2A), which is common for fibril elongation reactions. In case of lowest seed concentration (Fig. 2C) it is also relatively good; however it is poor at moderate seed concentration (Fig. 2B) or in case of unsonicated seeds (Fig. 6B). Nucleation is a stochastic event and the reproducibility goes down with the decrease of the monomer concentration. Due to the elongation of fibrils, average monomer concentration available for nucleation in the presence of 1% seeds is lower than in case of 0.2% seeds, thus it can serve as an explanation of the worse reproducibility.

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Amyloid strain switching has been observed in animal studies (Bartz et al., 2000; Asante et al., 2002; Lloyd et al., 2004; Ghaemmaghami et al., 2013), cell culture (Li et al., 2010), and experiments in vitro (Castilla et al., 2008; Makarava et al., 2009; Surmacz-Chwedoruk, Babenko & Dzwolak, 2014). Two possibilities are suggested to explain this phenomenon (Collinge & Clarke, 2007; Cobb & Surewicz, 2009). The first one describes coexistence of multiple structures in the infective material, when only the dominant type would be recognized experimentally; however, upon transmission to different host, the minor population may self-propagate much better and become dominant, reflected in the change of strain properties. Recently this way of amyloid strain switching was demonstrated for insulin fibrils in vitro (Surmacz-Chwedoruk, Babenko & Dzwolak, 2014). The second possibility suggested that sometimes host protein can adopt amyloid conformations distinct from the heterologous template. The Baskakov group demonstrated adaptive conformational switching within individual fibrils as a possible mechanism for such change (Makarava et al., 2009). Our data suggests a possibility of strain switching via secondary nucleation pathways. Moreover, secondary nucleation could explain switching of strains in absence of species barrier, for example in case of recently described Darwinian evolution of prions in cell culture, which showed strain mutations within a single host protein (Li et al., 2010) or in case of protein misfolding cyclic amplification (PMCA) of recombinant PrP (Smirnovas et al., 2009). In summary, we hypothesize that continuous propagation or switching between amyloid strains may be determined by the mechanism of replication in addition to the environment. In cases when a species barrier or environmental barrier stops or slows down fibril elongation, there is the possibility of secondary nucleation events to seed the formation of different strains. The mechanism is dependent on the concentration of fibrils, which opens up a new dimension in cross-species and cross-environment seeding/infection experiments.

We would like to acknowledge that part of the described kinetic profiles differs from the general fibrillation kinetics, normally observed in the field. Thus, in the absence of supporting investigations of different systems, we would like to stress that all our findings may be limited to the described system and any extrapolation to other amyloid proteins and/or other conditions of fibrillation needs an additional experimental evidence.

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# **Competing Interests**

The authors declare there are no competing interests.

#### Author Contributions

- Tomas Sneideris and Katažyna Milto conceived and designed the experiments, performed the experiments, analyzed the data, prepared figures and/or tables, reviewed drafts of the paper.
- Vytautas Smirnovas conceived and designed the experiments, analyzed the data, contributed reagents/materials/analysis tools, wrote the paper, prepared figures and/or tables, reviewed drafts of the paper.

# Supplemental Information

Supplemental information for this article can be found online at http://dx.doi.org/ 10.7717/peerj.1207#supplemental-information.

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# Self-inhibition of insulin amyloid-like aggregation

Protein misfolding and amyloid formation are related to multiple diseases. Besides its relation to injection-localized amyloidosis, insulin is also often used as a model protein to study amyloid aggregation *in vitro*. Possible mechanisms for aggregation of insulin monomers into amyloid-like fibrils

are described in several publications, but the role of native-like oligomers, which are present in solution above pH 2, is poorly understood. Here we show that the addition of sodium chloride shifts the

equilibrium from monomers towards oligomers without affecting the secondary structure of insulin. Initial analysis of the aggregation kinetics showed unusual dependence of aggregation half-times on the

initial insulin concentration, suggesting the possibility of self-inhibition. Global fitting of the kinetic data revealed possible capping of fibril ends by insulin tetramers, leading to the inhibition of fibril elongation.

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# Introduction

Amyloids are self-assembled, highly ordered and closely packed peptide or protein aggregates, which are usually rich in β-sheet structures.<sup>1,2</sup> Formation of insoluble amyloid aggregates is associated with multiple disorders,<sup>3,4</sup> including currently incurable and fatal neurodegenerative diseases such as Alzheimer's, Parkinson's or infective prion diseases. A proper understanding of the aggregation process, including the molecular pathways leading to the formation of amyloid structures, is crucial for the development of effective medicine and treatment methods of amyloid diseases.

The process of amyloid formation is very complex and consists of multiple microscopic events. Different combinations and rates of these events can determine distinct aggregation pathways.<sup>5</sup> The amyloid aggregation mechanism consists of a combination of four microscopic events: spontaneous formation of nuclei, which act as aggregation centers; elongation of existing fibrils by the addition of monomers to the ends of fibrils; fragmentation of fibrils, which results in generation of fibril-surface-catalysed formation of aggregation nuclei.<sup>5,6</sup>

Protein aggregation is influenced by the environment. Factors, such as different pH,<sup>7-12</sup> temperature,<sup>8,12-14</sup> agitation,<sup>12,15,16</sup> pressure,<sup>17</sup> ionic strength,<sup>17-19</sup> protein concentration<sup>12,14,19-26</sup> and the presence of different co-solvents,<sup>27,28</sup> other additives<sup>12,14,29,30</sup> or preformed protein aggregates,<sup>12,31,32</sup> were shown to affect protein amyloid formation. A wide variety of environmental conditions suggest the possible existence of different aggregation mechanisms that may lead towards formation of distinct amyloid structures (polymorphs) of the same protein, which makes the aggregation process notoriously difficult to study and understand.

Insulin is a peptide hormone that is involved in the regulation of carbohydrate, fat and protein metabolism. Despite its main use in medicine, it is also extensively used as a model protein to study amyloid-like fibril formation in vitro. It was shown that changes in the environment may affect insulin aggregation and induce formation of polymorphs. Several sets of conditions leading to distinct structures of insulin amyloid-like fibrils include the presence/absence of 20% ethanol, 27,28,33 slightly different pH/pD  $(\leq 2 \text{ and } 2.4)$ <sup>34</sup> and using different insulin forms (bovine insulin versus recombinant LysB31-ArgB32 human insulin analogue).32,35 The most recent study suggested that all three cases lead to the same pair of structures.34 However, for deeper understanding of insulin amyloid-like fibril polymorphism, mechanistic studies of insulin aggregation at different conditions are necessary. A series of studies demonstrated the power of global fitting of kinetic models to elucidate molecular mechanisms of amyloid aggregation.5,6,16,36-40 Global fitting of insulin fibrillation kinetics at pH 1.6 suggested a "classic" amyloid aggregation mechanism with a saturated elongation step.5 In order to reveal mechanistic differences leading towards the pH-induced polymorphism of insulin amyloid-like fibrils, we used global fitting on the data of insulin aggregation at pH 2.4.

# Results and discussion

It is known that the presence of NaCl may affect aggregation kinetics, induce structural changes of proteins and aggregates or even alter formation of on- and off-pathway oligomeric species, subsequently changing the pathway of aggregation

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Therefore we followed insulin aggregation under a range of NaCl concentrations.

#### State of insulin before and after aggregation

Fourier transform infrared (FTIR) spectra of 5.0 mg ml<sup>-1</sup> insulin at pD 2.4 (pH-meter readout +0.4),<sup>34,41</sup> in the range from zero to 100 mM NaCl, exhibit maxima in the amide I/I'band region at 1653 cm<sup>-1</sup> and corresponding major minima of the second derivative at 1656 cm<sup>-1</sup> (Fig. 1A), which can be interpreted as a predominantly  $\alpha$ -helical structure, typical for native insulin.<sup>18</sup> Almost identical profiles of second derivative spectra in the presence or absence of NaCl suggest that the secondary structure of insulin is not affected by salt in the examined range. The circular dichroism (CD) spectra of insulin with and without salt are also nearly identical (Fig. 1B) and experience no noticeable changes during the lag time of aggregation (Fig. S1, ESI $\dagger$ ).

The FTIR spectra of insulin amyloid-like fibrils, prepared at pH 2.4, exhibit maxima in the amide I/I' band region at 1628 cm<sup>-1</sup> and two major minima of the second derivative at 1636 cm<sup>-1</sup> and 1627 cm<sup>-1</sup> (Fig. 1C) typical for  $\beta$ -sheets. Again, the profiles of second derivative spectra in the presence or absence of NaCl look nearly identical.

Analysis of the insulin aggregate morphology using atomic force microscopy (AFM) revealed straight fibrils of 0.5–3  $\mu$ m in length and 6–12 nm in diameter, both in the presence or absence of NaCl (Fig. 1D), and no significant differences were observed.

It was recently shown that elevated NaCl and protein (amyloid  $\beta$  and hen egg white lysozyme) concentrations may induce formation of metastable oligomers.<sup>19,26</sup> Dynamic light scattering (DLS) revealed that the concentration of salt affects the average size of insulin particles (Fig. 1E and F). The maximum scattering intensity of the insulin sample in the absence of salt is at 3.7  $\pm$  0.1 nm, which is slightly lower than the size of insulin dimers.42 In the presence of 50 mM NaCl, the maximum scattering intensity is at 3.9  $\pm$  0.3 nm; this value rises with increasing salt concentration up to 4.3  $\pm$  0.1 nm at 100 mM NaCl, suggesting the formation of larger oligomers as the ionic strength of the solution increases. At lower NaCl concentrations (up to 75 mM) no scattering signal of particles above 5 nm was observed, while at 100 mM of salt, the DLS signal suggests the possibility of larger oligomers. However, given the exponential signal-to-size dependence in DLS measurements, the majority of insulin would be distributed within monomers-dimers-tetramers in all the cases. Such an occurrence can be explained by electrostatic interactions. Low pH values in solution result in strong electrostatic repulsive forces between protein molecules, which hinder the assembly of oligomeric forms. The addition of salt mitigates such effects by shielding positively charged insulin molecules with chloride ions and leads to the formation of larger oligomers.43 According to Nielsen et al.,12 over the pH 2-8 range, zinc-free insulin should be in dimeric form at lower protein concentrations, shifting towards tetramers at protein concentrations above 1.5 mg ml-1, while our data suggest dimers even at 5.0 mg ml<sup>-1</sup> concentration. It seems that the Nielsen *et al.* assumption is based on SAXS measurements, which were performed in the presence of 100 mM NaCl, and on the data from analytical ultracentrifugation, which was performed at pH 3.7 and 8.0.<sup>12,44</sup> According to our DLS data, both the addition of salt (Fig. 1E) and increased pH<sup>34</sup> may shift the equilibrium towards tetramers.

The particle size distribution is dependent also on the concentration of insulin. In the absence of salt, we observed a minor increase in the insulin hydrodynamic radius as the protein concentration rises. In the presence of salt, however, the change in radius is a lot more apparent, leading to a greater divergence from particle sizes without salt at higher insulin concentrations (Fig. 1F). The data suggest a monomer–dimer equilibrium (with a possible small number of tetramers) throughout the entire range of insulin concentrations in the absence, and at low insulin concentrations (up to 1.5–2 mg ml<sup>-1</sup>) in the presence of NaCl shifts the equilibrium towards tetramers.

#### Kinetics of insulin aggregation

Thioflavin T (ThT) fluorescence assay was employed to follow the kinetics of insulin (concentration range 0.5–5.0 mg ml<sup>-1</sup>) aggregation at pH 2.4 under five different NaCl concentrations from 0 to 100 mM. Due to the complexity of the aggregation process, determination of suitable models for fitting experimental data is difficult, however, the number of model choices can be narrowed down by applying constraints on possible mechanisms, as described by Meisl *et al.*<sup>6</sup> The curvature of the aggregation half-time ( $t_{50}$ ) plot *versus* the monomer concentration can help to determine if the aggregation process is monomer dependent and the slope of the logarithmic plot can be used to calculate the reaction order, which makes aggregation half-time plots a good starting point in the selection of the appropriate model.<sup>6</sup>

In the case of our data, a discontinuity in the half-time plot of insulin aggregation in the absence of NaCl was observed (Fig. 2A), which suggests the presence of a saturation effect, <sup>5,6</sup> while the low value of the slope, which becomes ~0 for insulin concentrations >3.0 mg ml<sup>-1</sup>, points towards saturated elongation and fragmentation. <sup>5,6</sup>

In the presence of NaCl, an unusual dependence of  $t_{50}$  on the initial insulin concentration was observed (Fig. 2B and Fig. S2, ESI†). Following the point of discontinuity, there is a gradual increase of the  $t_{50}$  values. This could not be associated with the presence of a saturation effect as the  $t_{50}$  starts to grow at different insulin concentrations, depending on the concentration of salt in the sample. The point of discontinuity in  $t_{50}$  values shifts from  $3.0~{\rm mg}~{\rm ml}^{-1}$  at 0 mM NaCl to 1.5 mg  ${\rm ml}^{-1}$  at 100 mM NaCl. The positive slope is observed even at the lowest NaCl concentration. The steepness of the slopes increases from  $\sim$  0 to 0.3–0.4 with the increase of salt concentration. The differences in  $t_{50}$  values between these samples appear to be the result of competition between the aggregation-enhancing effects of salt (easier insulin interactions due to positive charge shielding) and the formation of off-pathway oligomers, which may affect certain steps of the aggregation.

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С

1680

260

75 mM

0 mM NaCl 100 mM NaCl

1

•

1660 1640 1620

Wavenumber, cm<sup>-1</sup>

100 mM



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Fig. 1 Characterization of initial and final forms of insulin. FTIR spectra with the second derivative (A) and CD (B) spectra of the initial form of insulin (5 mg ml<sup>-1</sup>, 60 °C) and FTIR spectra with the second derivative of the aggregated (C) form of insulin. AFM images of insulin amyloid-like aggregates prepared in different NaCl concentration solutions (D). Scattering intensity distribution of insulin (5 mg ml<sup>-1</sup>) in initial solutions (E) (average of 3 repeats for each sample). Dependence of the maximum scattering intensity position on protein concentration in the presence and absence of 100 mM NaCl (average of 9 repeats for each sample) (F).

3

0.5

#### Possible models for global fitting

0.0

2

3

The model containing four major steps of amyloid fibril formation (primary nucleation, fibril elongation, secondary nucleation and fibril fragmentation (Fig. 3)) became the classic

4

5

Diameter, nm

6

model used to describe amyloid aggregation, however, in some cases the modification of existent or introduction of additional microscopic events is necessary for a model to fit experimental data.5,6,36,45 None of the current modifications of the model

2 3 4 5

Insulin concentration, mg/ml

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(E) NaCl. Error bars are standard deviations estimated from three repeats.

consider the possible existence of oligomers that might be present in the initial sample (as shown in Fig. 1E and F), but would not directly participate in the aggregation reaction (Fig. 3). The formation of off-pathway oligomers alone would only result in proportional reduction of the initial monomer concentration, which can't explain an increase of t<sub>50</sub> with increasing concentration of monomers. However, it can be explained by oligomer-induced inhibition, as we see a divergence in the insulin hydrodynamic radius for samples over 1.5 mg ml<sup>-1</sup> in the presence and absence of 100 mM NaCl (Fig. 1F), which matches with the point where the linear fit slope changes (Fig. 2E). Notably, inhibition of fibril formation by metastable off-pathway assemblies was recently suggested for aggregation of lysozyme and amyloid beta at elevated NaCl concentrations.26 However, the lysozyme and amyloid beta oligomer formation was detectable by changes in FTIR and CD spectra, as well as increased ThT emissions, none of which are seen in the case of insulin.

According to Arosio *et al.*<sup>36,45</sup> inhibition of primary nucleation would affect the lag phase (but not the growth rate); inhibition of secondary nucleation would mostly affect the growth rate; and inhibition of elongation would affect both the lag phase and the growth rate. According to our experimental data, the lag time

follows a similar tendency as  $t_{30}$  values. While the higher NaCl concentration leads to increased apparent growth rates at low protein concentrations, at high protein concentrations the effect of salt is opposite (Fig. S2, ESI†). Therefore, we hypothesize that the unusual dependence of  $t_{50}$  on the initial insulin concentration in the presence of salt (Fig. 2B–E) may be altered by the formation of off-pathway oligomers (tetramers) that bind to nuclei/fibril ends ("capping" them). This would not only reduce the number of viable monomers, but also actively inhibit fibril elongation.

#### Global fitting of the experimental data

We used four previously described aggregation models to fit experimental data obtained at five different ionic strength conditions. The only model not sufficient to fit the data obtained in the absence of salt was the "classic" one (Fig. 4A), suggesting a need for either a saturation effect (Fig. 4B) or formation of intermediate species (Fig. 4C and D). Despite a fairly good global fit, more than half of  $t_{50}$  values calculated from the fit curves of the saturated elongation model do not overlap with the experimental ones. Both models which account for a loss of viable monomers due to oligomer formation lead to better overlaps of  $t_{50}$ . Capping does not add much (and it shouldn't, if insulin is

	1° Nucleation	Elongation	Saturated elongation	2° Nucleation	Fragmentation	Monomer-Tetramer Equilibrium	"Capping"
	<b>▲+</b> ▲	▲ + 🗑	$  + \left( \stackrel{k_f}{\longleftrightarrow} \right) $	▲+▲			✓ +
	k <sub>n</sub>	k,	$-\kappa_r$	k <sub>2</sub>	k.		k <sub>c</sub>
	Ė	Ċ	e e e e e e e e e e e e e e e e e e e	Ŕ			
Increase in [A]	$k_n M(t)^2$	-	-	$k_2 M(t)^2 F(t)$	k F(t)	-	$-k_c A(t)T(t)$
Increase in [F]	negligible	$k_+M(t)A(t)$	$\frac{\frac{k_+M(t)A(t)}{1+\frac{M(t)}{K_M}}$	negligible			-
"Classic" model	+	+		+	+		
"Saturated elongation"	+		+	+	+		
"Classic + Tetramers"	+	+		+	+	+	
"Classic + Capping"	+	+		+	+	+	+

Fig. 3 A schematic representation of microscopic steps involved in fibriliary aggregation for each of the four models. The rate constants are  $k_n$  (primary nucleation),  $k_n$  (elongation),  $k_2$  (secondary nucleation),  $k_n$  (fragmentation), and  $k_1$  and  $k_n$  (intermediate association and dissociation) as well as two additional steps, which include  $k_n$  (moment—tetramer equilibrium) and  $k_n$  (aggregation center "capping").

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Fig. 4 Global fits of experimental data without salt. The global fit of the "Classic" (A), "Saturated elongation" (B), "Classic + Tetramers" (C) and "Classic + Capping" (D) model to the experimental data with comparison inserts of experimental and fit t<sub>50</sub> values. In each case the primary and secondary nucleus size was set to 2. Open circles represent normalised experimental data (3 repeats for each protein concentration).

mainly monomeric/dimeric) apart from the better fit at the highest insulin concentrations, which can be explained by the presence of small amounts of tetramers.

In the presence of NaCl, models which do not include an inhibitory step were unable to account for the increase in aggregation half-times as the concentration of insulin increased (Fig. 5A–C and Fig. S3A–C; S4A–C, S5A–C, ESI†). As expected, the only model which includes inhibition was suitable to accurately fit aggregation data (Fig. 5D and Fig. S3D, S4D, S5D, ESI†). According to DLS data, the equilibrium may be shifted towards tetramers at higher insulin concentrations in the presence of 100 mM NaCl, while only a small number of tetramers may be present in the absence of salt. It explains both a good fit of the data in the presence of salt by models involving a monomer–oligomer equilibrium step and only the capping model being able to fit the data in the presence of salt. If it is assumed that the addition of salt does not change the mechanism of insulin aggregation, but rather just affects the rates of microscopic events, then we can conclude that the tetramer-inhibition model is the one explaining the mechanism of insulin aggregation at pH 2.4.

The comparison of rate constants (Table 1) obtained from global fitting of all data sets revealed that all association events are accelerated by salt (it affects not only oligomerization and aggregation, but also leads to more effective inhibition as a result of an increased "capping" rate), which can be easily explained by the salt-induced reduction of electrostatic repulsion between positively charged protein molecules. Oppositely, the fibril breaking rate decreases upon the increase of NaCl concentration, which is not surprising, as higher ionic strength may lead to clustered fibrils,<sup>18</sup> which should be harder to break. Interestingly, the rate of secondary nucleation is affected by salt more than any other event. It is known that insulin can exist in

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Fig. 5 Global fits of experimental data with 100 mM NaCl. The global fit of the "Classic" (A), "Saturated elongation" (B), "Classic + Tetramers" (C) and "Classic + Capping" (D) model to the experimental data with comparison inserts of experimental and fit t<sub>50</sub> values. In each case the primary and secondary nucleus size was set to 2. Open circles represent normalised experimental data (3 repeats for each protein concentration).

Table 1 Reaction rate constants at different salt concentrations, where  $k_kk_k$  is the multiplicative rate constant of primary nucleation and elongation,  $k_kk_2$  – elongation and secondary nucleation, and  $k_kk_-$  – elongation and fragmentation.  $K_E$  is the monomer–tetramer equilibrium constant and  $k_c$  is the "capping" rate constant. The standard deviations were estimated by fitting three different subsets of the replicates at each concentration

Conditions	0 mM NaCl	25 mM NaCl	50 mM NaCl	75 mM NaCl	100 mM NaCl
$egin{array}{l} k_{\mathrm{n}}k_{+} \ k_{+}k_{2} \ k_{+}k_{-} \ K_{\mathrm{E}} \ k_{\mathrm{c}} \end{array}$	$\begin{array}{l} (8.6\pm0.5)\times10^{-7}\\ (1.1\pm0.5)\times10^{-6}\\ (2.2\pm0.1)\times10^{-3}\\ (7.8\pm0.5)\times10^{-3}\\ (5.3\pm0.3)\times10^{-2} \end{array}$	$\begin{array}{l} (4.1\pm0.7)\times10^{-7}\\ (3.1\pm0.4)\times10^{-6}\\ (2.8\pm0.1)\times10^{-3}\\ (6.8\pm0.6)\times10^{-3}\\ (2.1\pm0.1)\times10^{-1} \end{array}$	$\begin{array}{l} (4.1\pm0.8)\times10^{-7}\\ (1.5\pm1.4)\times10^{-4}\\ (3.9\pm0.3)\times10^{-3}\\ (2.2\pm1.2)\times10^{-2}\\ (2.4\pm0.5)\times10^{-1} \end{array}$	$\begin{array}{l} (4.3\pm1.8)\times10^{-6}\\ (4.0\pm1.5)\times10^{-3}\\ (9.8\pm8.4)\times10^{-4}\\ (2.7\pm2.1)\times10^{-2}\\ (1.9\pm1.1)\times10^{-0} \end{array}$	$\begin{array}{c} (1.4\pm0.2)\times10^{-5}\\ (2.2\pm0.2)\times10^{-2}\\ (3.6\pm1.6)\times10^{-5}\\ (3.2\pm0.2)\times10^{-1}\\ (8.7\pm0.3)\times10^{-1} \end{array}$

monomeric, dimeric, tetrameric, and (in the presence of Zn ions) hexameric forms and it is still not clear what role different oligomers may play in the pathway of amyloid-like fibril formation.<sup>12</sup> Usually these forms are seen just as an off-pathway storage of insulin monomers, which are the main actors in the show.<sup>12,17,18</sup> In fact, often insulin aggregation was studied at pH <2 or in the presence of 20% acetic acid, which favours the monomeric form,  $^{5,12,46}$  which is probably the main reason why there was no clear evidence of the possible direct role of oligomeric insulin forms in the amyloid formation pathway. Our work supports the role of tetramers and adds one more piece to the global picture of the insulin aggregation mechanism.

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Keeping in mind that insulin fibrils formed at pH 2.4 are structurally distinct from the ones formed at pH  $<2^{34}$  one can suspect that oligomeric forms of insulin play a role in the polymorphism of amyloid-like fibrils.

## Conflicts of interest

There are no conflicts to declare.

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# The Environment Is a Key Factor in Determining the Anti-Amyloid Efficacy of EGCG

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Abstract: Millions of people around the world suffer from amyloid-related disorders, including Alzheimer's and Parkinson's diseases. Despite significant and sustained efforts, there are still no disease-modifying drugs available for the majority of amyloid-related disorders, and the overall failure rate in clinical trials is very high, even for compounds that show promising anti-amyloid activity in vitro. In this study, we demonstrate that even small changes in the chemical environment can strongly modulate the inhibitory effects of anti-amyloid compounds. Using one of the best-established amyloid inhibitory compounds, epigallocatechin-3-gallate (EGCG), as an example, and two amyloid-forming proteins, insulin and Parkinson's disease-related  $\alpha$ -synuclein, we shed light on the previously unexplored sensitivity to solution conditions of the action of this compound on amyloid fibril formation. In the case of insulin, we show that the classification of EGCG as an amyloid inhibitor depends on the experimental conditions select, on the method used for the evaluation of the efficacy, and on whether or not EGCG is allowed to oxidise before the experiment. For  $\alpha$ -synuclein, we show that a small change in pH value, from 7 to 6, transforms EGCG from an efficient inhibitor to completely ineffective, and we were able to explain this behaviour by the increased stability of EGCG against oxidation at pH 6.

Keywords: insulin; *α*-synuclein; inhibition; EGCG; amyloid aggregation

## 1. Introduction

The onset and progression of more than 50 human disorders, including the neurodegenerative Alzheimer's and Parkinson's diseases (AD and PD), is associated with the failure of peptides and proteins to adopt or remain in their native functional and soluble states, and their subsequent conversion into amyloid fibrils [1,2]. Millions of people around the world suffer from these disorders; AD alone affects 40 million patients worldwide and is projected to rise steadily to afflict 135 million people by 2050 [3,4]. Distinct peptides and proteins are associated with these particular human disorders; however, the formation and accumulation of insoluble fibrillar aggregates are common among these diseases [1,2]. Whether extracted from patients or generated in vitro, amyloid fibrils formed from different proteins seem to be remarkably similar in overall morphology. Mature amyloid fibrils tend to appear as unbranched, thread-like, elongated structures, several nanometres in diameter and with lengths of the order of micrometres [1,2]. In addition, the corresponding fibrils all contain a  $\beta$ -sheet-rich structure, termed "cross- $\beta$ ," according to the pattern in X-ray fibre diffraction studies [5].

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Several therapeutic approaches, such as a reduction in the production of amyloidogenic peptides, the increase of the native state stability of amyloidogenic proteins, an enhancement in the clearance rates of misfolded proteins, and a direct inhibition of the self-assembly process, have been suggested for treatment of amyloid-related disorders [6–12]. Numerous small molecular weight compounds, short peptides, and antibodies have been suggested as potential modulators and inhibitors of toxic oligomeric and fibrillar species' assemblies [6,8,9,12–15]. Despite significant and persistent efforts, there are still no effective disease-modifying drugs or treatment modalities available for the majority of amyloid-related disorders (overall success rate of clinical trials is <0.5%) [16–21].

The formation of amyloid fibrils is a complex process, which involves several microscopic steps (e.g., nucleation, growth, fragmentation, and secondary nucleation) [1,2]. Alterations in environmental conditions can modulate these microscopic steps, resulting in different pathways and leading to the formation of structurally distinct amyloid aggregates [1,22–25]. Such conformational variability, also known as polymorphism, is thought to be a generic property of amyloid proteins, and has been proposed to be able to cause distinct disease phenotypes [1,26,27]. Moreover, the effects of potential therapeutic agents can vary depending on the conditions in which they are assayed (i.e., pH, temperature, buffer, interfaces, agitation, and others) [12], possibly due to chemical modifications of potential therapeutic molecules [28–30]. Since the environmental conditions under which aggregation of amyloid proteins is performed can vary between distinct studies, the search and assessment of potential inhibitors becomes extremely challenging, as the results may lead to diverse conclusions.

An ideal amyloid assembly inhibitor should act as broadly as possible and be capable of interacting with different species along the pathway of aggregation. The desired outcome of such an interaction is to block the formation of toxic oligomeric species and to possibly dissociate preformed fibrillar aggregates into non-toxic species [31]. Epigallocatechin-3-gallate (EGCG), the main polyphenol found in green tea, has been reported to effectively inhibit the aggregation of a number of amyloidogenic peptides and proteins, including amyloid- $\beta$  (related to AD) [32,33],  $\alpha$ -synuclein (related to PD) [33–36], islet amyloid polypeptide (related to type-II diabetes) [37,38], huntingtin exon 1 (related to Huntington's disease) [39], tau (related to AD and tauopathies) [40], superoxide dismutase (related to amyotrophic lateral sclerosis) [41], prion proteins (related to prion diseases) [42], and others. In addition, it has been shown that EGCG can induce remodeling and/or dissociation of pre-existing aggregate species [33,34,36,43,44]. Taken together, EGCG appears to be a "universal" inhibitor of amyloid fibril formation, suggesting that this molecule could be used as a therapeutic agent for the prevention and treatment of amyloid-related disorders. However, EGCG is not stable at neutral or alkaline pH [45–48], where it is susceptible to auto-oxidation, resulting in the formation of numerous products [28] which may differently affect amyloid aggregation [29,30,49].

In this study, we set out to explore whether or not the universal nature of EGCG as an inhibitor is robust against variation in solution conditions. We chose two amyloid forming protein systems, a model system (insulin) and a disease-related protein ( $\alpha$ -synuclein), which form amyloid fibrils under very different solution conditions. This choice allowed us to separately probe the influence of EGCG oxidation and the interplay between the solution conditions and the action of EGCG.

The formation of insulin amyloid fibrils in vivo is associated with the clinical syndrome injection-localised amyloidosis, which was observed in diabetes patients after continuous subcutaneous injections of insulin [50,51]. Despite its main application in medicine, recombinant human insulin is also extensively used as a model protein to study pathways and mechanisms of amyloid fibril formation in vitro. It has been demonstrated that several sets of conditions, including the presence of ethanol [52–54]; different pHs [22,55] or salt concentrations [23,55]; and agitation [55,56], can alter insulin aggregation pathways and even lead to the formation of structurally different amyloid fibrils. The majority of insulin aggregation studies were performed at low pHs, which do not reflect the physiological environment, but serve to significantly accelerate amyloid fibril formation through a destabilisation of the native state. At the same time, acidic conditions are known to lead to higher EGCG stability against oxidation. We demonstrate the different effects of EGCG and its auto-oxidation

products (EGCG<sub>ox</sub>) on insulin aggregation. Furthermore, we show that even under the acidic reaction conditions where EGCG is stable, the exact solvent conditions determine whether or not EGCG is able to modulate the kinetics of insulin amyloid fibril formation.

The strong pH-dependence of EGCG's stability against oxidation in the proximity of neutral pH enabled us to probe the interplay of protein aggregation and EGCG oxidation, using the protein  $\alpha$ -synuclein, associated with Parkinson's disease [57]. By comparing  $\alpha$ -synuclein amyloid fibril formation at pH 7, where EGCG rapidly oxidises, and pH 6, where it is much more stable, we found that EGCG converts from an efficient inhibitor at pH 7 to being completely ineffective at pH 6. On the other hand, pre-oxidised EGCG is a very powerful inhibitor at pH 6 as well. Taken together, in this study we demonstrate that even an inhibitor candidate as well-characterised as EGCG can display a dramatically different inhibitory efficiency depending on the solution conditions, and hence a systematic exploration of the interplay of solution conditions and compound stability and efficacy is crucial.

## 2. Results

We first performed insulin amyloid fibril formation experiments under different acidic solution conditions. When the insulin aggregation reaction was performed in 100 mM phosphate buffer, pH 2.4 (PB), under quiescent conditions, the presence of EGCG increased the half-time ( $t_{50}$ ), i.e., the time to reach half the maximal Thioflavin-T (ThT) fluorescence intensity, by almost two-fold, while at the same time decreasing the maximum fluorescence intensity ( $I_{max}$ ) nearly two-fold, when compared to the control (Figures 1 and S1). The effect of EGCG<sub>0.X</sub> (see Methods section for experimental protocol to generate oxidised EGCG) is stronger, and leads to an almost four times longer  $t_{50}$  and almost four times lower  $I_{max}$ . In the Supplementary Material, we show time-resolved UV–Vis data of EGCG that demonstrates the lack of oxidation under the conditions of these kinetic experiments (Figure S2). Under agitated conditions in PB, EGCG has no inhibitory effect, while EGCG<sub>0.X</sub> has a minor effect on the insulin aggregation process (Figures 1 and S1).



**Figure 1.** Effects of EGCG and  $EGCG_{0x}$  on insulin aggregation kinetics (**A**) and maximum ThT fluorescence intensity (**B**). Abbreviations PB and AC represent environmental conditions (100 mM phosphate buffer and 20% acetic acid, respectively), while Q and A denote the agitation conditions (quiescent and agitated, respectively), under which the insulin aggregation reactions were performed. Error bars represent standard deviations.

The presence of EGCG<sub>ox</sub> results in a two times longer  $t_{50}$  and 20 times higher  $I_{max}$  effect, when the aggregation reaction is performed in 20% acetic acid (AC), under quiescent conditions (Figure 1). When agitation is applied, the presence of EGCG<sub>ox</sub> results in a three times higher  $I_{max}$  and has a minor effect on  $t_{50}$  (Figures 1 and S1). The presence of non-oxidised EGCG has no effect on  $t_{50}$  or  $I_{max}$ , when the aggregation reaction is performed under either quiescent or agitated conditions (Figures 1 and S1) in AC. Taken together, these results suggest that under these sets of solution conditions, EGCG has only a weak effect on insulin amyloid fibril formation, which is reinforced by oxidation of EGCG.

Furthermore, the results also suggest that the absolute fluorescence intensity of ThT bound to insulin amyloid fibrils is strongly influenced by the presence of  $EGCG_{ox}$ .

Sample analysis using atomic force microscopy (AFM) confirmed the formation of insulin amyloid fibrils within 15 h under all test conditions (Figure 2, Figures S3–S6). Typically, individual fibrils are 3–10 nm in height and their lengths range from several hundred nm to several  $\mu$ m. In PB, insulin amyloid fibrils tend to cluster, and larger bundles were apparent when the reaction was performed under agitated conditions. In the presence of EGCG<sub>0x</sub>, the fibrils seem to be more dispersed (Figure 2, Figures S3 and S4). In AC more fibrils can be seen in the presence of EGCG<sub>0x</sub>, when compared to the control sample (Figure 2, Figures 55 and S6), even though care must be taken when quantitatively comparing AFM images and correlating these results with the composition of the solution. Fibrils formed in the presence of EGCG under all environmental conditions are similar in morphology to their respective control samples; i.e., the absence of EGCG or EGCG<sub>0x</sub>.



**Figure 2.** AFM images of insulin amyloid fibrils formed in PB or AC in the absence and presence of EGCG or  $EGCG_{ox}$ . Abbreviations Q and A denote agitation conditions (quiescent and agitated, respectively), under which the insulin aggregation reactions were performed. The height scale (2) is in nm.

The secondary structure of insulin amyloid fibrils was assessed using Fourier-transform infrared (FTIR) spectroscopy (Figure 3). Second derivative FTIR spectra of fibrils formed in AC under quiescent and agitated conditions are almost identical, both showing a major minimum at 1627 cm<sup>-1</sup> and a minor one at 1641 cm<sup>-1</sup> in the amide I/I' region, attributed to  $\beta$ -sheet structure and an additional band at 1729 cm<sup>-1</sup> (Figure 3), which was assigned to the stretching vibrations of a deuterated carboxyl group (-COOD) [58]. Similarly, a major minimum at 1627 cm<sup>-1</sup> in the amide I/I' region, is present in case of PB under agitated conditions; however, the other two minima observed in AC are missing. The second

derivative FTIR spectrum of insulin amyloid fibrils formed in PB under quiescent conditions has two minima at 1625 cm<sup>-1</sup> and 1637 cm<sup>-1</sup> in the Amide I/I' region. It confirms that fibrils formed without agitation in PB are structurally different from fibrils formed in AC, while the fibrils formed in PB with agitation seem to have a secondary structure profile, which looks like an intermediate between PB and AC. These results suggest that despite the very similar morphology, as judged from AFM images, the insulin amyloid fibrils formed under different solvent conditions have some structural differences.



**Figure 3.** Second derivative FTIR spectra of insulin amyloid-like aggregates formed in PB and AC under quiescent and agitated conditions. Abbreviations PB and AC represent environmental conditions (100 mM phosphate buffer and 20% acetic acid, respectively), while Q and A denote agitation conditions (quiescent and agitated, respectively), under which the insulin aggregation reaction was performed.

The insulin aggregation experiments under acidic conditions described above allow one to isolate the oxidation of EGCG from the protein aggregation. However, in many cases, amyloid fibril formation is studied under conditions under which EGCG is highly unstable. We therefore performed additional amyloid fibril formation experiments with  $\alpha$ -synuclein, the aggregation of which is associated with Parkinson's disease [57].  $\alpha$ -synuclein forms amyloid fibrils at both neutral and mildly acidic pH [25], which provides an ideal paradigm to study the inhibition by EGCG, because the latter compound displays a dramatic change in stability between neutral and slightly acidic pH (Figure S2). We incubated monomeric  $\alpha$ -synuclein in polystyrene plates under shaking, and in the presence of glass beads. Under these conditions, the surface-catalysed nucleation [59] and subsequent amplification through fragmentation [25] of  $\alpha$ -synuclein amyloid fibrils is very efficient. We compared the time course of ThT fluorescence at pH 7, where it has been shown that EGCG is an efficient inhibitor of  $\alpha$ -synuclein amyloid fibril formation [33], and at the slightly more acidic pH of 6 (Figure 4A,B). We found that, based on ThT intensity alone, at pH 7, EGCG completely inhibits the formation of  $\alpha$ -synuclein amyloid fibrils at a stoichiometric ratio of 1:1, whereas at pH 6, the maximal ThT intensity is merely reduced by a factor of two, while the half time is very similar compared to the absence of EGCG.

AFM images (Figure 5) show amyloid fibrils at pH 7 without EGCG and at pH 6 both in the presence and absence of EGCG. At pH 7 in the presence of EGCG, AFM imaging reveals some amorphous aggregates together with very short fibrillar structures, and in the presence of EGCG<sub> $\alpha$ x</sub>, almost no fibrils are found. The situation is dramatically different at pH 6, where fibrils can clearly be seen under all conditions, albeit very few in the presence of EGCG<sub> $\alpha$ x</sub>, where ThT fluorescence is completely suppressed. In order to obtain an independent measure for the degree of inhibition of aggregation by EGCG and EGCG<sub> $\alpha$ x</sub>, we centrifuged the samples after the aggregation experiment and quantified the average size and concentration (Figure 4C) of the soluble protein by microfluidic diffusional sizing (MDS) [60,61] (see Methods section for details). We found that in the absence of EGCG, both at pH 7 and pH 6, the protein converts near-quantitatively into aggregates, whereas in the presence of EGCG and EGCG<sub> $\alpha$ x</sub>, nearly all of the protein remains soluble, and display average hydrodynamic radii of ~2.3 nm at pH 6 and ~2.7 nm at pH 7, indistinguishable from measurements of

pure monomeric protein and in close agreement with previous measurements under similar solution conditions [62]. Interestingly, at pH 6, MDS reveals that EGCG has no effect on the conversion efficiency into aggregates, and even in the presence of  $EGCG_{ox}$ , inhibition is only partial, despite the fact that ThT fluorescence is completely quenched. We also accompanied these experiments by UV–Vis spectroscopic stability studies of EGCG under the same solution conditions, and we found that while the EGCG absorption spectrum undergoes substantial changes at pH 7 already after 1 h, almost no change is observed at pH 6 after almost 1 day of incubation (Figure S2).



**Figure 4.** The effects of EGCG and EGCG<sub> $\alpha$ </sub> on the aggregation kinetics of  $\alpha$ -synuclein monitored at pH 7 (**A**) and pH 6 (**B**). (**C**)  $\alpha$ -synuclein concentration measured in the supernatant after centrifuging the end product of the aggregation reactions at pH 7 and pH 6, respectively.



**Figure 5.** AFM images of  $\alpha$ -synuclein aggregates formed at pH 7 or pH 6 in the absence and presence of EGCG or EGCG<sub>ox</sub>.

## 3. Discussion and Conclusions

The effects of potential inhibitor compounds on the process of amyloid fibril formation are often determined by analysing the kinetics of aggregation [15,29,30,32,33,63–68] and/or the maximum ThT intensity [29,30,32,33,65,67–71] in the absence and presence of the compound. The effects of EGCG and EGCG<sub>0x</sub> on the process of amyloid fibril formation by both insulin and  $\alpha$ -synuclein performed under distinct environmental conditions were assessed using both aforementioned approaches (Figures 1 and S7), and the conclusions are presented in (Table 1). In the case of insulin, if  $t_{50}$  and/or  $I_{max}$  were used as the main criteria, EGCG could be defined as an inhibitor of amyloid formation

only if the screening was performed in PB under quiescent conditions. In case of  $EGCG_{ox}$  the picture is more complex. In PB,  $EGCG_{ox}$  was found to be an inhibitor independently of the assessment criteria, whereas in AC,  $t_{50}$  points towards an inhibitory effect, while  $l_{max}$  suggests an enhancement of aggregation. In the case of  $\alpha$ -synuclein amyloid fibril formation, on the other hand, both criteria suggest EGCG to be a strong inhibitor at pH 7, whereas only  $l_{max}$  indicates inhibition at pH 6. In the latter case, only the inclusion of the soluble protein at the end of the reaction as an additional measured parameter allows to correctly evaluate the inhibitory effect. These results suggest that depending on aggregation conditions and the screening criteria, the same compound could be defined as a hit or a failure. This raises the question as to the origin of such variable results.

Tuble 1. Evaluation of EGGG and EGGG <sub>bx</sub> scheets on the instant aggregation	ion process.
<b>Table 1</b> Evaluation of EGCG and EGCG <sub>en</sub> 's effects on the insulin aggregati	ion process

Assessed by Change in $t_{50}$							
Protein	Conditions	EGCG	EGCG <sub>ox</sub>				
	PB-Q	Inhibitory <sup>1</sup>	Inhibitory				
Inculin	PB-A	No Effect	Inhibitory				
msum	AC-Q	No Effect	Inhibitory				
	AC-A	No Effect	Inhibitory				
	pH 7	Inhibitory	Inhibitory				
a-synuclein	pH 6	No Effect	Inhibitory				
Assessed by Change in <i>I<sub>max</sub></i>							
Protein	Conditions	EGCG	EGCG <sub>ox</sub>				
	PB-Q	Inhibitory	Inhibitory				
Terrentier	PB-A	No Effect	Inhibitory				
insuin	AC-Q	No Effect	Enhancing				
	AC-A	No Effect	Enhancing				
		Inhibitory	Inhibitory				
« ormusloin	рн /	numbriory	multitiony				

<sup>1</sup> Established by comparing experimental values of  $t_{50}$  or  $l_{max}$  of control samples with the ones determined in the presence of EGCG or EGCG<sub>ox</sub> using one-way ANOVA (See Figure S7). p < 0.01 was accepted as statistically significant.

First, alterations in environmental conditions can modulate protein aggregation pathways and result in the formation of structurally distinct amyloid aggregates (Figure 6A) [22–24,26,27]. Thus, it is plausible that species targeted by the compound might exist only under certain environmental conditions. Indeed, EGCG inhibits the insulin aggregation reaction only when the latter is performed in PB under quiescent conditions. AFM analysis did not reveal any major differences between fibrils formed in the absence or presence of EGCG (Figure 2). However, differences in the secondary structure of fibrils, determined using FTIR (Figure 3), suggest the possibility of distinct pathways and intermediates involved in the process of insulin fibril formation in PB under quiescent or agitated conditions or in AC under both the presence and absence of agitation. It is possible that the molecular species targeted by EGCG or its oxidation products are only present under certain environmental conditions. A similar explanation can be valid for the different relative  $t_{50}$  values in PB and AC in the presence of EGCG<sub>ox</sub>. The strong increase in ThT fluorescence intensity in the presence of EGCG<sub>ox</sub> in AC, on the other hand, requires an alternative explanation. A simple increase in the quantity of fibrils formed is not sufficient to explain the observed several-fold increase in  $I_{max}$ . It has been demonstrated before that amyloid fibrils formed under distinct environmental conditions may possess different ThT binding sites, affinities for ThT, and ThT quantum yields [72-74]. Thus, since the secondary structure of insulin amyloid fibrils formed in PB and AC was found to be different (Figure 3), it is possible that  $EGCG_{ax}$  induces slight conformational changes in the amyloid fibrils formed in AC, which results in an increase in guantum yield in the bound ThT, and therefore, in increased ThT fluorescence intensity. However, no obvious differences in morphology or secondary structure (Figures S5, S6 and S8), of

insulin fibrils formed in AC in the absence or presence of  $EGCG_{ox}$  were observed. Therefore, it is also possible that the change in ThT intensity stems from a direct interaction between bound ThT and EGCG<sub>ox</sub>. The fact that extrinsic compounds can dramatically change the ThT fluorescence quantum yield has sometimes led to false interpretation of a given compound as an inhibitor (Figure 6B). It has, for example, been shown that the two amyloid dyes, Congo red (CR) and ThT, have an affinity for each other and that CR strongly quenches ThT fluorescence, rather than inhibiting amyloid fibril growth [75]. Therefore, absolute fluorescence intensity is often not a reliable readout for the extent of inhibition by any given compound. This conclusion is further supported by the results obtained for  $\alpha$ -synuclein at pH 6, where the final ThT intensity in the presence of EGCG suggests a significant inhibition, but measurement of soluble protein and AFM show that the sample has quantitatively been converted into fibrils. The nature of the surfaces involved (cuvette, plate surface, stir bar, and air-water-interface), in combination with the physico-chemical properties of the protein can also have a large impact on the protein aggregation process [59,76-79]. Indeed, additional experiments showed that the strong increase in  $I_{max}$  observed in the presence of EGCG<sub>ox</sub> depends on the type of surface of the microplate used (Figure S9). Under agitated conditions the effect of  $EGCG_{ox}$  on insulin aggregation was found to be weaker when compared to the one under quiescent conditions. Agitation in general speeds up amyloid fibril formation, mostly because of its effect on fibril fragmentation, and the detachment of species from the air-water or solid-water interface, where proteins have a strong tendency to accumulate and where in many cases the nucleation step of amyloid fibril formation is likely to occur. By selectively enhancing individual steps, such as fragmentation or nucleation, the concentrations of species that can be targeted by EGCG may be decreased, and hence, its inhibitory effect diminished.

Second, specific environmental conditions may induce modifications of compounds (Figure 6C) [28-30,80]. For example, EGCG is not stable at neutral pH and oxidises within several hours. In general, the effect of  $EGCG_{ox}$  on insulin aggregation, is stronger when compared to its non-oxidised form. A further striking example of the effect of the solution conditions on the inhibitory effects of EGCG is given by our findings that a change in pH by only one unit dramatically changes the inhibition of  $\alpha$ -synuclein amyloid fibril formation. At the most often employed neutral pH of 7, where EGCG is highly unstable, almost complete inhibition is observed by stoichiometric amounts of EGCG, as evaluated by ThT fluorescence and microfluidic diffusional sizing (MDS). At the same time, UV-Vis experiments with EGCG under equivalent conditions show that EGCG undergoes rapid and quantitative oxidation within a similar time scale as the aggregation process itself (Figures S2 and 6C). This leads to the fact that mostly oxidised EGCG is available to inhibition. The effect of EGCG and  $EGCG_{0x}$  at pH 7 is to keep the protein in its monomeric form, as has recently also been reported [81]. The amorphous aggregates that have been observed to be formed by  $\alpha$ -synuclein in the presence of EGCG at neutral pH could stem in part from monomeric protein that clusters into amorphous structures during sample preparation for AFM or electron microscopy. We note that even under these conditions of near complete inhibition, as evaluated by ThT fluorescence and MDS, some short fibrils can be seen in AFM images, stressing the importance of the use of multiple experimental methods in order to obtain a complete picture of the inhibitory action. A change to pH 6, however, leads to an increased stability of EGCG (as confirmed by UV-Vis spectroscopy, Figure S2), which is paralleled by a strongly decreased inhibitory effect on  $\alpha$ -synuclein aggregation. Indeed, despite the fact that ThT fluorescence intensity is decreased by approximately 50% in the presence of stoichiometric amounts of EGCG and quantitatively suppressed in the presence of stoichiometric amounts of EGCG<sub>ox</sub>, MDS and AFM suggest no inhibition by EGCG and only partial inhibition by EGCG<sub>ox</sub>. These results not only suggest an influence of EGCG and EGCG<sub>ox</sub> on ThT fluorescence intensity (Figure 6B), but most notably a dramatic pH dependence of the inhibitory effect of EGCG, most likely related to EGCG stability, as discussed above. This finding is highly relevant and interesting, as  $\alpha$ -synuclein experiences environments with reduced pH during its life cycle, such as endosomes and lysosomes [82,83]. Furthermore, it has been shown that the aggregation of  $\alpha$ -synuclein is strongly enhanced at mildly acidic pH values [25], as found in such microenvironments, whereas at the same time EGCG appears to lose its inhibitory effect. In conclusion, we demonstrate here that the environmental conditions and the methods used for assessments of the effects of inhibitory compounds play an important role in the reliable identification of anti-amyloid compounds. Under certain circumstances the study design may define whether a given compound is found to be a hit or a failure. Therefore, assessing the effects and the intrinsic stability of compounds under a range of environmental conditions in vitro is essential for the further development of the lead compounds resulting in increased success rates for in vivo studies and clinical trials.



**Figure 6.** Schematic depiction of possible effects of potential anti-amyloid compounds on the amyloid aggregation reaction performed under distinct environmental conditions. Different environmental conditions can lead to the formation of distinct aggregate species of which only some are targeted by the compound (**A**), as in case of insulin aggregation in PB-Q and AC-Q. Certain compounds can also interfere with ThT's fluorescence intensity (**B**), suggesting inhibition, which was not confirmed by other experiments, such as the quantification of soluble protein at the final plateau of ThT intensity. An example is EGCG and *a*-synuclein at pH 6. Moreover, specific environmental conditions can induce modifications of the compound, which results in the generation of products that target aggregation prone species (**C**), as in the case of *a*-synuclein aggregation at neutral pH. The compound modification can only manifest itself if it occurs with kinetics comparable to, or faster than the kinetics of aggregation. Distinct background colours represent different environmental conditions. Different shapes of aggregates represent distinct pathways reflecting the observed polymorphism of amyloid fibrils.

## 4. Materials and Methods

## 4.1. Materials and Solutions

Initial solutions of insulin (Sigma Aldrich, St. Louis, MO, USA, number 91077C) were prepared by dissolving 2 mg of dry insulin powder in 0.5 mL of 100 mM sodium phosphate buffer, pH 2.4, supplemented with 100 mM NaCl (PB) or 20% acetic acid, supplemented with 100 mM NaCl (AC).

Concentration of insulin (M.W.—5808 Da,  $\epsilon_{280}$ —6335 M<sup>-1</sup> cm<sup>-1</sup>) was determined by measuring UV-absorption at 280 nm using NanoDrop 2000 (Thermo Fisher Scientific, Wolsom, MA, USA). Subsequently, insulin solutions were diluted to a final concentration of 2 mg/mL (344  $\mu$ M) using PB or AC and supplemented with 200  $\mu$ M of Thioflavin-T (ThT; Sigma Aldrich, number T3516) from a 10 mM ThT stock solution (in MilliQ water). For the insulin inhibition experiments, fresh solutions of 344  $\mu$ M of EGCG (Sigma Aldrich, number 989-51-5) were prepared by dissolving EGCG in 100 mM sodium phosphate buffer pH 2.4, supplemented with 100 mM NaCl or in 20% acetic acid, supplemented with 100 mM NaCl, just before the experiment. EGCG<sub>0x</sub> was prepared by dissolving 10 mM of EGCG in 10 mM phosphate buffer solution, pH 7.4, and incubation for 8 h at 60 °C in a thermomixer MHR 23 (Ditabis, Pforzheim, Germany). Subsequently, it was diluted to a final concentration of 344  $\mu$ M using PB or AC.

The  $\alpha$ -synuclein in the pT7-7 vector was expressed in *Escherichia coli* BL21 (DE3) and purified as previously described [25]. As a last step,  $\alpha$ -synuclein was purified by size-exclusion chromatography on an ÄKTA pure chromatography system (GE Healthcare) using a Superdex 200 Increase 10/300 GL (GE Healthcare) and 20 mM citric acid, pH 7, as an elution buffer.  $\alpha$ -synuclein concentration was determined by measuring UV-absorption at 275 nm (extinction coefficient of 5600 M<sup>-1</sup> cm<sup>-1</sup>). For the  $\alpha$ -synuclein inhibition experiments, 5 mM solutions of EGCG (Tocris, Abingdon, UK, number 4524) were prepared by dissolving EGCG in dH<sub>2</sub>O. The solutions were frozen and stored at -20 °C, after monitoring no difference between fresh and thawed EGCG. EGCG<sub>0x</sub> was prepared by dissolving 10 mM citric acid, pH 7, and incubation for 6 h at 60 °C in a thermomixer. Subsequently, it was diluted to a final concentration of 5 mM, frozen and stored at -20 °C.

## 4.2. Measurements of Aggregation Kinetics

Insulin: For the inhibition experiments, 344  $\mu$ M solutions of EGCG or EGCG<sub>ox</sub> were mixed with 344  $\mu$ M insulin solutions in a 1:1 ratio. Three replicates of each solution were then pipetted into a nonbinding surface plate (NBS; Corning, Corning, NY, USA, number 3881). The plate was sealed using sealing tape (Nunc, Roskilde, Denmark, number 232701). Kinetics of insulin aggregation was monitored at 60 °C without (quiescent conditions) and with continuous shaking (960 rpm; agitated conditions) by measuring ThT fluorescence emission intensity (excitation—440 nm; emission—480 nm) through the bottom of the plate using a Synergy H4 Hybrid Multi-Mode (Biotek, Winooski, VT, USA) microplate reader for 15 h (readouts were taken every 5 min under quiescent conditions and every 2 min under agitated conditions). Three independent measurements were performed for each sample.

α-synuclein: To study the effect of EGCG on the α-synuclein fibril formation, solutions of 25 μM of α-synuclein were prepared with EGCG or EGCG<sub>0x</sub> solutions in a 1:1 ratio, 20 μM ThT and 150 mM citric acid at the wanted pH-value (pH 6 or pH 7). Three replicates of each solution were then pipetted into a high binding surface plate Costar (Corning, number 3601) and glass beads were added into the wells. The plate was sealed using SealPlate film (Sigma-Aldrich, number Z369667). Kinetics of amyloid formation were monitored at 37 °C under continuous shaking (300 rpm) by measuring ThT fluorescence intensity through the bottom of the plate using FLUOstar (BMG LABTECH, Ortenberg, Germany) microplate reader (readouts were taken every 5 min).

The highest ThT fluorescence emission value within each curve was assumed to be  $I_{max}$ . Half-times ( $t_{50}$ ) of the aggregation process were obtained as described by Nielsen et al. [55]. Briefly, experimental data was fitted using the following sigmoidal equation:

$$Y = y_i + m_i t + \frac{y_f + m_f t}{1 + e^{-(\frac{t - t_{00}}{2})}},$$
(1)

where *Y* is the ThT fluorescence emission intensity, *t* is the time, and  $t_{50}$  is the time when 50% of maximum ThT fluorescence intensity is reached. The initial baseline is described by  $y_i + m_i t$  and the final baseline is described by  $y_f + m_f t$ .

## 4.3. Evaluation of EGCG and EGCG<sub>ox</sub> Effects on the Insulin Aggregation Process.

The effects of EGCG and EGCG<sub>ox</sub> on the insulin aggregation process were determined by comparing experimental values of  $t_{50}$  or  $I_{max}$  of control samples with the ones determined in the presence of EGCG or EGCG<sub>ox</sub> using one-way the one-way analysis of variance (ANOVA). p < 0.01 was accepted as statistically significant. The analysis was performed using OriginPro software.

## 4.4. Atomic Force Microscopy (AFM)

Insulin: Directly after the kinetic measurements, the samples were collected, and 20  $\mu$ L of each sample was deposited on freshly cleaved mica and incubated for 1 min. Subsequently, the samples were rinsed with 1 mL of MilliQ water and dried under gentle airflow. Three-dimensional AFM maps were acquired using a Dimension Icon (Bruker) atomic force microscope operating in tapping mode, equipped with a silicon cantilever Tap300AI-G (40 N m<sup>-1</sup>, Budget Sensors) with a typical tip radius of curvature of 8 nm. High-resolution (1024 × 1024 pixels) images were acquired. The scan rate was 0.5 Hz. AFM images were flattened using SPIP (Image Metrology, Hessholm, Denmark) or NanoScope Analysis (Bruker, Billerica, MA, USA) software.

 $\alpha$ -synuclein: AFM images were acquired directly after the aggregation kinetic measurements. In total, 10 µL of each sample was deposited onto freshly cleaved mica. After drying, the samples were washed 5 times with 100 µL of dH<sub>2</sub>O and dried under gentle flow of nitrogen. Three-dimensional AFM maps were obtained using a NanoScope V (Bruker) atomic force microscope equipped with a silicon cantilever ScanAsyst-Air (Bruker) with a tip radius of 2–12 nm. High-resolution (1024 × 1024 pixels) images were acquired. The scan rate was 0.9 Hz. AFM images were flattened using SPIP (Image Metrology) software.

## 4.5. Fourier-Transform Infrared (FTIR) Spectroscopy

Insulin fibrils were separated from buffer solution by centrifugation at  $10,000 \times g$  for 30 min and subsequently resuspended in 1 mL of D<sub>2</sub>O; the procedure was repeated three times. Finally, fibrils were resuspended in 0.3 mL of D<sub>2</sub>O and sonicated for 1 min using Sonopuls 3100 (Bandelin, Berlin, Germany) ultrasonic homogeniser equipped with MS73 tip (using 50% of the power; total energy applied to the sample ~1.12 kJ). Samples were deposited between two CaF<sub>2</sub> transmission windows separated by 0.05 mm teflon spacers. The FTIR spectra were recorded using a Vertex 80v (Bruker) IR spectrometer equipped with a mercury cadmium telluride detector, at room temperature under vacuum (~2 mBar) conditions. A total of 256 interferograms of 2 cm<sup>-1</sup> resolution were averaged for each spectrum. The spectrum of D<sub>2</sub>O was subtracted from the spectrum of each sample. All spectra were normalised to the same area of amide I/I' band (1700–1595 cm<sup>-1</sup>). All data processing was performed using GRAMS software.

## 4.6. Microfluidic Diffusional Sizing and Concentration Measurements

To measure the concentration of the soluble *a*-synuclein, the samples were centrifuged for 60 min at  $16,100 \times g$  at 25 °C using a centrifuge 5415 R (Eppendorf) directly after the kinetic measurements. The supernatant was taken, and 6 µL was pipetted onto a disposable microfluidic chip and measured with the FluidityOne (Fluidic Analytics, Cambridge, UK). FluidityOne is a microfluidic diffusional sizing (MDS, [60]) device, which measures the rate of diffusion under steady state, laminar flow. The protein concentration is determined by fluorescence intensity, as the protein is mixed with ortho-phthalaldehyde (OPA) after the diffusion, a compound which reacts with primary amines, producing a fluorescent compound [61].

## 4.7. Time Course of EGCG Oxidation

The oxidation of EGCG was followed by UV–Vis spectroscopy. Solutions of EGCG were prepared as described above. In total, 10 mM EGCG solutions were dissolved in 10 mM sodium phosphate

buffer pH 7.4, corresponding to the conditions under which a stock solution of  $EGCG_{0x}$  for insulin experiments was produced, and in 20 mM citric acid, pH 7, corresponding to the conditions under which a stock solution of  $EGCG_{0x}$  for the  $\alpha$ -synuclein experiments was produced. The oxidation of EGCG was carried out by incubating the solutions at 60 °C for 0–22 h. Subsequently, the solutions were diluted and the spectra were recorded in the wavelength range between 250 nm and 500 nm in a UV-transparent plate (Corning, Corning, NY, USA, number 3679) using a Spark (Tecan, Mannedorf, Switzerland) microplate reader. To monitor the stability of EGCG under the used aggregation experiments, 172  $\mu$ M EGCG in 100 mM NaCl, 100 mM sodium phosphate buffer, pH 2.4, 172  $\mu$ M EGCG in 20% acetic acid, and 100 mM NaCl were incubated at 60 °C for 0–22h, corresponding to the conditions of the insulin aggregation experiments, and 125  $\mu$ M EGCG in 150 mM citric acid at pH 6 and pH 7 were incubated at 37 °C for 0–22 h, corresponding to the conditions of the  $\alpha$ -synuclein aggregation experiments.

**Supplementary Materials:** The following are available online at http://www.mdpi.com/2218-273X/9/12/855/s1 , Figure S1: Representative curves of insulin aggregation kinetics in the absence and presence of EGCG or EGCG<sub>ox</sub> under different environmental conditions. Figure S2: EGCG oxidation followed by UV–Vis spectroscopy. Figure S3: AFM images of insulin fibrils formed in PB under quiescent conditions in the absence and presence of EGCG or EGCG<sub>ox</sub>. Figure S4: AFM images of insulin fibrils formed in PB under quiescent conditions in the absence and presence of EGCG or EGCG<sub>ox</sub>. Figure S5: AFM images of insulin fibrils formed in PB under quiescent conditions in the absence and presence of EGCG or EGCG<sub>ox</sub>. Figure S5: AFM images of insulin fibrils formed in AC under quiescent conditions in the absence and presence of EGCG or EGCG<sub>ox</sub>. Figure S5: AFM images of insulin fibrils formed in AC under agitated conditions in the absence and presence of EGCG or EGCG<sub>ox</sub>. Figure S7: Evaluation of EGCG and EGCG<sub>ox</sub> effects on t<sub>50</sub> and l<sub>max</sub> using one-way ANOVA. Figure S8: Second derivative FTIR spectra of insulin amyloid-like aggregates formed in AC in the absence and presence of EGCG<sub>ox</sub>. Figure S9: Effect of EGCG<sub>ox</sub> on t<sub>50</sub> and l<sub>max</sub> in AC assessed in NBS-plates and untreated-plates. Raw data of insulin and  $\alpha$ -synuclein aggregation kinetics; microfluidic diffusional sizing and concentration measurements; UV–Vis spectra of EGCG oxidation; FTIR spectra of insulin aggregates.

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# Notes

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